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Karin Adalberth

Report TVBH-1012 Lund 2000
Department of Building Physics, LTH



LUND INSTITUTE OF TECHNOLOGY
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Errata

Page		Revision (<u>underlined</u> text is revised or added)
s. 11	Figure 1	Reference missing (<u>Abel E et al, 1995</u>)
s. 22	Table 1	Usable floor area for the single-unit dwelling no 3 in Örebro should be <u>138</u> m ²
s. 47	References	Reference missing <u>Abel E, Adamson B, Elmroth A,</u> <u>Johansson T B, 1995: Energiboken – kunskapsläge</u> <u>och forskningsfront. Report T21:1995. The Swedish</u> <u>Council for Building Research. Stockholm. Sweden.</u>

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Abstract

The objective of this thesis is to investigate the energy use and environmental impact of residential buildings. Seven authentic buildings built in the 1990s in Sweden are investigated. They are analysed according to energy use and environmental impact during their life cycle: manufacture of building materials, transport of building materials and components to the building site, erection to a building, occupancy, maintenance and renovation, and finally demolition and removal of debris. Results show that approx. 85 % of the total estimated energy use during the life cycle is used during the occupation phase. The energy used to manufacture building and installation materials constitutes approx. 15 % of the total energy use. 70–90 % of the total environmental impact arises during the occupation phase, while the manufacture of construction and installation materials constitutes 10–20 %. In conclusion, the energy use and environmental impact during the occupation phase make up a majority of the total. At the end of the thesis, a tool is presented which helps designers and clients predict the energy use during the occupation phase for a future multi-family building before any constructional or installation drawings are made. In this way, different thermal properties may be elaborated in order to receive an energy-efficient and environmentally adapted dwelling.

KEYWORDS: building, residential building, single-unit dwelling, multi-family building, energy use, energy demand, environmental impact, life cycle

Preface

The following PhD thesis was initiated in the summer of 1992. A Swedish housing exhibition was held in Örebro, which raised many questions regarding the environmental impact of dwellings. This thesis offers answers to many of these questions.

The study has a number of defined target groups: decision-making politicians with the power to control practices within the building sector; public authorities responsible for the formulation and implementation of rules; and the Ecocyclic Council of the Swedish Construction Industry. In addition, clients, project managers and architects are addressed, since they have a large influence on the actual construction and/or reconstruction of dwellings. It is my wish that researchers in other countries within the field of buildings and their environmental impact will find this research useful.

During the past years many people have offered advice on the progress of this work. I would particularly like to thank to my supervisor Professor Arne Elmroth at Lund University in Sweden, who has thoroughly followed and supported all my work. I also thank my colleague Anders Almgren at Lund University in Sweden, whose analytical capacity is irreplaceable. I also thank the Swedish Council for Building Research and the Swedish Foundation for Strategic Environmental Research MISTRA for the financial support.

This PhD thesis contains of two parts. The first part is a summary of five papers. The second part contains five papers published internationally or submitted for publishing, which present the work performed during the past years. I hope you enjoy reading it!

Lund in January 2000
Karin Adalberth

Summary

Since 1973, when Sweden suffered an energy crisis, research has mainly focused on the energy use in buildings during their period of use. There have been few studies on the total energy use during the life cycle of a building. It is a subject that must be addressed, considering the urgent need to save energy in order to reduce the environmental impact.

Objectives

The overall objective of this thesis is to investigate the energy use and the environmental impact during the life cycle of new residential buildings, and to provide recommendations on how energy-efficient houses with a small environmental impact can be constructed. Seven authentic buildings are investigated: three single-unit dwellings and four multi-family buildings built in the 1990s in Sweden.

Approach

The energy use and the environmental impact are estimated during the life cycle of the seven buildings. According to the definition used, the life cycle includes the following temporal phases: manufacture of building materials, transport of building materials and components to the building site, erection to a building, occupancy, maintenance and renovation, and finally demolition and removal of debris. The environmental impact refers to the following indicators: global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity.

The occupation phase is assumed to be 50 years, since the economic life span of a Swedish building is about 40–50 years. It is also assumed that no extensions, re-constructions, or significant changes are made during the occupation phase. Only sequential maintenance, e.g. repainting and white goods exchange, is made. The life span of different maintenance is taken from (SABO, 1998).

Description of the buildings

The three single-unit dwellings are constructed as prefabricated floor and wall elements in a factory. The frames of the houses are made of wood and the facades are covered with a wooden panelling. In two of the dwellings, the foundation is slab on ground, and in the third an indoor air-ventilated crawl space. The degree of thermal insulation in external walls is 245–290 mm. In the roofs the thermal insulation thickness is 415–550 mm. The dwellings are equipped with a mechanical supply and exhaust air ventilation. The heat in the exhaust air is also exchanged into the supply air.

The four multi-family buildings are not as homogenous as the three single-unit dwellings. The frameworks are either light-weight concrete combined with concrete, concrete, wood or concrete combined with steel columns. The foundations in three of the buildings consist of slab on ground. The fourth building has a cellar. The thickness of the thermal insulation in the external walls is 150–235 mm. The roofs have a thermal insulation thickness of 220–400 mm. The buildings are equipped with a mechanical ventilation system: either a mechanical supply and exhaust air or only exhaust air. Only one of the buildings' mechanical ventilation systems uses the heat from the exhaust air by providing it to the supply air.

Method

In order to calculate the energy use throughout the life cycle, data is collected from various research reports. Data concerning the energy required to manufacture construction materials are collected from a report from the Danish Building Research Institute (Andersen S et al, 1993) and (Dinesen J et al, 1997). The report also supplies data regarding the energy required for various processes during the erection and demolition of the building. Data regarding the energy needed for different transports is collected from Chalmers University of Technology in Sweden (Tillman A-M et al, 1991). The energy use during the occupation phase for space heating and ventilation is calculated with the aid of the Swedish software Enorm (Munther K, 1996). The energy demand for hot water production and household electricity is estimated with empirical equations based on (Boverket, 1994b).

The environmental impact throughout the life cycle is determined by combining the estimated energy use during the life cycle with an assumed energy supply system. This procedure is carried out for the whole life cycle, except for the manufacturing phase, in which emissions related to processes are included. This impact is calculated using a life cycle assessment tool developed at the Danish Building Research Institute (Petersen E H, 1997). The supplied energy for the seven buildings is assumed to be the average Swedish district heating mix and the average electricity mix from the European OECD countries. One energy supply system is used for the heat mix and one for the electricity mix, and not the local net for each of the buildings, in order to compare the environmental impact of the buildings and not the impact of the energy supply systems.

Results and conclusions

The energy use for the seven authentic residential buildings is, on an annual basis, between 123 and 176 kWh/(m² usable floor area · year) during their total life cycle. The annual energy use for space heating, hot water, and household electricity amounts between 100 and 150 kWh/(m² usable floor area · year). Approx. 85 % of the total energy use is used during the occupation phase. The energy used to manufacture all construction materials including the renovation phase is estimated to approx. 15 % of the total energy use.

The share of the energy used to manufacture building materials is still approx. 15 %, even if the seven buildings have different buildings sizes, types of building constructions, frame-works, thermal properties and installation techniques. Instead, the building constructions and installation techniques have a large influence on the energy use during the occupation phase. When e.g. the thermal thickness in the external wall is increased, the energy demand during the manufacturing phase will of course increase, but the energy use during the occupation phase decreases more. This means that the total energy use during the life cycle is reduced.

The calculated and the charged energy use during the occupation phase are also determined. Results show that there is a deviation between the calculated and the charged energy demand for all the seven studied residential buildings – between 0 % and 50 %. Since the charged use is higher than the calculated use, the dominant occupation phase is even more dominant than initially believed. In addition, the size of the deviation added up during the 50 years of occupation may be just as high as or higher than the manufacturing phase. Deviations may be caused by a higher indoor air temperature than assumed, a higher air change rate than assumed, and/or deviations from the project documents compared to the actual performance of the building constructions.

The environmental impact during the life cycle is also estimated for the four multi-family buildings. The impact is determined by investigating global warming potential, acidification, eutrophication, photochemical ozone creation potentials, and human toxicity. Among the different phases, the occupation phase has the highest environmental impact. This phase stands for 70–90 % of the total environmental impact during the buildings' life cycle.

The environmental impact that arises when all construction materials are manufactured is 10–20 % of the total impact. This figure is independent of the multi-family building's size, type of foundation, framework, building construction, thermal properties and installation technique.

The results show conformity between energy use and environmental impact during the life cycle. In both aspects, the occupation phase constitutes a majority of the life cycle. Since the distribution of energy use and the environmental impact over the life cycle have a similar pattern, the energy use of a building can be used as one indicator of a building's environmental status.

Based on the facts listed above, i.e. the dominance of the occupation phase, the final study deals with energy use during the occupation phase. A simple and user-friendly tool is developed to predict the energy use for a future multi-family building in the early design phase before any constructional or installation drawings are made. The tool is an equation with 10 parameters related to buildings, e.g. length, width, height, indoor air temperature, thermal transmittances etc. This tool can help designers and clients to predict the energy use by elaborating different thermal properties in order to receive an energy-efficient house. At the end of the design phase, when constructional and installation drawing are at hand, a more thorough estimate of the energy use should be performed, since the tool only provides a rough estimate.

Recommendations

Since conformity between the estimated energy use and the environmental impact during the life cycle is established, and since the occupation phase is very dominant, the following three recommendations are articulated:

1. A house should be designed with low energy use during the occupation phase, even if the energy demand during the manufacturing phase will increase. This is e.g. achieved by:
 - Making careful designs of the intersections between elements in order to keep values for thermal bridges as low as possible
 - Designing ventilation systems with heat recovery of the exhaust air
 - Choosing energy-efficient windows for the building.
2. The energy use for space heating, ventilation, domestic hot water and household electricity should be estimated during the design phase with realistic input data to ensure that the predicted energy use matches reality.
3. High quality during constructions (the actual erection of the building) should be enforced to maintain a minimal deviation between the designed and performed building.

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1 Background

Since 1973, when Sweden suffered an energy crisis, the energy use in Swedish buildings for space heating and ventilation has decreased. This is e.g. due to increased thermal insulation thickness in foundations, external walls and roofs, improved air tightness of the building envelope, recovered heat in the exhaust air, and improved efficiency of heat exchangers. Figure 1 shows how the energy use in Swedish has decreased from the 1970s to the 1990s.

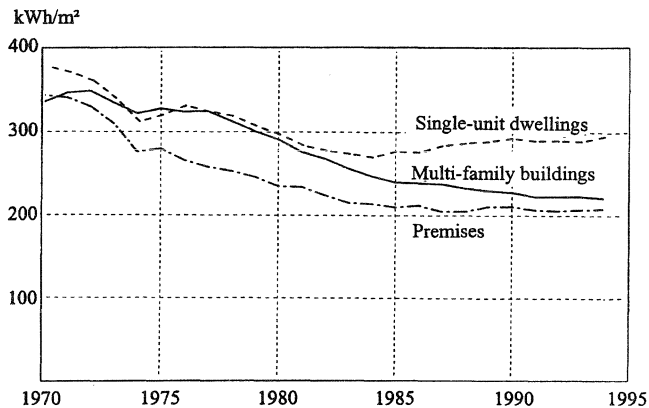


Figure 1 The development of energy use in Swedish buildings.

So far, research has mainly focused on the energy use in buildings for space heating and ventilation during their period of use. There have been few studies on the total energy use during the life cycle of a building – from the manufacture of building material until the building is demolished.

In terms of sustainable buildings, it is also important to reduce the environmental impact derived from using energy. This is e.g. achieved by being energy-efficient and by producing energy with low emissions. A large proportion of the energy produced in Sweden, used in the residential buildings and premises, has its origin in fossil fuels. More than 40 % of the production comes from oil, and 5 % from coal, see Figure 2. When energy is obtained from these energy sources, different kinds of pollution are released, such as carbon dioxide, sulphur dioxide, nitric oxide, dust etc. This pollution contributes to the destruction of the environment, and may alter or disturb the ecological system. Hence, we must become more effective and economical in our use of energy.

Approx. 40 % of the energy is used in residential buildings and premises for space heating, ventilation, domestic hot water production, household electricity, and different processes. Nearly 40 % is used in the industry, and approx. 20 % for transportation, see Figure 2. Since the building sector not only uses buildings but also manufacture and transport materials and components, it really constitutes much more than 40 %. With this in mind, it is interesting to study buildings from a life cycle perspective.

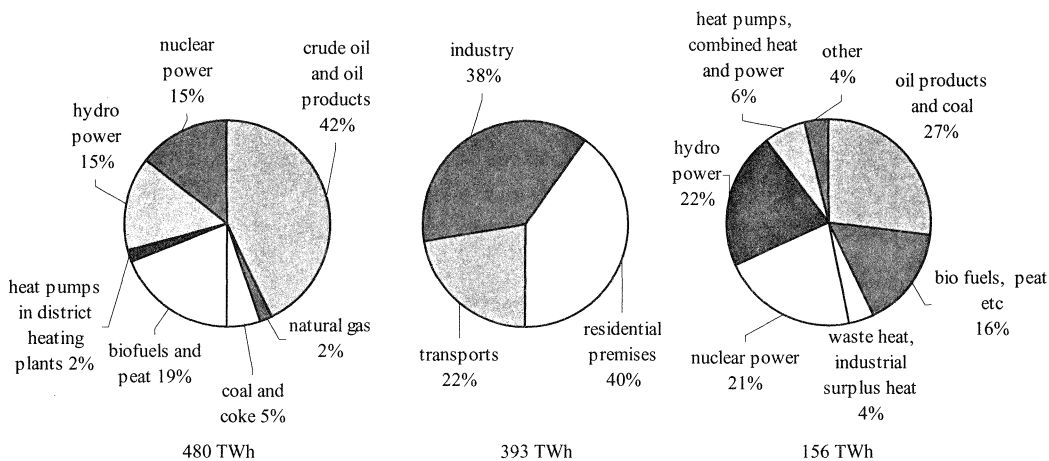


Figure 2 The left graph shows the total energy supply in Sweden. The centre graph shows the use of produced energy within Sweden. The right graph shows the energy supply to residential buildings and premises. (Nutek, 1998)

1.1 National goals for the environment in Sweden

In April 1999 the Swedish Parliament adopted 15 national goals dealing with environmental targets (Swedish Parliament, 1999). The targets were e.g. set for air, water and soil pollution on a local, national and global level, economical management of water and forests, and increased knowledge of chemical substances. In order to realise these goals, the government assigned the Swedish Board of Housing, Building and Planning to make sector-specific sub-targets.

At the end of 1999, the Swedish Board of Housing, Building and Planning presented its results (Boverket, 1999). One sub-target focuses on the energy use in buildings. It is emphasised that the total annual energy demand in new buildings should not exceed 60 kWh/m² usable floor area in the year 2020, which is approx. 50 % of current figures. This demand will e.g. be enforced through a rigorous building code.

Today, the existing Swedish Building Code (Boverket, 1994a) contains three regulations limiting the energy use when building new buildings. The first regulation deals with the average thermal transmittance of the building envelope; the second regulation with the air tightness – the air leakage should not exceed 0.8 litre/(m²·s) with a differential pressure of 50 Pascal – ; and the third regulation concerns restrictions regarding the heat needed for ventilation air. In addition, there are some general directions about considering thermal bridges in the building's envelope and about using energy-efficient installations.

Similar codes do not exist for existing buildings, but the Swedish Board of Housing, Building and Planning has addressed a sub-target for these buildings. The future building code may include requirements about the equipment in existing buildings in order to make their electricity use more efficient. In addition, a compulsory energy declaration of such buildings may be constituted.

1.2 Sustainable development

In order to attain a sustainable development several subjects must be addressed, e.g. building materials and their environmental impact. A forum of the Swedish Building Sector (Ecocyclic Society of the Building Sector, 1995) has formulated guidelines about the responsibility involved in the manufacture of building materials. In addition, it has suggested that manufacturers should use environmental information labels for building products. Furthermore, several research projects address the environmental impact of building materials. These LCA¹ studies include e.g. (Sundberg K, 1994), an LCA on gypsum wall board; (Jönsson Å, 1995) and (Paulsen J, 1999), two analysis of different flooring materials; (Erlandsson M, 1996), an analysis of glulam wood and other wooden products; and finally, (Borg M, 1997), an analysis of steel sheet.

It is not obvious how an LCA should be carried out. Boundary settings and allocations influence the results, especially when an LCA is applied to highly recyclable building materials. This matter is discussed and analysed in (Trinius W, 1999).

Waste is another discussion topic in the building sector. The Ecocyclic Society of the Building Sector is working on identifying hazardous waste generated at building sites. It has also promised to decrease the amount of waste by 50 % within five years, from 1995 to 2000. The waste problem is addressed by research projects e.g. like (Sigfrid L, 1993) (Lindhe N, 1996) and (Thormark C, 1997). The last project discusses how a house can be designed for recycling and the recycling capacity of building constructions.

1.2.1 Methods for environmental assessment

During the past years, methods for the environmental assessment of buildings have been developed. An official method has been developed at the Royal Institute of Technology in Sweden (Glaumann M, 1998). It is based on five topics: energy use, use of materials, indoor environment, outdoor environment, and life cycle cost. The first two topics centre on an estimate and evaluation of the flows of energy and material use during the buildings' life cycle. The indoor environment evaluates risks (new buildings) or existing problems (existing buildings) with allergies, sick building syndromes, thermal comfort, indoor air quality, noise and lighting status etc. The outdoor environment evaluates the influence on the ecosystem, resource depletion, and human health. The life cycle cost of the building deals with the economy of the building during its whole life cycle.

Another method for assessing the environmental status of buildings has been developed by a building consultant company (J&W, 1997). This method is based on four topics: energy use, use of natural resources, indoor environment, and outdoor environment. Together, these topics form a questionnaire with 80–90 questions, depending on the use of the building. The an-

¹ A method for analysing and assessing the environmental impact of a material, product or service throughout its entire life cycle

swers to the questionnaire are ranked with credits and predefined levels: level 1 means failed environmental adjustment, level 3 acceptable adjustment, and level 5 excellent adjustment.

A third method, freely translated as 'inventory and assessment of the indoor environment in existing buildings'², has been developed and financed by the Organisation for Municipal Housing Companies, the Swedish Federation for Rental Property Owners and (SABO, 1998). The method concerns the indoor environment, summarised as a questionnaire and ranked with certain credits.

There are several other methods available from other countries. The two most famous are the British Research Establishment Environmental Assessment Method, BREEAM, and the Building Environmental Performance Assessment Criteria, BEPAC.

BREEAM became available on the market in the early 1990s and was later revised. It includes environmental issues categorised as global, local or indoor (Prior J et al, 1995). These issues include: carbon dioxide emission due to energy use, ozone depletion potential in connection with insulation materials, low water usage of WCs, and available space and storage for recycling household waste. Within each issue, credits may be acquired when the building attains or exceeds a certain benchmark performance. In total, 30 credits are available.

BEPAC has been developed in Canada (Cole R, 1994). It is based on five topics: ozone layer protection, impact of energy use, indoor environment, resource conservation (e.g. by preserving and renovating existing buildings, reusing building materials and making the use of water more efficient in the future building) and location (e.g. in relations to public communications). Each area is given a credit, from 0 to 10 points. Each criterion is then weighted by a certain factor.

These environmental assessment methods all cover a wide area: from the indoor to the outdoor environment including issues such as energy use of buildings. The different issues are assigned similar importance in all methods, i.e. their impact is ranked similarly. The results from this thesis, i.e. energy issues and their related environmental impact, can be used to develop environmental assessment methods.

² Miljöinventering och miljöbedömning av byggnader

2 Objectives

The overall objective is to study the energy use and the environmental impact during the life cycle of new residential buildings, and to provide recommendations for the construction of an energy-efficient building with a low environmental impact. The project has been divided into different steps.

The first step involves an analysis of how energy is used during the life cycle of single-unit and multi-family houses (Paper 1–3). The energy use during the life cycle is estimated on a theoretical basis. Questions to be answered are:

- Which phase of the building's life cycle has the highest energy use?
- How do different building constructions, frameworks and installation techniques affect the total energy use during the life cycle?
- Do the estimated energy values equal reality? In other words, is there a difference between the calculated and the charged energy use during the occupation phase? How will a deviation influence the energy use during the life cycle?

The second step is to analyse the environmental impact during the life cycle (Paper 4). The environmental impact is determined by combining the estimated energy use during the life cycle with an assumed energy supply system. This procedure is carried out for the whole life cycle, except for the manufacturing phase. For this phase emissions related to processes are included, e.g. the emission of carbon dioxide involved to manufacture cement. The manufacturing phase is treated differently since facts about emissions arising from this phase are more established than those from other phases. Questions to be answered are:

- Which phase of the buildings' life cycle has the highest environmental impact?
- Are there differences in environmental impact due to different building constructions, frameworks and installation techniques?
- Are there any similarities between environmental impact and energy use during the life cycle?

Based on knowledge gained in the first two steps, the last step was to determine how the energy use during the occupation phase could be lowered, i.e. the space heating, ventilation, domestic hot water, and household electricity. In Sweden, an estimate of future building's need for space heating and ventilation is usually made. This is often done during the design phase when constructional and installation drawings are at hand.

However, the design work could be made more rational. If it were possible to predict the energy use before any constructional or installation drawings are made, designers and clients could elaborate with different thermal properties and decide where further development is required to produce an energy-efficient house.

The third and final step is therefore to provide a simple and user-friendly tool for predicting energy use in a multi-family building in an early design phase before any constructional or installation drawings are made (Paper 5). Questions to be answered are:

- How can the energy use be predicted in an early design phase?
- Which are the main thermal properties of a house contribute to a low energy use?

2.1 Approach

In this study, new residential buildings are analysed. Three single-unit dwellings and four multi-family buildings are selected for an investigation of energy use and environmental impact during their life cycle. It is important to examine both single-unit dwellings and multi-family buildings in order to find possible deviations between these kinds of buildings.

The PhD-thesis is summarised and presented in chapters 2–6, but is included in full Paper 1–5.

2.1.1 The building

This study focuses on new residences built in the 1990s. During the past 5–10 years, less residential buildings have been built in Sweden, see Figure 3. Compared to what was built during the 1960s and early 1970s, the current contribution to the building stock is low. The existing building stock is studied by another research project at Lund University in Sweden. It focuses on the environmental impact of dwellings built in the 1960s – a building category that is currently facing or about to face its first large renovation or reconstruction.

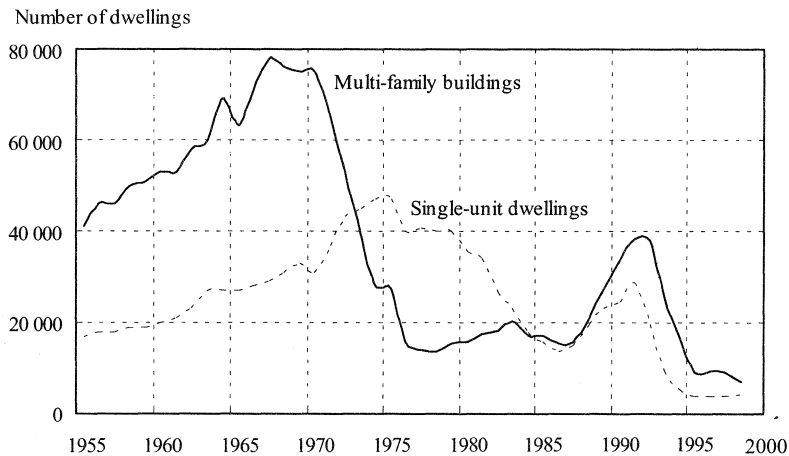


Figure 3 Growth for new residential buildings in Sweden (SCB, 1999a).

Seven authentic buildings are studied during their life cycle. The building is defined as all materials, constructions and installations included in a house – from the excavation to make room for the foundation and up to the roof, see Figure 4.

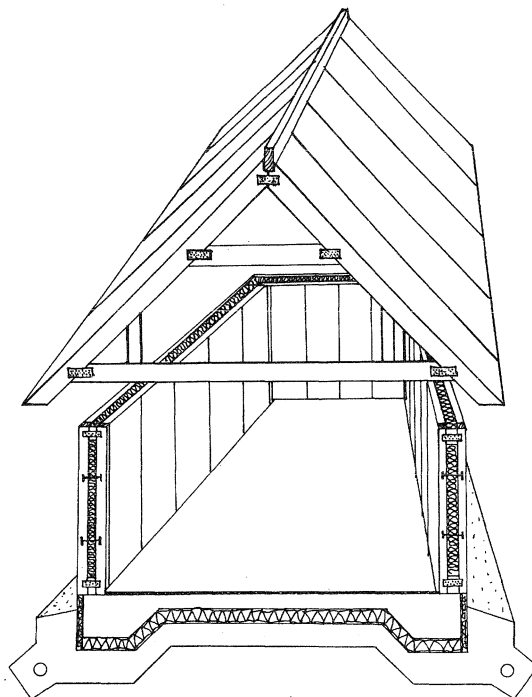


Figure 4 A building is defined as all materials, constructions and installations – from the excavation to make room for the foundation and up to the roof.

2.1.2 Definition of the life cycle

According to the definition used, the life cycle includes the following temporal phases: manufacture of building materials, transport of building materials and components to the building site, erection to a building, occupancy, maintenance and renovation, and finally demolition, and removal of debris. The content of the different temporal phases is further described in chapter 4.

The buildings are assumed to have an occupation phase of 50 years, since the economic life span normally is set to 40–50 years. It is assumed that no extensions, re-constructions or significant changes are made during the occupation phase of these 50 years. Only sequential maintenance is made, see also paragraph 4.5. The intervals for different kinds of maintenance are taken from statistical data over maintenance intervals (SABO, 1998).

2.1.3 Environmental impact of buildings

There are many factors included in the establishment of a building's environmental impact during its life cycle. Issues related to the external environment are e.g. use of soil, use of water, generation of waste including hazardous waste etc. Issues related to the internal environment impact are e.g. emissions from building materials during the occupation phase, thermal comfort, indoor air quality, acoustic quality etc.

In order to limit the scope of this thesis, the environmental impact throughout the life cycle is determined by combining the estimated energy use during the life cycle with an assumed energy supply system. This procedure is carried out for the whole life cycle, except for the manufacturing phase. For this phase, emissions related to processes are included, e.g. the emission of carbon dioxide involved to manufacture cement. The manufacturing phase is treated differently since facts about emissions arising from this phase are more established and well-known than those from other phases.

The environmental impact throughout the life cycle is determined by investigating global warming potential, acidification, eutrophication, photochemical ozone creation potentials, and human toxicity.

2.1.4 Energy supply systems

The environmental impact from the multi-family buildings is determined by combining the estimated energy use during the life cycle with an assumed energy supply system. This requires information about the energy supply system and the related emissions from the energy production. During a 50-year life cycle, the energy source or the energy supply system will supposedly change several times. In the study, however, it is assumed that the energy supply system will be constant during the entire life cycle.

The idea is primarily to compare the environmental impact of the buildings and not the impact of different energy supply systems. Hence, the average Swedish district heating mix (and not the local net for each of the buildings) and the average electricity mix in the European OECD countries is used for all the buildings to get the same 'emission set' from the heating and electricity source respectively.

The average Swedish district heating mix was chosen to get a representative mix and not an extreme mix – e.g. in the district heating net in Växjö, 95 % the production is based on biomass.

The European OECD countries' average electricity mix³ was chosen since the electricity system in Europe is slowly turning into one large network. To some extent, Sweden is already a part of this system and will be so even more in the future. The European Union's electricity mix could not be used, since Sweden imports Norwegian electricity and Norway is not in the Union.

³ The following countries are included in the OECD: Austria, Belgium, the Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Luxembourg, the Netherlands, Norway, Portugal, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

Figure 5 shows the mix in the Swedish district heating net. This heat mix is only used during the occupation phase. During the manufacturing phase the building material's heat mix is already predefined, see paragraph 4.1. During the other time phases, e.g. the transportation and the erection, no explicit heat is used. Even if heat is used during the erection phase for space heating, this heat is assumed to be produced by electricity, i.e. the European OECD electricity mix. See also chapter 4. Figure 6 shows the electricity mix of the European OECD countries. This mix is used during all the phases of the life cycle.

When the energy sources have been selected, the emissions set connected with the energy production, e.g. the amount of released carbon dioxide per kWh heat produced from oil, has to be determined. These data are collected from (Frees N et al, 1996).

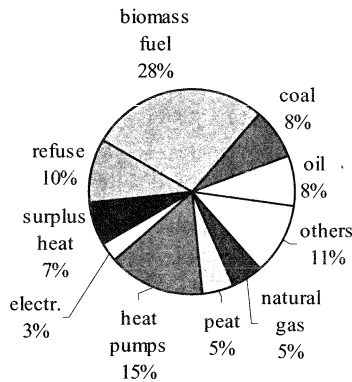


Figure 5 The mix in the Swedish district heating net, 41.2 TWh during 1997 (Swedish District Heating Association, 1999). The mix is used to determine the environmental impact due to heat usage in four multi-family houses.

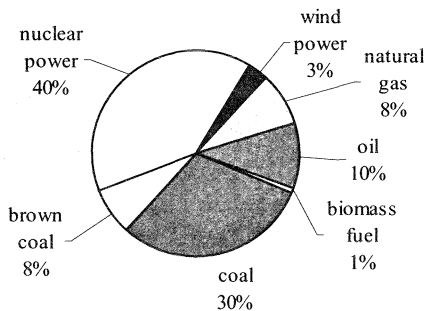


Figure 6 The electricity mix of the OECD countries within Europe, 2 678 TWh during 1995 (IEA, 1998). The mix is used to determine the environmental impact due to electricity usage in four multi-family houses.

2.1.5 Bottom-up approach

This study is performed using a bottom-up approach. Buildings are analysed in detail, i.e. the building materials that constitute its constructions and then up to a complete building. No estimates are made by multiplying one square meter of an element with the total amount of the element that constitutes one house. Consequently the increased uncertainty involved in any multiplication of one square meter of an element with a large amount of square meters is avoided. Also, the focus is on the entire building and therefore all connections between elements are included.

The opposite of the term bottom-up is top-down. In this approach, the total building stock is analysed and followed by tracing the figures down to a single building. This method is not used in this thesis, but the results from this thesis may be useful in a comparison of how these two approaches converge.

3 Description of the studied buildings

Seven buildings are investigated based on energy use and environmental impact during their life cycle. Three single-unit dwellings and four multi-family buildings built in the 1990s in Sweden are examined. They are located in Örebro, Malmö, Helsingborg, Växjö and Stockholm in Sweden. Their characteristics are presented in Table 1.

The buildings are chosen on different bases. The single-unit family houses were included in a research program involving Lund University in Sweden. It studied 26 single-unit dwellings to be exhibited at the Swedish Housing Exhibition in 1992. The exhibition was going to show how Swedish wooden dwellings are built today and in the future. From the 26 dwellings, three houses were chosen, characteristic for their time. In part, they also have different kinds of building constructions.

The building technique in the three houses is not extreme. They are constructed with prefabricated elements, a technique used for 70–80 % of all single-unit dwellings built in the early 1990s (National Association of Swedish Wooden House Manufacturers, 1999). Two of the single-unit dwellings are also standard dwellings – houses that were presented in the catalogue from Boro AB. The third dwelling has a more unique design, but is still constructed from prefabricated elements.

The single-unit dwellings all have wooden frameworks and external cladding. In two of the dwellings, the foundation is slab on ground. The third is an indoor air-ventilated crawl space. The thickness of thermal insulation in the external walls is 245–290 mm, common in many Swedish single-unit dwellings. The roofs have a thermal insulation thickness of 415–550 mm. For further information, see Paper 2.

The multi-family buildings were chosen in order to include different kinds of building constructions and simultaneously have buildings that are characteristic of their time. Different building contractors were contacted in the mid-1990s, and asked to submit information of multi-family houses for research purposes. This resulted in four buildings in different parts of Sweden. A house in Stockholm was chosen since its contractor won a contest. The purpose of the contest was to create a healthy and energy-efficient house at an affordable cost of living. The Stockholm house in this study is to some extent a modification of this house proposal.

The Växjö house was chosen since it has a wooden framework, which is quite an unusual solution for a four-storey multi-family house in Sweden. The Helsingborg house was chosen since the client received two awards for being an 'environmentally adapted' company. Finally, the Malmö house was chosen since it is built with a conventional and traditional type of building technique. The four buildings have different architectural appearances – something which has characterised buildings in the 1990s compared to multi-family buildings built e.g. in the 1960s.

The four multi-family buildings are all constructed differently. The frameworks are either light-weight concrete combined with concrete, concrete, wood or concrete combined with steel columns. Three of the foundations are slab on ground and the fourth building has a cellar. The thickness of the thermal insulation in the different external walls in the buildings is 150–235 mm. The roofs have a thermal insulation thickness of 220–400 mm. For further information, please see Paper 3.

Table 1 The characteristics of the seven studied residential buildings. The buildings are analysed based on energy use and environmental impact during their life cycle.

	Single-unit dwelling no 1 in Örebro	Single-unit dwelling no 2 in Örebro	Single-unit dwelling no 3 in Örebro	Multi-family building in Malmö	Multi-family building in Helsingborg	Multi-family building in Växjö	Multi-family building in Stockholm
Usable floor area, m ²	130	129	136	700	1 160	1 190	1 520
Number of floors	1	1	1½	2	3½	4	4
Type of building	Detached house	Detached house	Detached house	A larger detached house	Point block	Slab block	Slab block
Number of apartments	1	1	1	6	8	16	15
Type of framework	Wood	Wood	Wood	Light-weight concrete and concrete	Concrete	Wood	Steel columns and concrete
Estimated overall U value, W/(m ² ·°C)	0.18	0.18	0.21	0.26	0.44	0.32	0.30
Air change rate, h ⁻¹	0.7	0.8	0.6	0.5 *	0.5 *	0.5 *	0.5 *
Ventilation system	Mech. supply and exhaust air	Mech. supply and exhaust air	Mech. supply and exhaust air	Mech. supply and exhaust air	Mech. exhaust air	Mech. exhaust air	Mech. exhaust air
Heat recovery	Yes	Yes	Yes	Yes	No	No	No
Heat source	District heating	District heating	District heating	District heating	District heating	District heating	District heating
Space heating system	Warm air distribution integrated with the vent.	Warm air distribution integrated with the vent.	Warm air distribution integrated with the vent.	Under-floor heating	Radiators	Radiators	Radiators

* Designed value

4 Methods to determine energy use and environmental impact

The energy use and the environmental impact during the life cycle of seven residential buildings have been estimated. The various methods applied are presented in different publications. The method used to determine the energy use during the life cycle is described in Paper 1 and in Paper 3, where it is slightly revised. The major difference between the papers is that the heat recovery from debris is included in Paper 3. The method used to estimate the environmental impact is presented in Paper 4. In the paragraphs below, the methods are briefly described.

4.1 Manufacture of building materials

In order to estimate the energy use and the environmental impact in the manufacturing phase, the amount of building and installation materials has to be known. This amount is obtained from drawings and interviews with designers and contractors. Vertical and horizontal framework sections (including all kinds of fixing devices), not load-bearing partitions, surface finishes, electrical installations, and installation materials for building services are all estimated. In addition, the amount is enumerated with a waste factor, since waste arises during the erection phase depending on the skills of the craftsmen involved and the geometry and complexity of the building. The amount is calculated using typical waste factors from a study by (Larsson B, 1983).

In order to estimate the energy used to manufacture a certain amount of building material, energy data for different building and installation materials has to be known. Data from the Danish Building Research Institute (Andersen S et al, 1993) and (Dinesen J et al, 1997) are used. The advantage of using these data is that one research team has collected them with the same methodology, which means that they can be considered comparable. Corresponding Swedish data, with the stated qualities, do not exist.

The data comprises energy demands for extracting raw materials, production and transport of semi-manufactures, heating of manufacturing and administration premises, and the production of final construction materials. Some data are manufacture-specific information and some are not. When no manufacturer-specific information is available, typical generic data or data for equivalent products is used instead.

The energy required to manufacture the building and installation materials has been estimated by multiplying the amount of material with the specific energy data.

The environmental impact during the manufacturing phase has been estimated by multiplying the amount of materials with the emissions to air, liquid effluents and solid waste for each material. The emissions are related both to heating and electricity, as well as to processes, e.g. the emission of carbon dioxide to manufacture cement. This procedure has been done with the LCA tool developed at the Danish Building Research Institute (Petersen E H, 1997). In the tool, i.e. a database, quantifiable input such as raw materials and energy sources for several building materials is defined. Information of other materials can be included.

4.2 Transportation and removal of building materials

The energy use and the environmental impact due to the transport of materials have been estimated. The calculations are based on the estimated transport distance from the manufacturers of the building materials to the building sites. There are also transports from the building site to a waste-disposal site (waste from the erection, renovation and demolition). This study assumes that there is a waste-disposal plant in the municipality where the buildings are located. The transport distance is assumed to be 20 kilometres.

The energy use and environmental impact related to different transports have been derived. The kind of transport carrier, e.g. smaller lorry, larger lorry, boat or ship, for transporting different materials and/or components is thoroughly analysed. Data on energy demands and produced emissions from transport carriers using fossil fuels are taken from (Tillman A-M et al, 1991).

The energy demands and environmental impact arising from different transport jobs is assumed to be unchanged when the buildings are renovated and demolished some 30, 40 or 50 years later, even if this is not likely.

4.3 Erection and demolition of the buildings

The energy use and the environmental impact in the erection and demolition phases have also been included in the study, e.g. processes such as dehydration of building material, heating of construction object, concreting, excavation and removal of soil.

The energy use is then calculated using data collected by the Danish Building Research Institute (Andersen S et al, 1993). The environmental impact is established based on the energy use and its associated heat and electricity supply system. Other impacts, e.g. air-borne emissions during welding and painting, are omitted due to a lack of information. Most of the processes are assumed to use electricity, e.g. dehydration of building material, heating of construction objects, and concreting. The excavation and removal of soil are assumed to be performed by a vehicle using fossil fuel.

4.4 Occupation of the buildings

The energy use for space heating and ventilation during the occupation phase, including electricity use for pumps and fans, are calculated using the Swedish software Enorm (Munther K, 1996). This software enables an approximation of the energy use during the occupation phase. Since one of the objectives of this study was to determine differences between the time phases, this software suffices. In addition, it is commonly used by consultants, contractors and authorities in Sweden. Approx. 400 licenses of the software (version 1000) had been sold in December 1999 (Swedish Building Centre, 1999).

The Enorm software computes the energy and average power demand during a period of twelve months based on outdoor temperatures and average solar radiation on a 24-hour basis.

Factors taken into account in the program are e.g. the thermal transmittances and the area of the building envelope, i.e. foundation, external walls, windows, doors and roof. The thermal transmittances are calculated according to the Swedish building code (Boverket, 1994a). When an under-floor heating system is present, the thermal transmittance for the floor has

been determined using a method presented in (Adalberth K, 1995). The method considers the extra heat flow through the foundation due to a higher temperature in the floor.

Furthermore, the orientation of the windows in different directions is considered in the software.

The thermal bridges of the buildings are estimated using two-dimensional software, (Blomberg T, 1996) and (Hagentoft C-E, 1991). The following thermal bridges are determined: the connections between the foundation and the external wall, the external wall and the intermediate floor, the external wall and the balconies, and finally, between the external wall and the roof.

The air leakage of the buildings is assumed to be 0.8 litre/(m²·s) at a differential pressure of 50 Pascal, which is a maximum air leakage permitted in residential buildings according to the building code.

The indoor air temperature is assumed to be 20° C, since this level is often used in energy simulations. In reality, the indoor air temperature is often higher. An investigation performed in 800 single-unit dwellings and in 400 multi-family buildings showed that the indoor air temperature was on average 20.9° C in single-unit dwelling and 22.2° C in multi-family buildings (Andersson K et al, 1993).

Another factor to be taken into account is the heating system and its degree of efficiency within the building. All seven studied buildings rely on the district heating net for their heating supply. In this case, a 100 % degree of efficiency is assumed within the building. Pumps for the heating distribution system within the building are also considered.

In addition, the ventilation system including the airflow rate and heat exchanger is considered. The airflow rate and the kind of heat exchanger are determined using the building services' installation drawings. If the manufacturer of the heat exchanger is not known, a heat exchanger has been assumed based on the kind subscribed and combined with airflow rates. The electricity use for fans is estimated by using a general figure of 0.5 Watt per m² usable floor area and fan.

The heat capacity of the buildings is not considered in this investigation. In (Isakson P et al, 1984) it is established that a high heat capacity in residential buildings does not reduce the energy demand, since the internal load in such buildings is low. The load has to be higher than 25 W/m² usable floor area in order to take advantage of the heat capacity. In residential buildings the internal load is seldom above this limit.

The energy demand for domestic hot water production, E_{DHW} (kWh/year), and household electricity, E_{HE} (kWh/year), during the occupation phase is estimated as follows:

$$E_{DHW} = (5 \cdot \text{number of apartments} + 0.05 \cdot \text{usable floor area}) \cdot 365 \quad (\text{Equation 1})$$

$$E_{HE} = (4.8 \cdot \text{number of apartments} + 0.048 \cdot \text{usable floor area}) \cdot 365 \quad (\text{Equation 2})$$

The equations are empirical and acquired by experience (Boverket, 1994b). Household electricity includes electricity demands for stove, refrigerator, freezer, washing-machine, television, lighting etc.

4.5 Renovation of the buildings

The buildings are renovated during the 50-year of occupation. During these years it is assumed that no extensions, re-constructions or significant changes are made. Only sequential maintenance is made. The interval for different kinds of maintenance measures is taken from statistical data over maintenance intervals (SABO, 1998).

Sequential maintenance includes e.g. repainting and paper-hanging of internal surfaces every 8 years, exchange of white goods every 12 years, exchange of plastic flooring every 20 years, exchange of windows and doors every 30 years as well as wardrobes, cupboards and roofing tiles.

During the renovation, energy is needed and an environmental impact arises when residual and new building materials are transported and new building materials manufactured. These are estimated in a similar way as description in paragraphs 4.1 and 4.2.

5 Results and conclusions

This chapter presents the results from Papers 2–5.

The first three paragraphs discuss the energy use of the seven buildings. The first paragraph explains how energy is used during the life cycle. The second paragraph describes how energy is affected by different thermal properties, e.g. thermal thickness in external walls. The third paragraph presents a comparison between the estimated and the charged energy use during the occupation phase.

The fourth paragraph presents the environmental impact of the four multi-family buildings: How the impact is distributed throughout the life cycle and if it is influenced by different building constructions and installation techniques.

The fifth paragraph presents the energy use and the environmental impact during the life cycle in order to investigate whether there is conformity between the two issues.

The sixth paragraph discusses how the energy use during the occupation phase could be predicted in an early design phase, before any constructional or installation drawings were made.

5.1 Energy use during the buildings' life cycle

Table 2 and Table 3 present the estimated energy need for the three single-unit dwellings and the four multi-family buildings (Papers 2 and 3). According to estimates, the single-unit dwellings use 170, 176 and 171 kWh/(m² usable floor area · year). The annual energy use for space heating, hot water, and household electricity is 141, 148 and 128 kWh/(m² usable floor area · year). Approx. 85 % of the total energy use is used during the occupation phase.

The multi-family buildings use 123, 144, 171 and 143 kWh/(m² usable floor area · year) during their life cycle. The annual energy use for space heating, hot water, and household electricity is 100, 121, 150 and 121 kWh/(m² usable floor area · year). Approx. 85 % of the total energy use is used during the occupation phase. This means that the occupation phase's share of the total energy use is about the same for the single-unit dwellings and the multi-family buildings.

The total energy use is generally lower for multi-family buildings than for single-unit dwellings. This is due to a lower energy demand during the occupation phase. The envelope of the multi-family building is smaller per apartment than the building envelope of the single-unit dwellings. Consequently, the heat flow through the building envelope per apartment will be smaller.

Table 2 Estimated energy use during the life cycle of three single-unit dwellings. The m² in the units refer to the usable floor area in the house concerned. The figures in the table are rounded off.

Single-unit dwellings Phases	the Örebro house no 1		the Örebro house no 2		the Örebro house no 3	
	kWh/m ²	%	kWh/m ²	%	kWh/m ²	%
Manufacturing	900	11	870	10	730	10
Transport	40	0	40	0	30	0
Erection	80	1	70	1	50	1
Occupancy, 50 years	141·50=7 100	83	148·50=7 400	85	128·50=6 400	85
Renovation (manufacturing and transport)	390	5	370	4	330	4
Demolition	10	0	<10	0	<10	0
Removal	30	0	20	0	20	0
Total kWh/(m ² ·50 years)	8 500	100	8 800	100	7 600	100
Total kWh/(m ² ·year)	170		176		151	

Table 3 Estimated energy use during the life cycle of four multi-family buildings. The m² in the units refer to the usable floor area in the house concerned. The figures in the table are rounded off.

Multi-family buildings Phases	the Malmö building		the Helsingborg building		the Växjö building		the Stockholm building	
	kWh/m ²	%	kWh/m ²	%	kWh/m ²	%	kWh/m ²	%
Manufacturing	770	13	820	11	1 180	14	830	12
Transport	60	1	30	0	30	0	40	1
Erection	70	1	120	2	50	1	80	1
Occupancy, 50 years	100·50=5 000	81	121·50=6 050	84	150·50=7 500	88	121·50=6 050	84
Renovation (manufacturing, transport and recovery)	340	6	310	4	410	4	270	4
Demolition	<10	0	<10	0	<10	0	<10	0
Removal	20	0	20	0	10	0	20	0
Recovery	-110	-2	-70	-1	-620	-7	-120	-2
Total kWh/(m ² ·50 years)	6 200	100	7 200	100	8 500	100	7 100	100
Total kWh/(m ² ·year)	123		144		171		143	

According to estimates, the energy used to manufacture all construction materials including the renovation phase of the individual dwelling is approx. 15 % of the total energy use. Translated into different terms, this corresponds to 7–8 years of occupation (space heating, ventilation, domestic hot water and household electricity). In other words, independent of building size, type of foundation, framework, building construction, thermal properties and installation technique, the percentage of energy used to manufacture building materials is approx. 15 %.

However, this should not demean the importance of choosing building materials with a small environmental impact during the manufacturing phase. If two building materials have the same environmental impact during the occupation phase, the one with the lowest environmental impact during the manufacturing phase should be chosen – many a little makes a mickle. Furthermore, it is important to develop products and materials in order to get a more sustainable manufacturing process.

According to estimates, the energy used to manufacture thermal insulating materials for the dwellings (mineral wool and polystyrene) corresponds to less than 2 years' energy use during actual occupation (for space heating, ventilation, domestic hot water, and household electricity), (Paper 2). Such energy demand to manufacture thermal insulating material are noteworthy, since this material contributes to a low energy use during the occupation phase.

The estimated energy demand for transports and processes during the erection and demolition of the houses constitutes approx. 1 % of the total energy use. Compared to the entire life cycle, very little energy is hence used for such purposes.

Table 2 and Table 3 also indicate that a high energy use during the manufacturing phase does not imply a low energy use during the occupation phase. In addition, a low energy use during the manufacturing phase does not imply a high energy use during the occupation phase. The important thing is to attain a building with a low energy demand during the occupation phase, since this results in a low energy demand during the entire life cycle.

5.1.1 Discussion

The results presented in Table 2 and Table 3 are somewhat uncertain. The estimated energy demand to manufacture the building materials is uncertain, since some of the information is not manufacturer-specific. In these cases, typical generic data or data for equivalent products is used. If the energy demand of the manufacturing phase is increased or decreased by 50 %, the 85–15 ratio becomes 80–20 or 90–10 (depending on whether 50 % are added or subtracted).

Furthermore, the occupation phase in this study is assumed to be 50 years, but will hopefully last longer. If so, the environmental impact from this phase will become even more dominant during the life cycle.

The presented results are true for residential buildings in Sweden with a total occupational energy use of more than 100 kWh/(m² usable floor area · year). If a house uses zero kWh during the occupation phase, the manufacturing energy will be very important. The question is when the energy for manufacture is equal or higher than the amount for occupational energy use. If the occupation phase is 50 years and the manufacturing energy use is 1 000 kWh/m², which is approx. what the seven residential buildings used, the occupation phase should be lower than 20 kWh/(m² usable floor area · year) in order to attain at least a 50–50 ratio.

5.1.2 Conclusion

The occupation phase constitutes approx. 85 % of the total estimated energy demand during the buildings' 50-year life cycle.

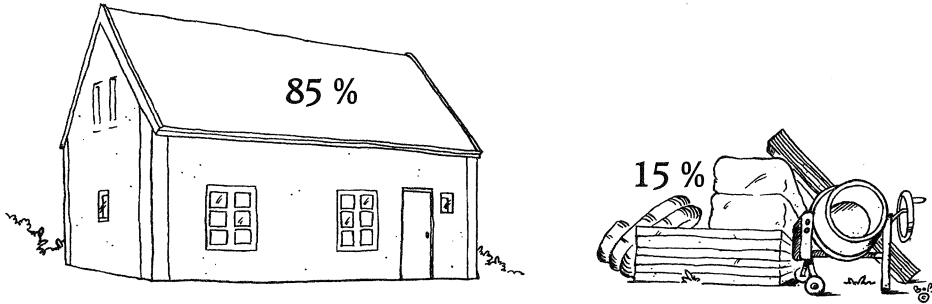


Figure 7 Approx. 85 % of the total estimated energy demand during a building's 50-year life cycle is used during the occupation phase. 15 % is used during the manufacturing and renovation phases.

5.2 Influence of different thermal properties

Different thermal properties in one of the multi-family buildings (the Väjjö building) is altered, e.g. framework, thickness of thermal insulation in external walls, thermal character of windows, and degree of heat recovery in the exhaust air (Paper 3). This is done in order to analyse how the alteration influences the total energy use during the life cycle.

The constructions are varied in such a way that the building's performance will be the same. When e.g. the thermal thickness in the external wall increase, additional materials are included in order to get moisture, thermal and structural 'correct' construction. In addition, when the thickness of the thermal insulation is increased, the wall 'grows' outward in order to receive the same usable floor area.

Results show that there are many ways to decrease the energy use in buildings by using well-known technology, see Table 4. One energy-efficient solution is to increase the thermal insulation thickness in the external walls from approx. 240 mm to 370 mm. The thermal transmittance is then decreased from 0.20 to 0.13 W/(m²·°C), which increases the energy used for manufacture from 1 180 to 1 200 kWh/m². Nevertheless, the energy use during the occupation phase is decreased from 7 500 to 7 310 kWh/(m²·50 years). This means that the total energy is decreased by approx. 200 kWh/m².

The windows are also varied. They are exchanged from a single pane with a sealed unit and a thermal transmittance of 1.90 W/(m²·°C), to a single pane with a sealed argon-filled unit with two surfaces and low-emission coatings and a thermal transmittance of 1.15 W/(m²·°C). The energy for manufacture increases from 1 180 to 1 200 kWh/m². Nevertheless, the energy use during the occupation phase is decreased from 7 500 to 6 960 kWh/(m²·50 years). This results in a decreased total estimated energy use by approx. 500 kWh/m².

Table 4 The influence on total energy use for the Väjjö building with different thermal properties.

The Väjjö building	The original building*	External walls with U value 0.13 W/(m ² ·°C)	Windows with U value 1.15 W/(m ² ·°C)	Mech. vent. with heat exchanger
Phases	kWh/m ²	kWh/m ²	kWh/m ²	kWh/m ²
Manufacturing	1 180	1 200	1 200	1 190
Transport	30	30	30	30
Erection	50	50	50	50
Occupancy, 50 years	150·50=7 500	146·50=7 310	139·50=6 960	127·50=6 360
Renovation (manufacturing, transport and recovery)	410	410	410	410
Demolition	<10	<10	<10	<10
Removal	10	10	10	10
Recovery	-620	-620	-620	-620
Total kWh/(m ² ·50 years)	8 500	8 300	8 000	7 400
Total kWh/(m ² ·year)	171	167	161	148

* The original building has no heat recovery in the exhaust air, the thermal transmittance of the external walls is 0.20 W/(m²·°C) and the thermal transmittance of the windows is 1.90 W/(m²·°C).

Another energy-efficient solution would be to use a heat recovery system to gain heat from the exhaust air, Table 4. If the temperature efficiency is increased from 0 % (i.e. no heat recovery) to 70 % in the Växjö building and the same air change rate is maintained, the estimated energy use during the life cycle is decreased by approx. 1 100 kWh/m².

In (Adalberth K, 1995) the thermal properties of a single-unit dwelling (the Örebro house no 1) are also altered. When the thermal insulation thickness in the external walls is increased from 290 mm to 490 mm, the energy use for manufacture increases from 900 to 940 kWh/m² and the energy use during the occupation phase decreases from approx. 7 100 to 7 000 kWh/(m²·50 years). This means that the total energy is decreased by approx. 100 kWh/m².

The same pattern is obtained by increasing the number of window-panes in the Örebro house no 1. The original windows have a single pane plus a sealed unit with a low-emission coating, which gives a thermal transmittance of 1.63 W/(m²·°C). These are exchanged for two separate sealed units (quadruple-glazed windows) with two surfaces with low-emission coatings, which gives a thermal transmittance of 0.85 W/(m²·°C). The manufacturing energy use is increased from 900 to 910 kWh/m², but the energy use during the occupation phase is decreased from 7 100 to 6 400 kWh/(m²·50 years), resulting in a total reduction of the energy use by 600 kWh/m². Thus, the same pattern is generally obtained for single-unit dwellings and multi-family buildings when the thermal properties are altered.

5.2.1 Influence of different frameworks

The framework in the Växjö building is also altered. The original building has a wooden framework, which is exchanged for a concrete framework. It is necessary to achieve an identical use of energy during the phase of occupancy, i.e. the space heating, for both alternatives in order to compare the frameworks only. Result show that the house uses 171 and 172 kWh/(m²·year) for the wooden and concrete framework respectively, Table 5. The difference is small or insignificant. (Paper 3)

Table 5 The influence on total energy use for the Växjö building with different frameworks.

Multi-family building in Växjö	Wooden framework	Concrete framework
Phases	kWh/m ²	kWh/m ²
Manufacturing	1 180	960
Transport	30	30
Erection	50	100
Occupancy, 50 years	150·50=7 500	149·50=7 450
Renovation (manufacturing, transport and recovery)	410	410
Demolition	<10	<10
Removal	10	20
Recovery	-620	-340
Total kWh/(m ² ·50 years)	8 500	8 600
Total kWh/(m ² ·year)	171	172

5.2.2 Inter-related results

There are other thermal properties, which influence the energy use during the life cycle, e.g. thermal bridges. (Adalberth K, 1995) studies thermal bridges in the foundation of a single-unit dwelling. The floor over a crawl space has longitudinal and pervading beams in order to support the floor. The beams constitute large thermal bridges and cause increased space heat by approx. 15 %. The need for space heating without the thermal bridges is approx. 85 kWh/(m²·year). From a life cycle perspective, the energy use during the occupation phase would be greatly increased, resulting in an even more dominant phase.

Another feature, which may influence the energy use during the life cycle, is under-floor heating. In a single-unit dwelling, the energy use is estimated with under-floor heating and radiators respectively (Adalberth K, 1995). Results show that the estimated need for space heating increases by approx. 15 % with under-floor heating, which results in a substantial increase of the energy need during the occupation phase and consequently of the total energy need.

5.2.3 Conclusion

Different thermal properties influence the total energy use of the life cycle in different ways. However, little energy is used to manufacture building materials and installations. Instead, the different thermal properties of the buildings have a large influence on the energy need during the occupation phase. When e.g. the thermal thickness in the external wall is increased, so is the energy demand during the manufacturing phase, but the energy demand during the occupation phase decreases more. This means that the total energy use during the life cycle is reduced.

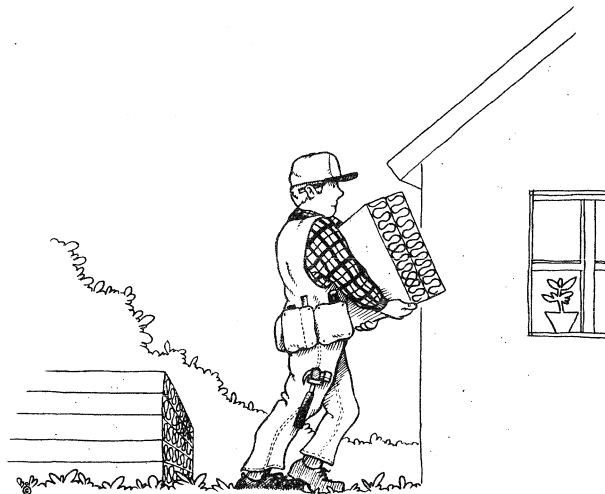


Figure 8 It is wise to design residential buildings by focusing on the occupation phase, i.e. providing energy-efficient solutions to the building in order to attain a low energy demand during occupancy.

5.3 Calculated and charged energy use during the occupation phase

The calculated energy use during the occupation phase of the buildings is compared to the actual energy use (Paper 3).

The charged energy use for the *multi-family buildings* is determined by contacting the property manager to receive the use of heating and electricity outside the apartments, e.g. for laundry facilities, and energy use for fans and pumps. In addition, the energy supplier has been contacted in order to get the electricity inside apartments, i.e. household electricity included lighting. The charged heat is determined for only one year: November 1997 to October 1998 i.e. the second year of occupation.

The energy use for space heating and ventilation is calculated to be between 53 and 94 kWh/(m²·year), but the charged energy use is between 97 and 129 kWh/(m²·year) for the multi-family buildings. This is an increase of 30–90 %. The opposite is found for electricity use. The calculated electricity use is higher than the charged use, between 10 and 20 kWh/(m²·year).

The difference between the calculated and the charged energy use during the occupation phase could be just as high as the energy demand in the manufacturing phase. If the deviation is 20 kWh/(m²·year), or 1000 kWh/m² during a 50-year life cycle, it equals the size of the manufacturing phase. In sum, the charged energy use is between 0 % and 50 % higher than the calculated use. Since the charged use is higher than the calculated use, the dominant occupation phase is even more dominant than initially believed. This deviation will have an influence, albeit of a different magnitude, on the total energy use during the life cycle.

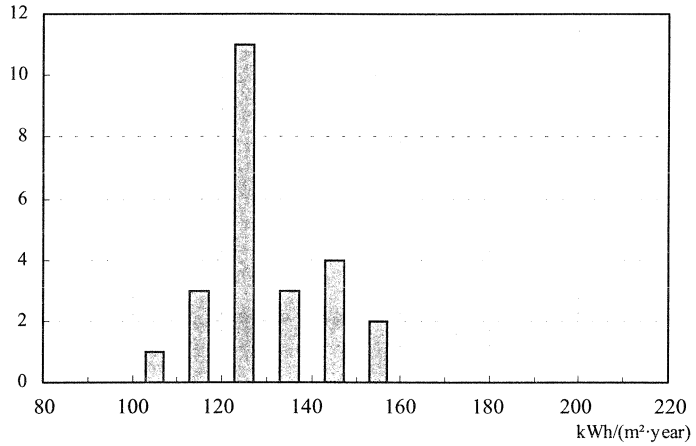
One reason for the deviation could be that the actual indoor temperature is higher than the assumed 20° C. Furthermore, the air change rate may be higher than the assumed 0.50 acv. In addition, there may be deviations from the project documents compared to the actual performance of the building constructions and building services.

5.3.1 Inter-related results

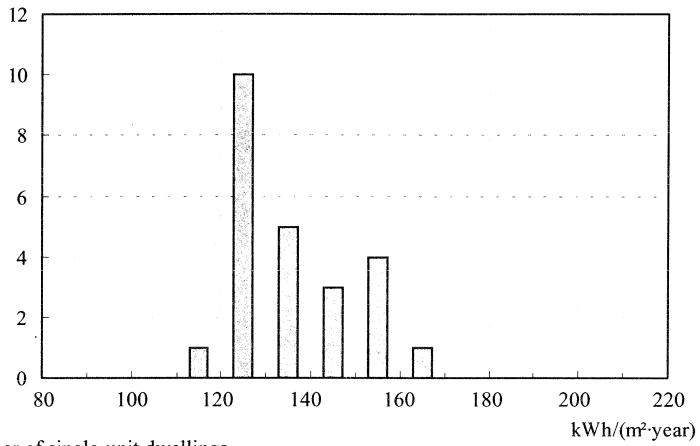
Deviations between the project documents and the actual performance were observed during a comparison of a design phase and the following contracting work (Adalberth K, 1995). The total energy use of 24 single-unit dwellings⁴ (i.e. space heating, ventilation, domestic hot water and household electricity) were calculated during the design phase based on the project documents. On average, the energy use was 129 kWh/(m²·year). Later, the energy use was calculated based on knowledge of how the dwellings were actually built. The following deviations from the project documents were observed: failures in the air tightening, inappropriate selection of windows compared to what was prescribed in the design phase, deviation in the adjustment of ventilation flow rates and poor thermal insulation in some crawl spaces. These deviations resulted in an increase in the average energy use from 129 to 135 kWh/(m²·year). Two years later, it was possible to receive the charged energy use for the 24 dwellings. The charged energy use during the second year of occupation was on average 145 kWh/(m²·year), Figure 9.

⁴ Three of the 24 dwellings are among those investigated in Paper 2, namely the Örebro house no 1, 2 and 3.

Number of single-unit dwellings



Number of single-unit dwellings



Number of single-unit dwellings

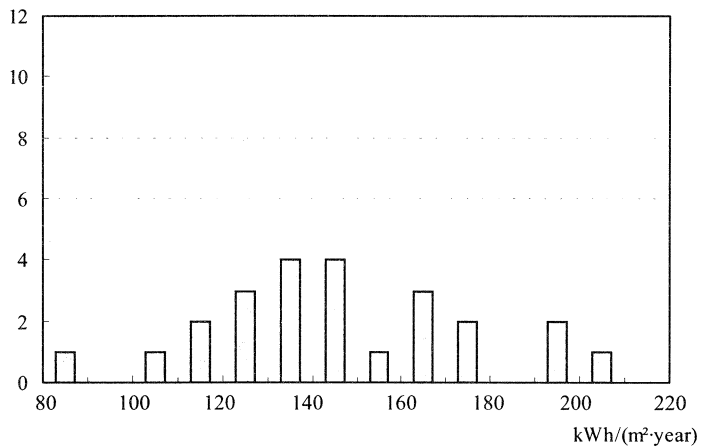


Figure 9 The top diagram shows the estimated energy use during occupation based on the project documents for 24 single-unit dwellings. The centre diagram shows the estimated energy use based on information of how the dwellings were built. The bottom diagram shows the charged energy use.

A study by (Sandberg E, 1998) describes the calculated and the charged energy use in 16 multi-family buildings. The calculated heat demand for space heating, heating for ventilation and domestic hot water is on average 97 kWh/(m²·year). The charged energy use is on average 155 kWh/(m²·year). This means that the charged heat is more than 50 % higher than the calculated heat. The difference can e.g. be explained by a higher indoor air temperature, a frequent use of window airing, a higher ventilation rate, a higher degree of solar shading due to surrounding buildings and trees, and finally, a higher degree of thermal bridges.

5.3.2 Conclusion

There is often a deviation between the calculated and the charged energy use for buildings. The size of the deviation summed up over 50 years of occupation may be just as high or higher than the manufacturing phase.

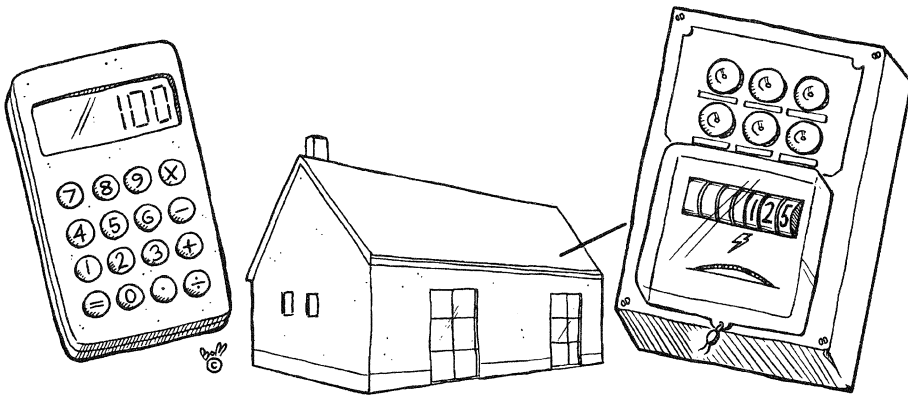


Figure 10 There is often a deviation between the calculated and the charged energy use for buildings. The deviation for the studied residential buildings is between 0 % and 50 %.

5.4 Environmental impact during the buildings' life cycle

The environmental impact of the four multi-family buildings has been estimated (Paper 4). The impact is determined by investigating global warming potential, acidification, eutrophication, photochemical ozone creation potentials, and human toxicity.

Results show that the occupation phase has the highest environmental impact among the temporal phases that the buildings pass, see Figure 11. The occupation phase is assumed to be 50 years and consequently important. 70–90 % of the estimated environmental impact during a dwelling's life cycle arises during the occupation phase.

The second dominant phase is the manufacturing of building and installation materials. This phase constitutes 10–20 % of the life cycle. The environmental impact from the other phases – transport, erection and demolition – has a marginal influence on the impact.

According to estimates, the environmental impact that arises when all construction materials of the different multi-family buildings are manufactured is 10–20 % of the total impact. The figure is independent of the building's size, type of foundation, framework, building construction, thermal properties and installation technique.

5.4.1 Discussion

The choice of energy source during the life cycle has a large effect on the environmental impact. A sensitivity analysis has been performed, in which the electricity mix is altered from the OECD European mix to a Swedish mix. Results show that the influence of the occupation phase decreases from 80–90 % to 60–80 % for all the effect categories, except human toxicity which decreases from 70 % to 40 % when a Swedish mix is used (45 % nuclear and 45 % hydroelectric power).

This decrease may be explained by the fact that the Swedish electricity mix is 'cleaner' from an LCA perspective. In LCA methodology, the environmental impact from electricity produced in nuclear power plants is minor. Often, the environmental impact is estimated based only on the construction and operation of the power plant. The effects from the manufacture of uranium and the final waste disposal are seldom handled, since this impact can not be presented in global warming potential or acidification. Consequently, the environmental impact arising from nuclear power plant activities is underestimated.

The lowest environmental impact from a building is of course received when 100 % renewable energy sources are used, e.g. biomass for heat and wind power for electricity use. In this way, the emissions, i.e. indirectly the impact on the environment, will be low.

One of the studied multi-family houses is located in Växjö, where the district heating system has much renewable energy, namely 90 % biomass. If the electricity used in the building also were made up by renewable energy e.g. wind power, the total environmental impact during the life cycle would be extremely low.

The environmental effects from exploiting biomass are not well-known or documented. Hence, the supplied energy to the Växjö building must be used efficiently in order to share the biomass with other buildings in Växjö or its surroundings, having a more polluted energy mix.

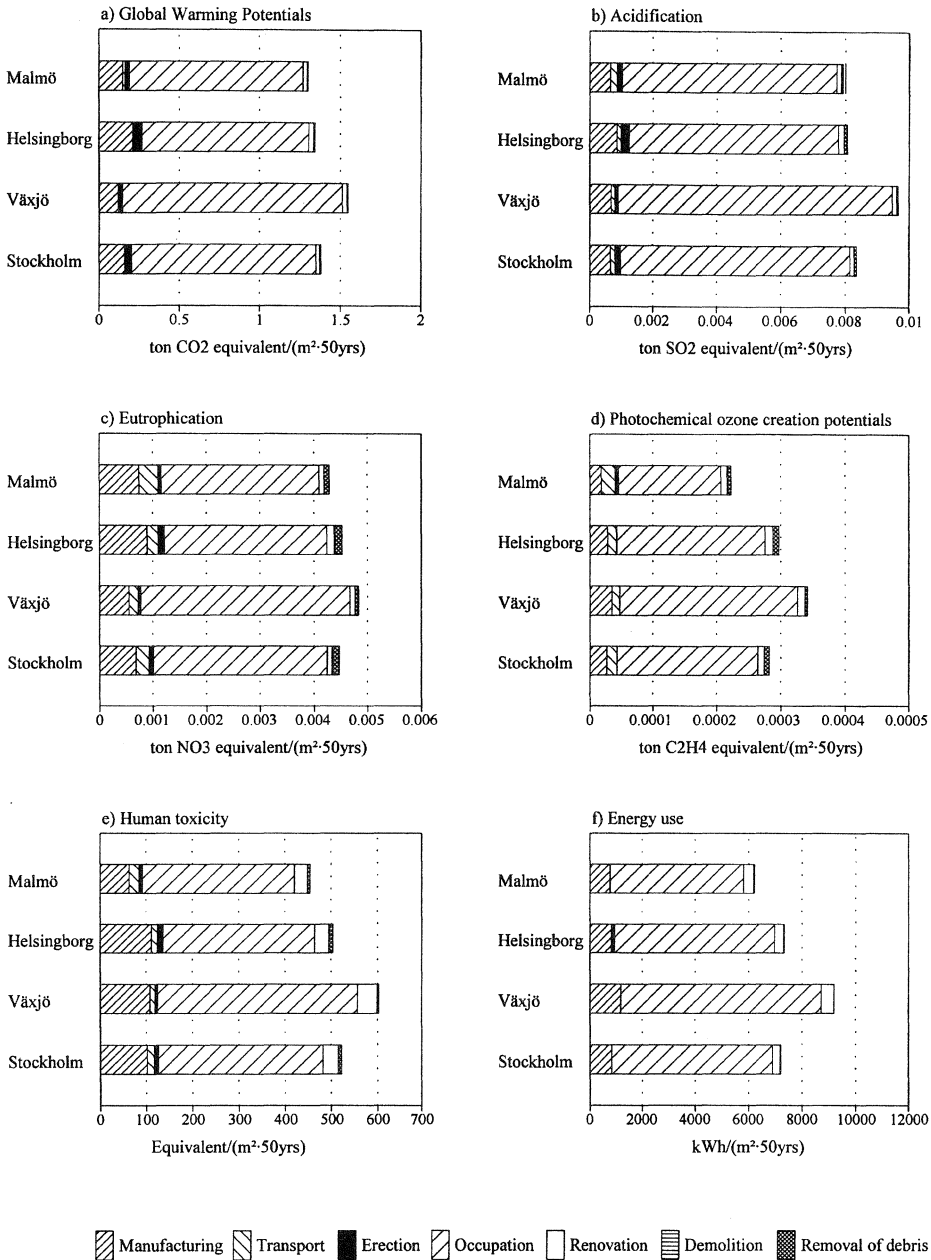


Figure 11 The environmental impact (expressed as global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity) and energy use during the life cycle of the four multi-family buildings.

5.4.2 Conclusion

The occupation phase constitutes 70–90 % of the total environmental impact during the buildings' life cycle.

The environmental impact from the manufacturing phase constitutes 10–20 % of the total, and therefore has little influence on the total impact. The figure is independent of the multi-family building's size, type of foundation, framework, building construction, thermal properties and installation technique.

Instead, the building's constructions and installation techniques have a large influence on the environmental impact from the occupation phase and consequently on the total environmental impact.

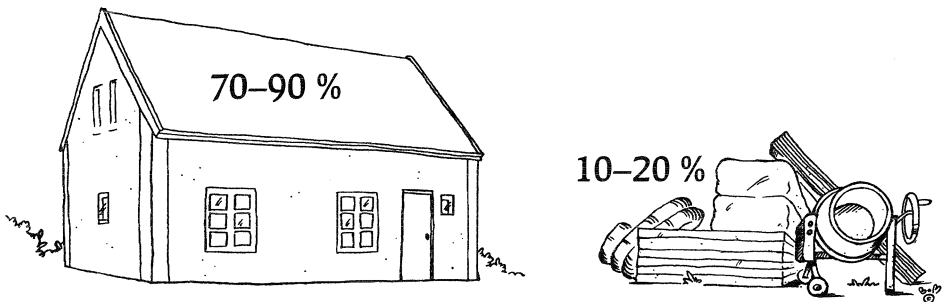


Figure 12 70–90 % of the total environmental impact during the multi-family building's life cycle arises during the occupation phase. 10–20 % arises during the manufacturing and renovation phases.

5.5 Environmental impact and energy use of buildings

The estimated energy use and environmental impact of the four multi-family buildings have been compared with each other. It has been performed by investigating the importance or percentage of the different phases (Paper 4). The environmental impact is expressed as global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity.

Figure 11 shows that the energy use and the environmental impact of the different phases have a similar distribution over the life cycle. Approx. 85 % of the total estimated energy demand is used during the occupation phase and 70–90 % of the total environmental impact arises also during the occupation phase. The manufacturing and renovation phases constitute almost the entire remainder, approx. 15 % and 10–20 % respectively.

With this information, parallels may be drawn between energy use and environmental impact, since there are similarities between the distributions during the life cycle.

5.5.1 Discussion

Although the distribution over the life cycle is similar, the total levels – e.g. in tons CO₂ – is highly dependent on the energy mix. If e.g. the electricity mix in one of the multi-family buildings is changed from the OECD European mix to a Swedish mix, the global warming potential decreases from 1.3 to 0.4 tons CO₂ equivalent/(m²·50 years), explained by the fact that the Swedish electricity mix is ‘cleaner’ from an LCA perspective, see paragraph 5.4.1. Even if the electricity mix is changed, the occupation phase still plays an important part of the life cycle.

5.5.2 Conclusion

There is conformity between the energy use and the environmental impact during the life cycle. In both aspects, the occupation phase constitutes a majority of the life cycle, 85 % and 70–90 % respectively. In addition, the distribution of energy use and environmental impact over the life cycle has a similar pattern. Therefore the energy use of a building may be used as one indicator of its environmental status.

5.6 Predicting the occupational energy demand in an early design phase

Due to the compiled information in paragraph 5.1–5.5, this paragraph deals with the energy use during the occupation phase. In Sweden, an estimate of the future building's need for space heating and ventilation is usually made. This is often done during the design phase when constructional and installation drawings are at hand. However, this process could be made more rational. If it was possible to predict the energy use before any constructional or installation drawings were made, designers and clients could elaborate with different thermal properties and hence decide how to attain an energy-efficient house.

Thus, this paragraph summarises a simplified and user-friendly tool for predicting the energy use in a multi-family building in an early design phase before any constructional or installation drawings are made (Paper 5).

The tool has been developed to calculate the energy use for multi-family buildings of different size and with various thermal properties. In total, 17 parameters are varied. The alterations of the buildings are planned with a reduced experimental design in order to get the maximum amount of information with as few runs as possible. The design is performed with the software Modde (Umetri, 1997). The results from the energy simulations are evaluated with the statistical method multiple linear regression (Draper N R et al, 1998).

The output is a mathematical model showing the relation between the parameters and the energy use during the occupation phase for space heating and ventilation included electricity for pumps and fans, E_{SHV} [kWh/year], see Equation 3 and 4.

The total energy use for space heating, ventilation, domestic hot water and household electricity is often of interest to designers and clients. In order to receive the total energy use, the habits of the residents have to be known. If the residents are assumed to use 1 500 kWh/person for domestic hot water E_{DHW} , (Briheim B, 1991) and (Haugen T, 1984), and 3 000 kWh/apartment for household electricity E_{HE} , (Lyberg M, 1989) and (Pettersen T D, 1997), the total energy use during the occupation phase, E_{TOTAL} may be estimated as follows:

$$E_{TOTAL} = E_{SHV} + E_{DHW} + E_{HE} \quad (\text{Equation 3})$$

$$E_{TOTAL} = 10^A + 1\,500 \cdot \text{number of residents} + 3\,000 \cdot \text{number of apartments} \quad (\text{Equation 4})$$

where

$$A = 3.175 + 0.013 \cdot L + 0.023 \cdot W + 0.102 \cdot H + 0.004 \cdot T_i + 0.962 \cdot U_{pwr} + 0.151 \cdot U_w + 0.286 \cdot q_{th} - 0.182 \cdot T_u + 0.435 \cdot acr + 0.103 \cdot V + 0.006 \cdot T_i \cdot T_u \quad (\text{Equation 5})$$

Abbreviations in Equation 5 are listed in Table 6. The table also presents the intervals for which the parameters are valid. Note that equation 4 is only valid within these intervals. The intervals are chosen from different references, see Paper 5. The paper also includes an example of how the equation may be used.

The factors in front of the parameters in Equation 5 are scaled and centred in order to compare their internal influence on the energy use, see Table 6. Note that their influence is only true when the parameters are varied within the presented intervals.

Table 6 The factors in front of the parameters in Equation 5 are scaled and centred in order to compare the parameters' influence on the energy use. The orthogonally scaled and centred coefficients are only true for the listed parameters when they are varied within the presented intervals.

	Intervals	Orthogonally scaled and centred coefficients
Thermal bridges, q_{tb}	$0.05 < q_{tb} < 1.20 \text{ W}/(\text{m} \cdot ^\circ\text{C})$ *	0.33
The average outdoor temperature, T_u	$1.8 < T_u < 8.0^\circ\text{C}$	0.30
Ventilation system, V	$-1 < V < 1$	0.21
<ul style="list-style-type: none"> -1 = balanced ventilation with heat exchanger, temperature efficiency 80 % -0.5 = balanced ventilation with heat exchanger, temperature efficiency 65 % 0 = balanced ventilation with heat exchanger, temperature efficiency 50 % 1 = exhaust air with no heat exchange 		
Number of levels, H	$2 < H < 4$	0.20
Indoor air temperature, T_i	$19 < T_i < 25^\circ\text{C}$	0.20
U values of windows, U_w	$1.00 < U_w < 2.00 \text{ W}/(\text{m}^2 \cdot ^\circ\text{C})$	0.15
U values of floor, walls and roof U_{fwr}	$0.15 < U_{fwr} < 0.30 \text{ W}/(\text{m}^2 \cdot ^\circ\text{C})$	0.14
The length of the building, L	$25 < L < 35 \text{ m}$	0.13
Air change rate of the mechanical ventilation, acr	$0.3 < acr < 0.6 \text{ h}^{-1}$	0.13
The width of the building, W	$10 < W < 15 \text{ m}$	0.11
Average size of apartments, apt	$65 < apt < 85 \text{ m}^2/\text{apartment}$	<0.10
Area of windows, A_w	$10 < A_w < 20\%$ of usable floor area	<0.10
Orientation of windows, W_{orient}	$10 < W_{orient} < 40 \%$ facing south and north, respectively	<0.10

* A high value represents a design in which no efforts are made to reduce the amount of thermal bridges. A low value represents a careful design in which the intersections between different building constructions are thoroughly considered.

As shown in Table 6, the thermal bridges and the average outdoor air temperature have the largest influence on the energy use for space heating and ventilation. It must be stressed that the magnitude of the orthogonally scaled and centred coefficient of the thermal bridges depends on its large interval.

The second most important factors is the kind of ventilation system, the height of the building and the indoor air temperature.

The thermal transmittance of the windows and the floor/walls/roof have about the same influence on the energy use as the air change rate and the house's length and width. The average size of the apartments, the window area and the orientation of the windows have a small influence only.

6 Recommendations

Based on the results and conclusions certain recommendations can be made within the area of energy use and environmental impact in new residential buildings.

Since conformity between the estimated energy use and the environmental impact during the life cycle is established, and since the occupation phase is very dominant, the following three recommendations are articulated:

1. A house should be designed with low energy use during the occupation phase, even if the energy demand during the manufacturing phase will increase. This is e.g. achieved by:
 - Making careful designs of the intersections between elements in order to keep values for thermal bridges as low as possible
 - Designing ventilation systems with heat recovery of the exhaust air
 - Choosing energy-efficient windows for the building.
2. The energy use for space heating, ventilation, domestic hot water and household electricity should be estimated during the design phase with realistic input to ensure that the predicted energy use matches reality.
3. High quality during constructions (the actual erection of the building) should be enforced to maintain a minimal deviation between the designed and performed building.

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**Energy use during the Life Cycle
of Buildings: a Method**

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Energy use during the Life Cycle of Buildings: a Method

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So far, research has mainly been concentrated on the energy use for buildings during their period of use, that is to say, the energy needed for space heating, hot water and electricity. But what about the energy use for a building during its life cycle? This paper presents a method on how to calculate the energy use during the life cycle of a building. In the companion paper "Energy use during the life cycle of single-unit dwellings: examples" [Building and Environment, 1997, 32, 321-329] the method is applied on three single-unit dwellings built in Sweden in 1991 and 1992. © 1997 Elsevier Science Ltd.

BACKGROUND

Studies on the total energy use during the life cycle of a building are desirable, considering the urgent necessity to save energy. To date, research has mainly focused on the energy use for buildings during their period of use: space heating, hot water and the need for electricity. The purpose of this study is to present a developed methodology on how to estimate the energy use during the life cycle of a building.

In the present context, the expression "the life cycle of a building" refers to all temporal phases or stages, from the point where the construction materials are produced until the building is to be demolished. Energy is required during every one of these stages. The temporal phases involved are presented in Fig. 1.

Pertinent conditions, definitions and restrictions

In order to be able to calculate the energy use during the life cycle of a building, some definitions and restrictions have to be made. When calculating the amounts of construction materials, all the quantities have to be included: from the excavation for the foundation (including the drainage and capillary-severing layer) up to the chimney on the roof.

The period of use for buildings, the so-called "management" phase, has to be assumed. In this study the management phase is assumed to be 50 years, as the economic life-span of a building in Sweden is about 40-50 years. The energy use during the management period is based on the assumption that no extensions or considerable changes are made during the relevant 50-year period. Only "normal" maintenance has been taken into account.

METHOD

Manufacturing energy use during production and renovation

Energy is required whenever construction materials are going to be manufactured. Table 1 presents a compilation of the manufacturing energy requirements (primary energy) regarding construction materials [1]. The energy uses stated are general in character. It would be desirable for the manufacturers of these materials to be able to supply information regarding the energy use associated with their particular product. Such a statement would ensure that more specific energy requirement data for each type of construction material would become available. At the same time, this energy use would be monitored and adjusted as product development continued.

In Table 1, the waste of each material produced during the erection of the building is also presented. The waste is expressed as a waste factor w_i (%).

The energy requirement for producing all the building materials, Q_{manuf} (kWh), is estimated as follows:

$$Q_{\text{manuf}} = \sum_{i=1}^n m_i \cdot (1 + w_i/100) \cdot M_i$$

where n = number of materials, i = the material of concern, m_i = amount of the building material i (ton), w_i = the factor for waste of the material i produced during erection of the building (%), and M_i = energy required for manufacturing the building material i (kWh/ton).

In order to be able to calculate the energy use during the renovation phase, some assumptions regarding the life-span of the various construction materials have to be made (see Table 2). These life-spans are collected from the maintenance norm of the Organisation for Municipal Housing Companies [3]. The assumed life-spans contained in the maintenance norm are based on experience. The relevant materials are exchanged (number of times)

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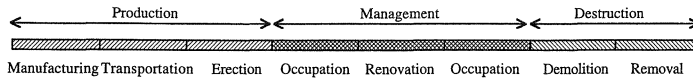


Fig. 1. The shifting temporal phases of a building during its life cycle.

Table 1. Energy use for manufacturing construction materials, M_i (kWh), collected from Andersen *et al.* [1]. Manufacturing energy (primary energy) comprises energy required for the extraction of the raw material and the production and transport of semi-manufactures; the heating of manufacturing and administration premises; and the production of the final construction material. The combustion value of the construction materials is also included in the manufacturing energy, i.e. no deduction for such a value has been made. The factor for waste w_i (%) produced during erection or renovation of the building is based upon a study by Larsson [2]

Materials	M_i (kWh/ton)	w_i (%)
Concrete, reinforced	560	20
Concrete, plain	210	10
Gypsum wallboard	2400	10
Tiles and clinkers	2000	10
Timber: rough saw (0.5 ton/m ³)	1440	10
Timber: planed (0.5 ton/m ³)	2240	10
Timber: shingles and shavings (0.6 ton/m ³)	3150	7
Glass	7230	0
Mineral wool	5330	10
Polyvinyl chloride (PVC)	24 650	5
Polythene	16 400	5
Polystyrene	29 650	10
Coatings: paints and lacquers	7000	5
Steel	8890	5
Copper	19 500	5
Ventilating channels, sheet metal	9000	10
Electric wires, copper	19 780	5
White goods, 1110 kWh/item	—	0

according to the following formula:

$$\frac{\text{life-span of a building}}{\text{life-span of material}} - 1$$

An example of this is as follows. A plastic carpet is assumed to have a life-span of 17 years, according to Table 2. Our calculations thus inform us that it will be exchanged $(50/17) - 1 = 1.9$ times.

The exact meaning of the concept "life-span" in relation to a product varies. Sometimes a product will be

Table 2. The life-spans of some construction materials

Life-span of building	Life-span (years)
Life-span of building	50
Frame (external walls, interior walls, joists, fundament, insulation)	50
Parquet flooring	50
Water pipes and electric wires	50
Ventilating channels	50
Facing: wooden panelling	30
Windows and doors	30
Wardrobes and cupboards	30
Roofing tiles and drainpipes	30
Plastic carpeting	17
Water heater	16
White goods	12
Painting and wallpapering	10

exchanged because it has expired or has become worn out. In such a case, the life-span may be the "technical life-span", for instance the life-span of white goods. In another case, a product might be replaced due to altered fashions, or because the user has become tired of the appearance of a certain product. In such a context, the life-span may be considered as the "aesthetic life-span", e.g. wallpaper or indoor paint.

The energy use for producing the building materials during the renovation, $Q_{\text{manuf,renov}}$ (kWh), is estimated as follows:

$$Q_{\text{manuf,renov}} = \sum_{i=1}^n m_i \cdot (1 + w_i/100) \cdot M_i \cdot \left(\frac{\text{life-span of a building}}{\text{life-span of material } i} - 1 \right),$$

where n = number of materials; i = the material concerned; m_i = amount of the building material i (ton); w_i = factor for waste of the material i produced during erection of the building (%); and M_i = energy required for manufacturing the building material i (kWh/ton).

Energy use for transportation during the production, renovation and destruction

Energy is required whenever construction materials are to be moved from one place to another. Transport takes place from the manufacturer to the building site, both while the building is being erected and when it is renovated. It should be pointed out that the transportation of raw and semi-manufactured materials is included in the manufacturing energy category. This transport energy accounts for approximately 5–10% of the manufacturing energy for each construction material.

There will also be transportation from the building to waste disposal sites in connection with renovation and demolition. This study assumes that there is a waste disposal plant in the municipality where the building is located. The relevant transportation distance is assumed to be 20 km.

Table 3 presents various energy uses associated with different kinds of transportation. In the context of transportation, the relevant source of energy is made up of fossil fuels.

One reason for the difference in energy use between lorries for long-distance and short-distance transportation is that lorries which have long distances to go

Table 3. Energy use (primary energy) for various types of transportation according to Tillman *et al.* [4]

Means of conveyance	Transport energy, T_e (kWh/ton km)
Road, long-distance (distances > 50 km)	0.28
Road, short-distance (distances ≤ 50 km)	0.75
Coastal vessel	0.13
Deep-sea transport	0.06

Table 4. Energy use (primary energy) for various processes during the erection and demolition of buildings [1]

Types of processes	P_i
Drying of standard concrete on building site	44 kWh/ton
Drying of concrete element	25 kWh/ton
Excavation and removal of soil	32 kWh/m ³
Smoothing of soil	3 kWh/ton
Crane lifting	2 kWh/m ²
Lighting of construction object	26 kWh/m ² usable floor area
Heating of construction object	26 kWh/m ² usable floor area
Heating of sheds	14 kWh/m ² usable floor area

will carry larger loads (see Table 3). Another relevant factor is that short-distance transportation tends to take place on streets and roads in cities, whereas long-distance transport primarily occurs on country roads and hence requires less fuel.

The energy use, Q_{transp} (kWh), for transporting the building materials to and from the building site when erecting, renovating and demolishing the building is estimated as follows:

$$Q_{\text{transp,erect}} = \sum_{i=1}^n m_i \cdot (1 + w_i/100) \cdot d_i \cdot T_c$$

$$Q_{\text{transp,renov}} = \sum_{i=1}^n m_i \cdot (1 + w_i/100) \cdot \left(\frac{\text{life-span of building}}{\text{life-span of material}} - 1 \right) \cdot (d_i + 20) \cdot T_c$$

$$Q_{\text{transp,remov}} = \sum_{i=1}^n m_i \cdot (1 + w_i/100) \cdot 20 \cdot T_c$$

where n = number of materials; i = the material concerned; m_i = amount of the building material i (ton); w_i = factor for waste of the material i produced during erection of the building (%); d_i = distance from the manufacturer of material i to the building site (km); 20 = the assumed distance from the building site to the waste disposal site (km); and T_c = energy required for the conveyance concerned (kWh/ton km).

Energy use during the erection and demolition

When erecting a building, energy will be needed for a variety of processes, for instance drying and drainage, the heating of sheds and of the building itself, electricity for lighting purposes and for machinery, and so on. Conversely, processes associated with the demolition phase involve similar requirements. The energy data pertaining to the various processes, P_j , were collected from Andersen *et al.* [1] (see Table 4).

During the renovation, some energy will also be needed for different processes in order to exchange the renovation materials. However, most of this energy is made up of manual work and therefore this energy demand is not considered in this study.

The energy use for different processes when erecting and demolishing the building, Q_{erect} and Q_{demol} (kWh), is estimated as follows:

$$Q_{\text{erect}} = \sum_{j=1}^m p_j \cdot P_j$$

$$Q_{\text{demol}} = \sum_{j=1}^m p_j \cdot P_j$$

where m = number of processes; j = the type of process; p_j = the amount of the process j (ton, m³ or m² usable floor area); and P_j = energy required for the process j (kWh/ton, kWh/m³ or kWh/m² usable floor area).

Energy use during the occupation

Finally, the energy use during occupation (space heating, hot water and electricity) was calculated with the aid of the Swedish computer program Enorm [5]. This program computes the energy and average power requirement during a period of 12 months, based on average outdoor temperatures on a 24-hour basis and average solar radiation. Factors taken into account by the program include: the U values of the building concerned; air leakage; thermal bridges; window orientation in different directions; heating system; and ventilation including the heat exchanger (heat from the exhaust air being transferred to the supply air). Computations do not include the accumulation of heat in the frame and furnishings of the building, as this would call for climate data for every hour at least.

The energy needed during the occupation phase, Q_{occup} (kWh), is obtained by multiplying the energy use per year, $Q_{\text{occup,year}}$ (kWh/year), by the life-span of the building concerned, in this case 50 years:

$$Q_{\text{occup}} = Q_{\text{occup,year}} \cdot 50$$

Energy use during the life cycle

The different energy demands during the whole life cycle are now presented. In order to obtain the total energy demand during the life cycle, $Q_{\text{life cycle}}$ (kWh), the different energy demands during the different phases have to be summarised:

$$Q_{\text{life cycle}} = Q_{\text{manif}} + Q_{\text{transp,prod}} + Q_{\text{erect}} + Q_{\text{occup}} \\ + (Q_{\text{manif,renov}} + Q_{\text{transp,renov}}) + Q_{\text{demol}} + Q_{\text{transp,remov}}$$

It should be pointed out that the energy requirement, or energy gain, that arises in the context of reuse, recycling or combustion (energy extraction) is not taken into consideration here. The reason for this is that the energy use or gain engendered during the handling of "leftover products" depends on the quality of the worn-out material and on the extent to which it is processed. The data available at the present time are still incomplete and too vague to be included.

CLOSING REMARKS

In this paper a method to calculate the total energy use during the life cycle is presented. In the companion paper "Energy use during the life cycle of single-unit dwellings: examples" [7] the method is applied. The paper gives

examples of the total energy use for three single-unit dwellings built in Sweden in 1991 and 1992. The purpose is to gain an insight into the total energy use for a dwelling during its life cycle. This and the companion paper are also presented in [6].

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**Energy use during the Life Cycle of
Single-Unit Dwellings: Examples**

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Energy use during the Life Cycle of Single-Unit Dwellings: Examples

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The energy use during the life cycle of three single-unit dwellings built in Sweden in 1991 and 1992 is presented. These houses were prefabricated and their frameworks are made of wood. The purpose of this study is to gain an insight into the energy use for a dwelling during its life cycle. The method used is described in the companion paper "Energy use during the life cycle of buildings: a method" [Building and Environment, 1997, 32, 317–320]. © 1997 Elsevier Science Ltd.

BACKGROUND

Three single-unit dwellings were studied with regard to the energy use throughout the life cycle. The dwellings were built in Sweden in 1991–1992. Figures 1–4 present the exterior and construction of the dwellings. They are ordinary single-family dwellings, prefabricated in a factory. The factory manufactures external wall and joist elements which then are transported to the building site. The facades of the houses are covered with wooden panelling, and the frames are made of wood. The insulating material in the walls and roofs consists of glass wool. The roofs are covered with concrete roofing tiles.

Table 1 presents some of the dwellings' characteristics. The airtightness varies between 2.1 and 3.8 m³/(m² h) at a differential pressure of 50 Pa across the building envelope, which may be considered "normal". According to the Swedish Building Code, airtightness in dwellings must not exceed 3.0 m³/(m² h). The airtightness is stated in the unit m³/(m² h) when there is a differential pressure of 50 Pa between the inside and the outside. During occu-

pancy, the differential pressure between the inside and the outside is assumed to be 2.5 Pa.

The dwellings are equipped with mechanical supply and exhaust air ventilation. The heat in the exhaust air is heat-exchanged into the supply air before the exhaust air is released into the open air outside. The efficiency of the heat exchanger is assumed to be 50%. The dwellings are heated with hot air. To safeguard maximum economy in the use of installations and components, heating systems are integrated with the ventilation of the dwellings. The supply air is heated to a maximum of 40°C (depending on the heating requirement); consequently, it conveys both air and heat at the same time.

The rate of air change in the dwellings (see Table 1) is higher than the average value for a single-unit dwelling in Sweden. That average is 0.29 l/(s m² usable floor area), which corresponds to roughly 0.4 air-change rate/hour [1].

External walls, floors and roofs/ceilings are well insulated, but not unusually so for Swedish conditions. Variations in the outdoor temperature and solar radiation in

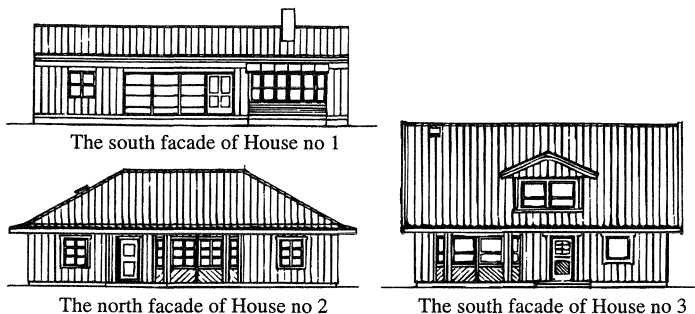


Fig. 1. The exterior of the dwellings.

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Table 1. Essential data concerning the three houses in the study

Unit	Unit	House 1	House 2	House 3
Usable floor area	m ²	130	129	138
Volume	m ³	347	310	315
Inhabitants	—	5	5	5
Number of floors	—	1	1	2
Airtightness at a differential pressure of 50 Pa	m ³ /(m ² h)	3.8	2.4	2.1
Indoor temperature	°C	20	20	20
Air-change rate	h ⁻¹	0.7	0.8	0.6
<i>U values</i>				
Roof	W/(m ² K)	0.09	0.09	0.09
External walls	W/(m ² K)	0.15	0.17	0.17
Foundation	W/(m ² K)	0.26	0.27	0.29
Door	W/(m ² K)	0.69	0.69	0.69
Windows	W/(m ² K)	1.63	1.36	1.36
<i>Area of the windows</i>				
North	m ²	4.6	6.8	6.0
East	m ²	3.4	—	5.5
South	m ²	15.6	8.4	10.0
West	m ²	1.1	1.4	2.8

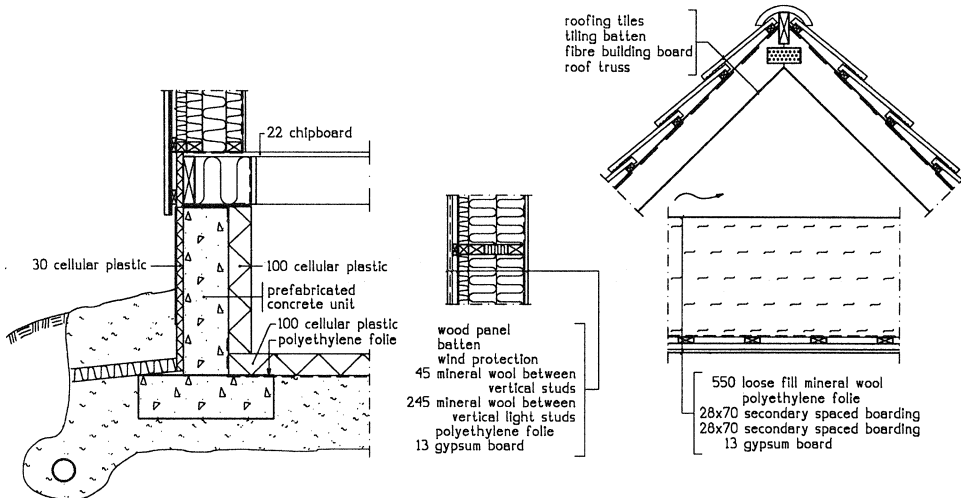


Fig. 2. Different constructions: foundation, external walls and roof in house 1. All measurements in the figure are in mm.

the area within which the dwellings have been built are shown in Fig. 5.

RESULTS

Manufacturing energy use during production and renovation

In order to estimate the energy required in manufacturing the construction materials, the quantities of building materials must be calculated. In this case, however, the amount of macadam, joint glue and putty, as well as ventilation equipment and supply and exhaust air devices, have not been included. The reason for this is that no data on the manufacturing energy pertaining to these materials and appurtenances were available.

Figures 6 and 7 present the quantities of materials in the dwellings and the energy requirements associated

with manufacturing the building materials. The m² unit refers to m² of usable floor area (the gross floor area minus the external wall area) in the dwelling concerned.

Concrete comprised the major share of the construction materials used in the three single-unit dwellings. Concrete accounts for 65–75% of the total weight quantity. Next in line is wood, at 12–21% by weight, and then gypsum with 6–7%. The reason for the large proportion of wood is that the three dwellings have wooden panelling and wooden frames.

It is interesting to observe the proportion of plastics, expressed as a quantity, in Fig. 6 and to relate it to the manufacturing energy requirement shown in Fig. 7. The weight of plastics is between 1 and 2%, whereas the manufacturing energy related to plastic materials amounts to no less than 18–23% of the entire amount required for the three dwellings!

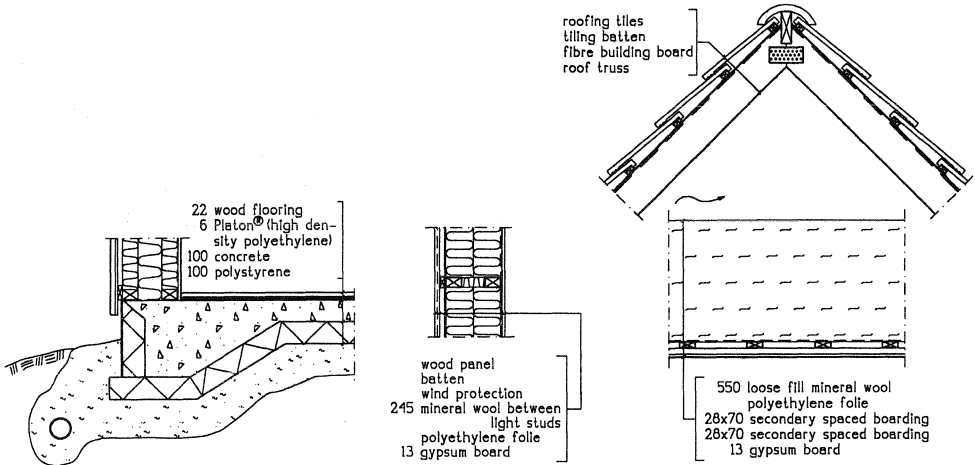


Fig. 3. Different constructions: foundation, external walls and roof in house 2. All measurements in the figure are in mm.

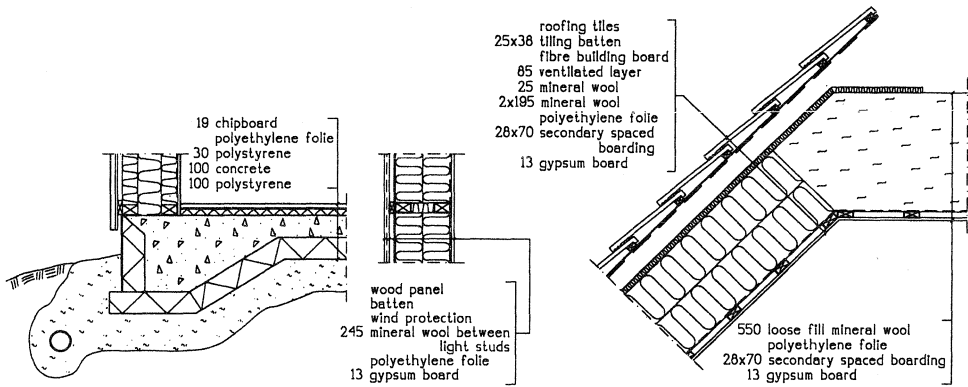


Fig. 4. Different constructions: foundation, external walls and roof in house 3. All measurements in the figure are in mm.

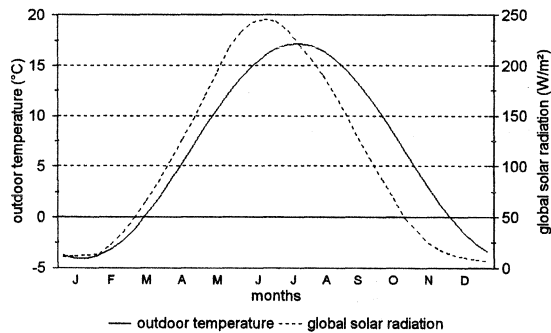


Fig. 5. Outdoor temperature (city of Västerås) and global solar radiation (suburb of Bromma near Stockholm) in relation to the horizontal surface during the year [2]. The two locations are geographically close to the three dwellings studied. The average annual temperature is 5.9°C.

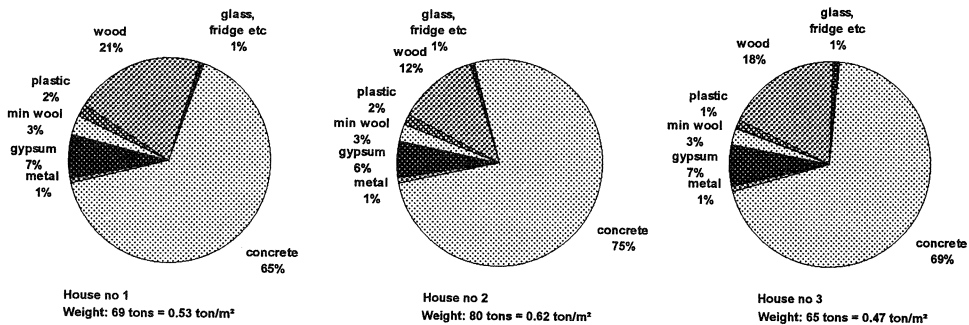


Fig. 6. Quantities of materials in the three dwellings. The dwellings weigh 65–80 tons, corresponding to 0.47–0.62 ton/m² usable floor area.

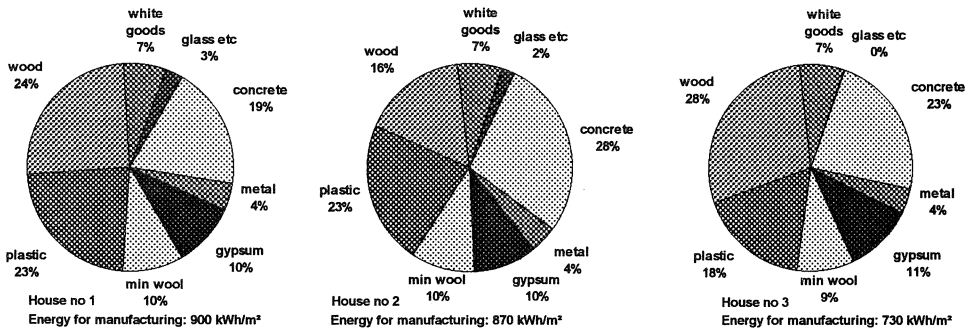


Fig. 7. Energy required in manufacturing construction materials. The materials require between 730 and 900 kWh/m² usable floor area.

The proportion of concrete in Fig. 6 and the relevant manufacturing energy requirement in Fig. 7 may also be compared. While the weight of the concrete used in the three dwellings amounts to 65–75% of the whole, the energy used for manufacturing concrete only accounted for 19–28% of the entire manufacturing energy requirement of the dwellings. In other words, the pattern of distribution with respect to energy requirement is very different from the percentages by weight.

The amounts of energy used in manufacturing the construction materials in houses 1, 2 and 3 are 900, 870 and 730 kWh/m² usable floor area, respectively. The reason for the lower energy use/m² usable floor area of dwelling number 3 is because it is a two-storey dwelling.

Based on assumptions regarding the life-spans of various materials (presented in Table 2 in the companion paper "Energy use during the life cycle of buildings: a method" [3]), the quantity of renovation materials may be calculated. The relevant quantities are presented in Fig. 8. Figure 9 shows the energy used when manufacturing renovation materials.

A large proportion of the renovation materials is made up of concrete (see Fig. 8). The reason for the preponderance of concrete is that the roofing tiles of the houses are made of this material. Even so, a large share of the energy required for manufacturing "renovation materials" is associated with white goods and plastic products (see Fig. 9). This is because the life-span of

white goods is no more than 12 years and that of plastic is only 17 years.

Energy use for transportation during the production, renovation and destruction

Materials are transported from their manufacturers to the building site both during the erection phase and during renovation. In the case of renovation and demolition, "worn out" materials will also be removed. Figure 10 presents the movement of transport during the production of the three single-unit dwellings.

When construction materials are removed, in the case of renovation or demolition, the transportation routes look different. This study assumes that there is a waste disposal plant in the municipality where the three dwellings are located. The relevant transportation distance is assumed to be 20 km.

Figure 11 is a bar chart illustrating the transport energy used in connection with production, renovation and destruction. The discrepancies in the transport energy associated with production are due to different quantities of materials, as well as to the relative locations of the factories where the elements are prefabricated, the manufacturer of the materials and the building site. Transport energy during renovation is much the same for the three dwellings. The differences in transport energy during destruction are solely due to dissimilar quantities of materials.

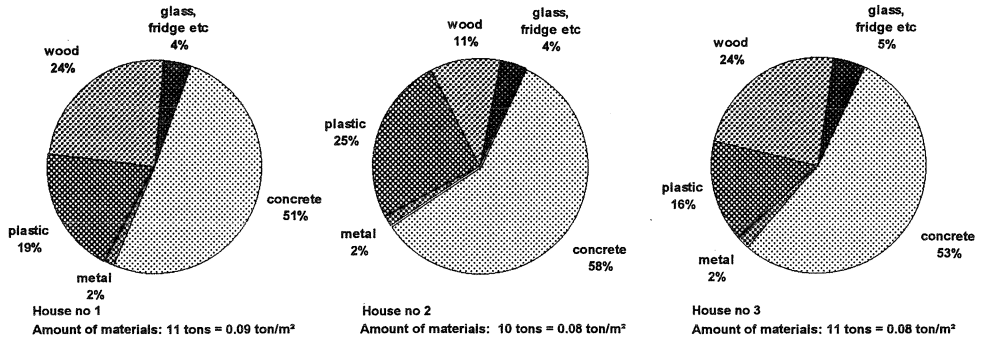


Fig. 8. The quantities of renovation materials.

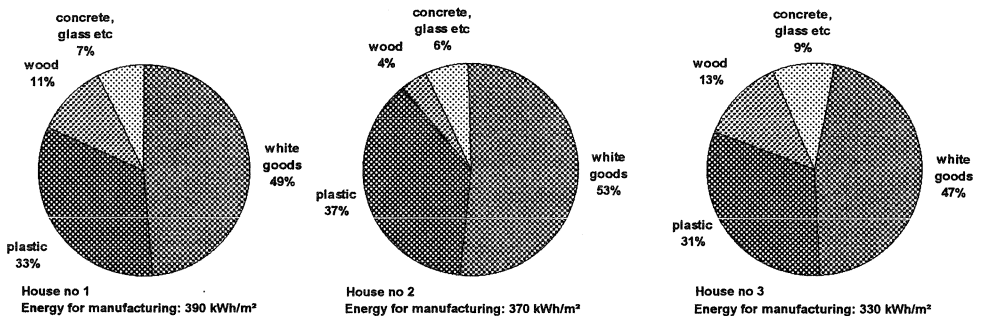


Fig. 9. Energy used when manufacturing construction materials employed in the renovation.

Energy use during the erection and demolition

During the erection and the demolition of buildings, energy is required for a variety of processes. Figure 12 shows the calculated energy use during the erection and demolition of the three dwellings.

A large share of the process energy used during the construction of the dwellings is required for space heating at the building site and for the excavation and the removal of soil.

The main reason for the difference in the process energy used during the construction of the dwellings is due to the quantity of soil that is to be excavated and removed (see Fig. 12). House 1 needs more energy for the excavation and removal of soil than the others, as it has a foundation with crawl-space, whereas the others are slab-on-ground buildings. A crawl-space fundament is placed deeper in the soil than a slab on the ground, which is why more soil had to be removed.

It can also be seen from Fig. 12 that the energy used for desiccating the frame varies. This is due to the fact that more energy is used to dry the slabs in houses 2 and 3 than is used for the crawl-space of house 1, which has a wooden floor structure.

Energy use during the occupation

During the years when the dwelling is actually inhabited, energy is required for space heating, hot water

and electricity. The energy use during this period was calculated with the aid of the Swedish computer program Enorm [4] (see also the companion paper "Energy use during the life cycle of buildings: a method" [3]).

The people who live in the dwellings are able to influence the utilisation of energy, e.g. indoor temperature, hot water use and electricity. However, the indoor temperature has been assumed to be 20°C throughout. Standard values have been employed in the calculations of the energy requirements regarding hot water and domestic electricity. They were estimated according to the following procedure:

- hot water: $5 \times \text{number of apartments} + 0.05 \times \text{usable floor area}$ (kWh/24 hours);
- domestic electricity: $4.5 \times \text{number of apartments} + 0.045 \times \text{usable floor area}$ (kWh/24 hours).

The standard equations were based on an investigation of 8000 households in Stockholm in the years 1972–1984 [5].

Table 2 presents the energy used during the occupation. The various assumptions and characteristics pertaining to the dwellings studied were described above. No account is taken of any energy required for the cooling of the dwelling in the case of excessive indoor temperature. This could occur during the summer months.

The energy required for heating, domestic hot water

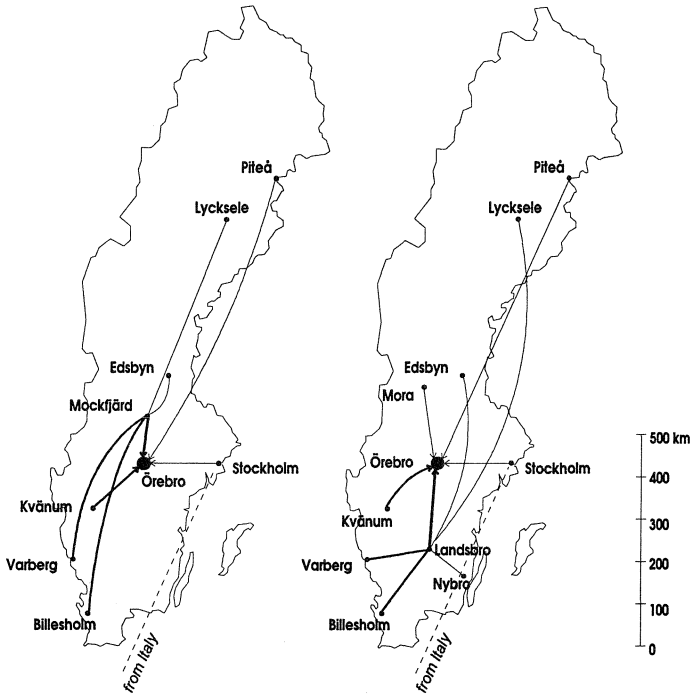


Fig. 10. The movement of transport with regard to construction materials for houses 1, 2 and 3. The map of Sweden on the left applies to house 1 and that on the right applies to houses 2 and 3. House 1 was prefabricated at Mockfjärd, and houses 2 and 3 were prefabricated at Landsbro. The building site of the three single-family dwellings is located 150 km west of Stockholm, in the city of Örebro. The thicker the lines in the figure, the greater is the share of the total energy required for transportation purposes. Only transportation requiring more than 50 kWh has been included.

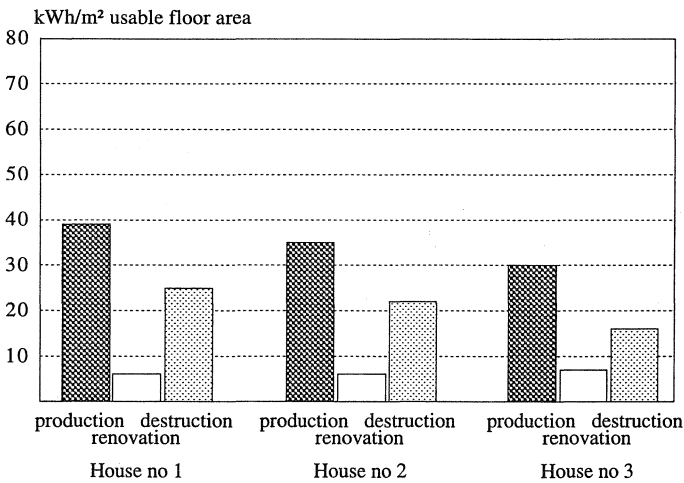


Fig. 11. Transport energy used in connection with production, renovation and destruction of the dwellings concerned.

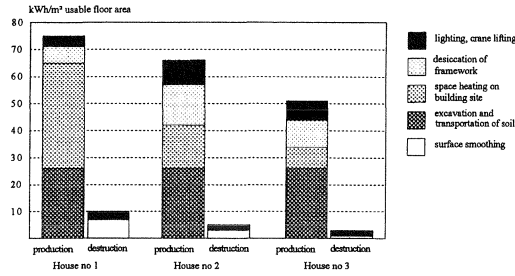


Fig. 12. Calculated energy use for various processes in connection with the erection and demolition of the three dwellings.

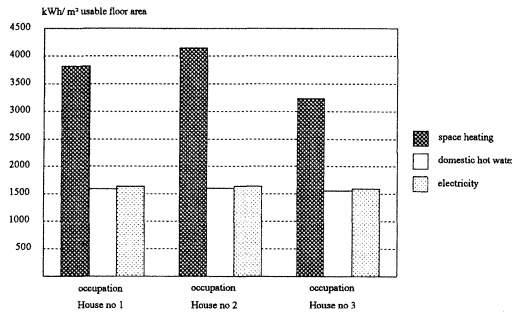


Fig. 13. Calculated energy requirements throughout the 50 years of occupation.

Table 2. Calculated energy use during occupation for space heating, hot water and electricity

	House 1 (kWh/m ² yr)	House 2 (kWh/m ² yr)	House 3 (kWh/m ² yr)
Space heating, ventilation included	76	83	64
Domestic hot water	32	32	32
Electricity	32	33	32
Total	141	148	128

Table 3. Energy use during the life cycle of the three dwellings studied

Phases	House 1 (kWh/m ² · 50 yr)	%	House 2 (kWh/m ² · 50 yr)	%	House 3 (kWh/m ² · 50 yr)	%
Production						
Manufacturing	900	11	870	100	730	10
Transportation	40	0	40	0	30	0
Erection	80	1	70	1	50	1
Management						
Occupation	7100	83	7400	85	6400	85
Renovation: manufacturing	390	5	370	4	330	4
Renovation: transportation	< 10	0	< 10	0	< 10	0
Destruction						
Demolition	10	0	< 10	0	< 10	0
Removal: transportation	30	0	20	0	20	0
Total energy (kWh/m ² · 50 yr)	8500	100	8800	100	7600	100

and electricity amounts to 141, 148 and 128 kWh/(m² usable floor area · year) for houses 1, 2 and 3, respectively. House 3 needs less energy for heating than the others; this is because it is a two-storey dwelling with smaller transmission losses (the other two are bungalows) and a lower air-change rate than houses 1 and 2.

Figure 13 presents the energy use of the dwellings during their occupation, calculated on the basis of a 50-year life-span. In fact, these energy usages have been calculated twice. The first calculation was based on planning documents. The second (shown in Table 2) was performed against the background of certain knowledge of how the dwellings were actually built, as there were deviations from the planning documents (the erection of the dwellings did not entirely comply with the drawings and designs). The heating requirement of the three buildings increased by, on average, 10%.

A further comparison has been made with the energy requirements measured in the dwellings [6]. Results from this comparison show that the energy requirements that were in fact registered are, by and large, even higher than those that were calculated on a theoretical basis.

SUMMARY AND CONCLUSIONS

Table 3 presents the total energy use of the three single-unit dwellings, "from the cradle to the grave". The results are presented as kWh/(m² usable floor area · 50 years). This table clearly shows that houses 1, 2 and 3 require 8500, 8800 and 7600 kWh/(m² usable floor area · 50 years) during their respective life cycle. Calculated on an annual basis, this works out at 170, 176 and 151 kWh/(m² usable floor area · year), respectively. The annual energy use for space heating, hot water and electricity amounts to 141, 148 and 128 kWh/(m² usable floor area · year), respectively, for the three dwellings. This means that some 85% of the total energy usage is required during the management phase — a significant finding. The conclusion at this point is as follows: in order to save energy it is essential to produce dwellings that require small amounts of energy during their management phases.

It should be pointed out that the three dwellings may, at present, be regarded as low-energy buildings. Thus, for instance, the energy use for space heating and ventilation only amounts to 76, 83 and 64 kWh/(m² usable floor area · year), respectively.

Table 3 also shows that the energy used in manufacturing all the construction materials employed in connection with the erection and renovation of the individual dwelling amounts to some 15% of the total energy use. This corresponds to some 7 years of occupation (space heating, hot water and electricity).

Another interesting observation is that the energy required for manufacturing heat-insulating materials for the dwellings (mineral wool and polystyrene) corresponds to less than 2 years' energy use during actual occupation (for space heating, hot water and electricity) (see Table 4). Such a low energy requirement for insulating material is noteworthy in view of the fact that these single-unit dwellings are, after all, low-energy houses.

Furthermore, Table 3 shows that the transportation and process energy used during the erection and demo-

Table 4. Energy requirements for manufacturing the materials used in insulating the dwellings. In addition, the table compares the energy use for manufacturing the insulating materials and the energy use during occupation (space heating, hot water and electricity)

	House 1, 130 m ² usable floor area		House 2, 129 m ² usable floor area		House 3, 138 m ² usable floor area	
	Quantity (ton)	Energy for manufacturing (kWh/ton)	Quantity (ton)	Energy for manufacturing (kWh/ton)	Quantity (ton)	Energy for manufacturing (kWh/ton)
Polystyrene, manufacturing energy = 29 650 kWh/ton	0.66	19 570	0.65	19 270	0.33	9 780
Mineral wool, manufacturing energy = 5330 kWh/ton	2.09	11 150	2.09	11 150	1.65	8800
Total energy used for the manufacture of insulating materials	30 700 kWh	(236 kWh/m ²)	30 400 kWh	(236 kWh/m ²)	18 600 kWh	(135 kWh/m ²)
Energy used during occupation (heating, hot water and electricity)	141 kWh/(m ² ·yr)		148 kWh/(m ² ·yr)		128 kWh/(m ² ·yr)	
Relationship between the energy used for manufacturing construction materials and that used for occupation	1.70		1.60		1.10	

lition of the dwellings comprises approximately 1% of the total energy requirement. Thus, against the background of the entire life cycle of the single-unit dwelling, very little energy is used for such purposes.

As described above, the dwellings were prefabricated in factories for further transportation to the building site. This entails an "extra" transportation requirement compared to the situation where a dwelling is erected on the site "from scratch". Even so, these extra transportation requirements do not result in any significantly increased use of energy compared to the total energy requirement. In comparison to construction which takes place entirely on site, the advantages inherent in prefabrication outweigh the disadvantages. Thus, for instance, construction materials are protected from wind and weather; measurements are more exact; less material is wasted because prefabrication factories are in the habit of saving materials in order to save money; construction workers in charge of different stages in the building pro-

cess have greater experience of their particular jobs, which means that construction elements will be better executed and combined; and so on.

RECOMMENDATIONS

By way of conclusion, three important recommendations may be articulated on the basis of this study.

1. Make sure the dwelling requires little energy during the occupation stage.
2. Monitor and follow up the building stage (the actual erection of the building) in order to ensure quality in the construction work.
3. Select construction materials whose manufacture requires little energy.

If these three points, in the order stated, are adhered to, the outcome will be an energy-efficient single-unit dwelling throughout its life cycle.

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**Energy Use in Four Multi-Family
Buildings During their Life Cycle**

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Energy Use in Four Multi-Family Houses During their Life Cycle

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KEYWORDS: multi-family houses, multi-family buildings, energy use, energy demand, life cycle

SUMMARY: *The aim of this study is to analyse the energy use in buildings during their life cycle and evaluate which phase has the largest energy demand. The objective is also to analyse the influence of different building characteristics and whether the difference between the charged and the estimated energy use during the occupation phase influences the total energy use. Four authentic Swedish multi-family houses built in 1996 were investigated. Results show that the houses are estimated to use 6200 – 8500 kWh/m² during their 50-year life cycle. A majority of this is used during the occupation phase, approx. 85 %. Almost the rest is made up by the manufacture and renovation phases, approx. 15 %. Results also show that by altering the windows (adding another pane) the energy demand during the manufacture phase will be increased, but not by as much as the energy requirement is decreased during the occupation phase. It was also discovered that the charged energy use during the occupation phase is higher than the estimated – the 85 % increases to 88 %. This could be due to the fact that the indoor temperature and the air exchange rate are higher than the assumed. Deviations may also occur between the project documents and the actual performance of the building constructions. In conclusion: In order to obtain an energy-efficient house during its whole life cycle, it is important to design with low energy demands during the occupation phase. This may e.g. be achieved by adding another pane to windows, even if this increases the manufacture energy demand. Finally, it is important to make energy estimates during the design phase with “realistic” input and follow up the erection phase, in order to eliminate deviations between estimated and charged energy use during the occupation phase.*

1. Background

Since 1973, when Sweden suffered an energy crisis, we have made the use of energy more efficient in buildings in our country. We have e.g. improved the thermal envelope through thermal insulation and air tightness, started to recover heat from the exhaust air, and made white goods more efficient.

So far, research has mainly focused on the energy use in buildings during their phase of use: space heating, heat for ventilation, domestic hot water, household electricity, lighting, pumps, and fans. There have been few studies on the total energy use during a building's life cycle. It is a subject that must be addressed, considering the urgent need to save energy.

1.1 Objectives

The aim of this study is to analyse the total use of energy in multi-family houses during their life cycle. Questions to be answered are:

- Which phase of the building's life cycle has the highest energy use?
- How do different building features influence the total energy use during the life cycle?
- Do the estimated energy values represent "reality"? In other words, is there a difference between the charged and the estimated energy use during the occupation phase? Will a deviation influence the energy use during the life cycle?

Four multi-family houses were investigated based on the energy used during their life cycle, see Fig. 1. The houses were built in 1996, and are located in Malmö, Helsingborg, Växjö and Stockholm in Sweden.

The target groups of this study are: Decision-making politicians who have the power to control practices within the building sector; public authorities responsible for the formulation and implementation of rules; and the Ecocycle Council of the Swedish Construction Industry (in Swedish Byggsektorns Kretsloppråd). In addition, building project commissioners and property managers are addressed, since they execute the actual construction and/or reconstruction of dwellings.

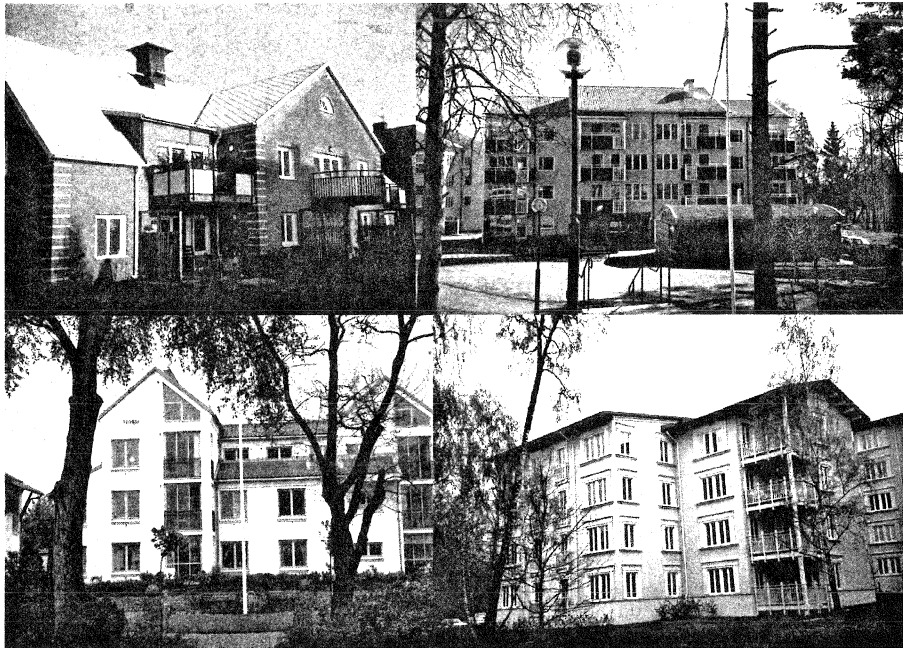


FIG. 1 - The figure shows the outline of the multi-family houses: the Malmö house (upper left), the Helsingborg house (lower left), the Stockholm house (upper right) and the Växjö house (lower right).

1.2 Other studies

There are a couple of studies on the total energy use during a building's life cycle. One study by (Neméth B.W., 1998) presents the energy use of five single-unit dwellings in Norway. Another study by (Cole R.J. et al, 1996) examines the energy use during the life cycle of an office.

Another related work is the IEA annex 31 "Energy-Related Environmental Impact of Buildings". It aims at providing building sector researchers with information to improve methods and data for measuring the energy-related effect of buildings on their interior, local and global environments. The goal is to be of considerable assistance in the reduction of these effects. (IEA, 1999)

This study offers a contribution to this endeavour. It deals with *four authentic Swedish multi-family buildings*. The houses also have a rather good thermal behaviour, seen from an international perspective.

2. Description of the dwellings

The four studied buildings are all constructed differently. For example, they have different frameworks and foundations, a different thermal degree of the envelope, different ventilation systems etc. Table 1 presents the different characteristics of the buildings.

TABLE. 1 - The table presents the different characteristics of four multi-family houses. The houses have been analysed by means of the energy use during their life cycle.

	unit	the Malmö house	the Helsingborg house	the Växjö house	the Stockholm house
Usable floor area	m ²	700	1,160	1,190	1,520
Number of floors		2	3.5	4	4
Number of apartments		6	8	16	15
Number of residents* ¹		19	21	26	50
Type of framework		Light-weight concrete and concrete	Concrete	Wood	Steel columns and concrete
Overall U value* ²	W/(m ² ·° C)	0.26	0.44	0.32	0.30
Air tightness* ²	litre/(m ² ·s) @50 Pa	0.8	0.8	0.8	0.8
Supply air flow rate* ²	m ³ /h	870	1,430	1,540	1,670
Air exchange rate* ²	h ⁻¹	0.5	0.5	0.5	0.5
Ventilation system		Mechanical supply and exhaust air	Mechanical exhaust air	Mechanical exhaust air	Mechanical exhaust air
Heat recovery		Yes	No	No	No
Source of heat		District heating	District heating	District heating	District heating
Space heating system		Under-floor heating	Radiators	Radiators	Radiators

*¹ The number of residents has been estimated according to (SCB, 1990). Approx. 1.0 persons live in an apartment with one room and a kitchen; 1.3 persons live in an apartment with two rooms and a kitchen; 1.9 persons live in an apartment with three rooms and a kitchen; 2.6 persons live in an apartment with four rooms and a kitchen; and finally 3.0 persons live in an apartment with five rooms and a kitchen.

*² Indicates the average of the estimated values for foundation, external walls, windows, doors and roof.

3. Method

The expression “a building’s life cycle” refers to all temporal phases: the manufacture of building materials, transport, the erection/construction of a building, occupancy, renovation, and finally demolition, removal, and recovery of heat from debris.

In order to calculate the energy requirement throughout the life cycle, data were collected from various research reports. Reports from the Danish Building Research Institute (Andersen S. et al, 1993) and (Dinesen J. et al, 1997) contain different data concerning the energy required to manufacture construction materials. They also supply data regarding the energy required for various processes during the erection of a building.

The amount of building and installation materials has been obtained from drawings and interviews with designers and contractors. Vertical and horizontal parts of the framework (including all kinds of fixing devices), non-load bearing partitions, surface finishes, electrical installations, and building services installation materials are all estimated.

A report from Chalmers University of Technology in Sweden (Tillman A.-M. et al, 1991) provides data relating to the energy required for different kinds of transport, for instance using lorries and ships.

The energy requirement during the occupation phase for space heating and ventilation was calculated using the Swedish software called Enorm (Munther K., 1996). This software is mainly used by Swedish consultants, contractors and authorities to estimate energy use in residential buildings at the design stage.

The software computes the energy and average power requirements during a period of twelve months based on average solar radiation and outdoor temperatures on a 24-hour basis. The following factors are taken into account: The U values of building constructions, the area of the buildings constructions, thermal bridges, air leakage, window orientation in different directions, heating system, and ventilation including heat exchange. Computations do not include the accumulation of heat in the framework and furnishings of the building, as this would call for hourly climate data.

In addition, the energy demand for hot water production $Q_{\text{dom hot water}}$ (kWh/yr) and household electricity, lighting $Q_{\text{house,elec}}$ (kWh/yr), during the occupation phase was estimated according to Equ. 1 and Equ. 2:

$$Q_{\text{dom hot water}} = (5 \cdot \text{number of apartments} + 0.05 \cdot \text{usable floor area}) \cdot 365 \quad (\text{EQU. 1})$$

$$Q_{\text{house,elec}} = (4.5 \cdot \text{number of apartments} + 0.045 \cdot \text{usable floor area}) \cdot 365 \quad (\text{EQU. 2})$$

The equations are empirical, based on an investigation of 8,000 families in Stockholm, Sweden (Anderlind G. et al, 1984).

The occupation phase is assumed to be 50 years, since the economic life span of a Swedish building is normally assumed to be 40–50 years (The Nordic Accounting Network, 1999). It is also assumed that no extensions, re-constructions, or significant changes are made during the occupation phase. Only sequential maintenance, e.g. repainting and an exchange of white goods, is done. The life span of different maintenance is taken from (SABO, 1998), which is a Swedish organisation for municipal housing companies that e.g. provides statistical data over maintenance intervals.

Finally, data from the demolition of the building and the energy gained when heat is recovered from debris were also taken from the Danish Building Research Institute (Andersen S. et al, 1993) and (Dinesen J. et al, 1997).

In conclusion, the different energy needs in various phases (estimated using the references presented above) are summarised, Equ. 3, in order to obtain the total energy need during a life cycle $Q_{\text{life cycle}}$:

$$\begin{aligned}
 Q_{\text{life cycle}} &= Q_{\text{production}} + Q_{\text{management}} + Q_{\text{destruction}} = \\
 &= [Q_{\text{manuf}} + Q_{\text{transp,prod}} + Q_{\text{erect}}] + \\
 &+ [Q_{\text{occup}} \cdot 50 \text{ years} + (Q_{\text{manuf,renov}} + Q_{\text{transp,renov}} - Q_{\text{recov,renov}})] + \\
 &+ [Q_{\text{demol}} + Q_{\text{transp,remov}} - Q_{\text{recov,destr}}] \qquad \qquad \qquad (\text{EQU. 3})
 \end{aligned}$$

For further information about the method, please see (Adalberth K., 1999).

4. Results

4.1 Energy use during the life cycle

Table 2 presents a general picture of the energy used during the life cycle of the four multi-family houses.

TABLE. 2 - Estimated energy use during the life cycle of the four multi-family houses. The results are presented as kWh/(m²·50 years). The m² unit refers to square meters of usable floor area in the house concerned.

Phases	the Malmö house		the Helsingborg house		The Växjö house		the Stockholm house	
	kWh/m ²	%	kWh/m ²	%	kWh/m ²	%	kWh/m ²	%
Manufacture	770	13	820	11	1,180	14	830	12
Transport	60	1	30	0	30	0	40	1
Erection	70	1	120	2	50	1	80	1
Occupancy, 50 years *	5,020	81	6,030	84	7,500	88	6,040	84
Renovation (manufacture, transport and recovery)	340	6	310	4	410	4	270	4
Demolition	<10	0	<10	0	<10	0	<10	0
Removal	20	0	20	0	10	0	20	0
Recovery	-110	-2	-70	-1	-620	-7	-120	-2
Total kWh/(m ² ·50 years)	6,200	100	7,200	100	8,500	100	7,100	100
Total kWh/(m ² ·year)	123		144		171		143	

** The energy required during the occupation phase is presented as delivered energy. The energy demand in other phases is presented as primary energy. It would be preferable to present all energy demands as delivered energy, since the scope of this study is to compare the different houses and not the energy systems. Unfortunately, a conversion of primary energy to delivered energy has not been possible, since the heat and electricity use in several data was not explicitly described.*

As shown in Table 2, the houses use between 6,200 and 8,500 kWh/m² during their life cycle. A majority of the energy, between 5,000 and 7,500 kWh/m², is used during the phase of occupancy. This energy demand constitutes approx. 85 % of the total energy use in all houses, even if the houses have a good thermal behaviour seen from an international perspective. The second and third dominant phases are the manufacture and renovation phases. They constitute approx. 15 per cent of the total energy use during the life cycle, see Table 2.

Table 2 also shows that the transport and process energy used to erect and demolish the houses constitutes approx. 1 per cent of the total energy requirement. Hence, if the entire life cycle of the multi-family houses is considered, very little energy is used for such purposes.

In (Adalberth K., 1995) the energy use during the life cycle is studied for three single-unit dwellings. This study establishes that 85 % was used during the occupation phase and approx. 15 % during the manufacture and renovation phases. These results correspond to the results for multi-family houses, i.e. an 85–15 ratio.

The energy needed during the occupation phase and to manufacture the building and installation materials is, as presented, a majority of the total energy. These times phases will therefore be further described in the following text, see also (Adalberth K., 1999).

4.1.1 Energy use during the occupation phase

The energy use during the occupation phase has been estimated. The energy used for space heating, ventilation, domestic hot water, pumps, fans, and household electricity is presented in Table 3.

TABLE. 3 - The table presents the estimated energy use during the occupation phase for four multi-family houses. The houses have been analysed by means of their energy demand during the life cycle.

	the Malmö house	the Helsingborg house	the Växjö house	the Stockholm house
	kWh/(m ² ·yr)	kWh/(m ² ·yr)	kWh/(m ² ·yr)	kWh/(m ² ·yr)
Thermal heat: space heating and ventilation	26	55	54	42
Thermal heat: domestic hot water	27	25	40	31
Electricity use: household and lighting	41	37	52	44
Electricity: pumps and fans	6	4	4	4
Total:	100	121	150	121

As shown in Table 3, the Malmö house uses the least energy, 100 kWh/(m²·yr). This is due to its excellent energy characterisation – e.g. the house recover heat from its exhaust air –, see Table 1.

The Helsingborg house uses a lot of energy for space heating and ventilation. Actually, this energy need is above the limits established in regulations in the Swedish Building Code (Boverkets., 1994). In order to fulfil the building code, the energy use for space heating and ventilation has to be lowered by 25 kWh/(m²·yr).

By replacing all windows in the Helsingborg house with more energy-efficient windows with a U value of $1.15 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$ (originally, the windows had a U value of 1.90 and $2.75 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$), the house would reduce the space heating by $15 \text{ kWh}/\text{m}^2$.

By installing a heat recovery system for the ventilation, e.g. a plate heat exchanger with a temperature efficiency of 0.68 and a fan effect of $2.5 \text{ W}/(\text{m}^3/\text{s})$, the energy use would be decreased by $19 \text{ kWh}/\text{m}^2$, $5 \text{ kWh}/\text{m}^2$ of which is electricity.

Even if the Växjö and the Stockholm houses use 150 and $121 \text{ kWh}/(\text{m}^2 \cdot \text{yr})$ respectively, they do fulfil the energy goals set in the Swedish Building Code (c.f. the house in Helsingborg, which uses $121 \text{ kWh}/\text{m}^2$). They are allowed to use this much energy thanks to their energy supply system, which consists of biomass, heat pumps, and energy from a refuse combustion plant. In other words, the building code permit buildings to use more energy if they are supplied with these energy sources. If the heat in the district heating net were produced by electricity or mainly by fossil fuel, the energy use would have to be lowered by 16 and $12 \text{ kWh}/(\text{m}^2 \cdot \text{yr})$ in the Växjö and Stockholm house respectively.

4.1.2 Energy use to manufacture building and installation materials

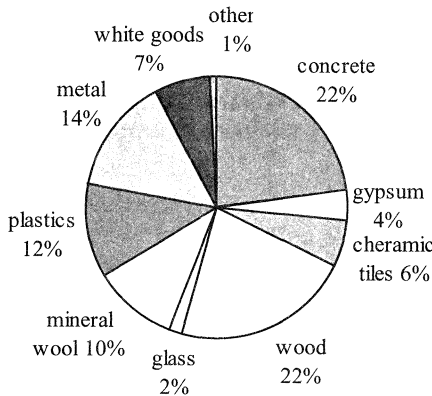
The energy use during the manufacturing phase has been estimated. Fig. 2 shows the energy needed to manufacture building and installation materials in the dwellings.

A large proportion of the energy for the Malmö house is used to manufacture concrete and wood: 22 per cent for each material. The foundation and intermediate floors are made of concrete, and roof trusses and wood panels in the roof are made of wood. Metal requires 14 per cent (reinforcement bars, steel studs, ventilation channels, and aluminium windows), plastics 12 per cent (carpets, thermal insulation, paint, and a vapour barrier), and mineral wool 10 per cent (thermal insulation below the foundation in the external walls, and in the roof).

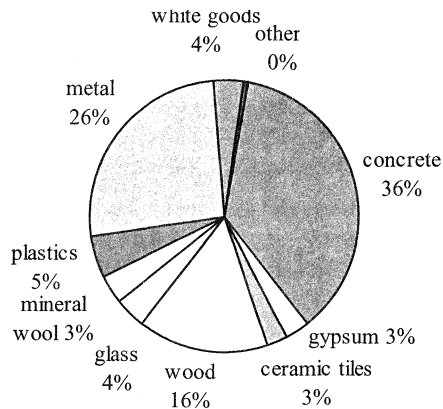
A large proportion of the energy for the Helsingborg house is used to manufacture concrete – 36 per cent. The framework is made of concrete, and the facade material is lightweight concrete blocks. Consequently, the energy needed to manufacture steel (reinforcement bars) is also high: 26 per cent.

In the house in Växjö, a large part of the energy is used to manufacture wood – 59 per cent. The framework consists of wooden studs in the external and internal walls, veneer timber beams in the intermediate floor, roof trusses, and wooden floor finish. In addition, some energy is used to manufacture gypsum plasterboard – 12 per cent. This material is used as a surface finish on external walls (both inside and outside), internal walls, floor, and ceiling.

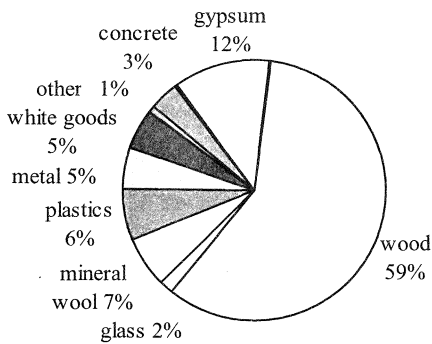
A large proportion of the energy for the Stockholm house is used to manufacture wood – 28 per cent – and 20 per cent for metal, 18 per cent for concrete, and 12 per cent for mineral wool respectively. Wood is used in external curtain walls, internal walls, roof trusses and as floor finish. Metal is used in the framework as steel columns, and as reinforcement bars in foundation, internal walls between apartments, and in the intermediate floor. Concrete is used in foundation (slab on ground), internal walls between apartments, and the intermediate floor. Mineral wool (thermal insulation) is used in external curtain walls and the roof.



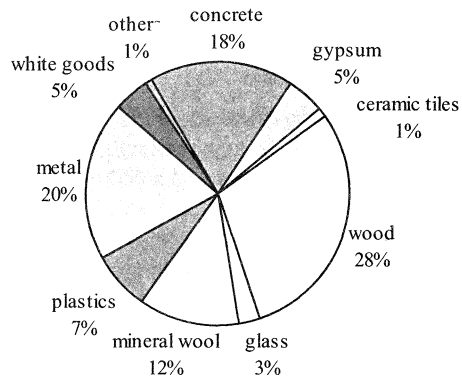
The Malmö house: 770 kWh/m²



The Helsingborg house: 820 kWh/m²



The Växjö house: 1,180 kWh/m²



The Stockholm house: 830 kWh/m²

FIG. 2 - The materials require between 800 and 1,200 kWh/m² floor area. The combustion value of the construction materials, e.g. wood, is included in the numbers. In other words, no deduction for such a value has been made.

In conclusion, the energy used to manufacture building and installation materials during the production phase is approx. 800–1,200 kWh/m² usable floor area, or approx. 10–15 per cent of the total energy use during the life cycle. The energy used to produce thermal insulation is less than 100 kWh/m² for each house – a rather small share of the energy use, since this material contributes to a decreased energy use during the occupation phase.

The house in Malmö uses the least energy to manufacture materials and the house in Växjö the most energy. Nevertheless, the house in Växjö could have been made slightly more energy-efficient by exchanging the veneer timber beams in the intermediate floor for a product with less glue.

4.2 The influence of different construction characteristics in the building

In order to determine how different building features affect the energy use during the whole life cycle of the houses, an alteration of the building constructions has been performed. The following alternatives were selected:

- framework
- thickness of thermal insulation in external walls
- thermal performance in windows
- degree of heat recovery from the exhaust air.

The Våxjö house has been selected to vary the building constructions. All building and installation materials in the Våxjö house, from the excavation to make room for the foundation to the chimney on the roof, have been considered when the building technology was changed.

4.2.1 Different frameworks

The first feature to be altered is the framework. The original Våxjö house has a wooden framework – quite an unusual solution for a four-storey multi-family house in Sweden. The wooden framework is exchanged for a concrete framework. The only restriction was to attain an identical use of energy during the phase of occupancy, i.e. the space heating, for both alternatives in order to compare the frameworks only.

In the concrete framework, concrete takes the place of mineral wool, gypsum plasterboard and wood in the intermediate floor. In addition, the load-bearing wall, originally made of gypsum plasterboard and wooden studs, is exchanged for a concrete wall.

The energy demand for manufacturing some building materials are as follows, (Andersen S. et al, 1993) and (Dinesen J. et al, 1997):

- plain concrete 160 kWh/ton
- rough saw timber with a density of 0.5 ton/m³ 3060 kWh/ton
- gypsum plasterboard 1440 kWh/ton
- rock wool 3890 kWh/ton

The energy used in manufacture comprises energy required for the extraction of raw materials, production and transport of semi-manufactures, heating of manufacture and administration premises, and production of the final construction materials. The combustion value of the construction materials is included in the energy used for manufacture – that is, no deduction for such a value has been made.

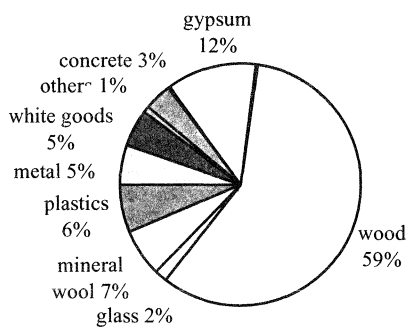
Table 4 summarises the energy use during the life cycle of the house in Våxjö with a wooden and a concrete framework. Results show that the house uses 171 and 172 kWh/(m²·year) usable floor area for the wooden and concrete frameworks respectively. The difference is small or insignificant.

TABLE. 4 - Energy use during the life cycle of the house in Växjö with a wooden or concrete framework.

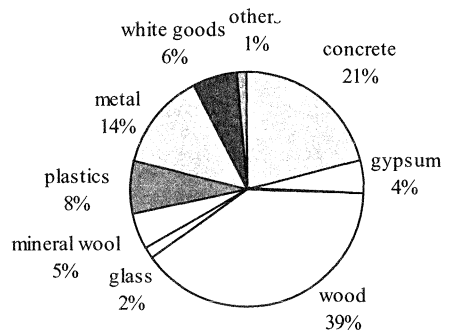
Phases	wooden framework		concrete framework	
	kWh/m ²	%	kWh/m ²	%
Manufacture	1,180	14	960	11
Transport	30	0	30	0
Erection	50	1	100	1
Occupation, 50 years	7,500	88	7,450	87
Renovation: manufacture	460	5	460	5
Renovation: transport	<10	0	<10	0
Renovation: recovery	-80	-1	-80	-1
Demolition	<10	0	<10	0
Removal	<10	0	20	0
Recovery	-620	-7	-340	-4
Total kWh/(m ² ·life span):	8,500	100	8,600	100
Total kWh/(m ² ·year):	171		172	

A study by (Björklund T. and Jönsson Å., 1997) has investigated the environmental impact of concrete and wooden frameworks in dwellings and warehouses. The impact was determined over the life cycle. Results showed that there was no large difference between the frame alternatives over the complete life cycle from an environmental point of view. When the manufacture phases were compared, the wooden frames were generally rated a little lower than the concrete frames for dwellings. The Växjö house shows results in the same direction over the *whole* life cycle. The wooden framework uses less energy than the concrete framework, but the difference is very small.

Fig. 3 shows the energy needed to manufacture all building and installations materials of the Växjö house with different frameworks. As shown, the energy used to produce the building and installation materials for the house in Växjö with a wooden framework is 1,180 kWh/m². The corresponding number for a concrete framework is 960 kWh/m².



Wooden framework 1,180 kWh/m²



Concrete framework 960 kWh/m²

FIG. 3 - The energy required to manufacture building and installation materials for the house in Våxjö.

In the *wooden framework*, most energy is used to manufacture wood (59 %, see Fig. 3). The framework consists of wooden studs in external and internal walls, veneer timber beams in the intermediate floor, roof trusses, and wooden floor finish. In addition, some energy is used to manufacture gypsum plasterboard (12 %). This material is used as a surface finish on the external walls (on the inside as well as the outside), internal walls, floors, and ceilings. Sometimes, the gypsum plasterboard is double to increase the fire resistance, for instance in the intermediate floor, the ceiling, and the internal walls between apartments.

In the *concrete framework*, most energy is used to manufacture wood (39 %), concrete (21 %) and metal (14 %). Wood is used in secondary external walls, secondary internal walls, and in the roof. Concrete is used in foundation, intermediate floor, and external and internal load-bearing walls. Most of the metal is used as reinforcement bars in the concrete.

With a concrete framework, less energy is required to produce wood, gypsum plasterboard and mineral wool. On the other hand, more energy is used to produce concrete and metal (reinforcement bars). In conclusion, the concrete framework requires less energy (960 kWh/m²) to manufacture the building and installation materials than the wooden framework (1,180 kWh/m²).

At the building site, energy is needed to build the house, e.g. for drying and drainage, heating the sheds and the actual building. In addition, electricity for lighting and machinery is needed. The Våxjö house uses approx. 50 kWh/m² usable floor area with a wooden framework, and approx. 100 kWh/m² usable floor area with a concrete framework, see Table 4. The concrete framework uses much energy to dehydrate the concrete. Furthermore, the shuttering, formwork, and concreting use more energy.

In conclusion, there is a small or insignificant difference in energy use during the life cycle for wooden and concrete frameworks.

4.2.2 Thermal insulation thickness

The second feature to be altered is the thermal insulation thickness in the external walls. The following assumptions are made:

- the usable floor area is the same in all cases, i.e. the wall “grows outwards”
- the alterations are carried out to produce a “fully functional construction”, i.e. all materials, nails etc. are included in order to get e.g. a weatherproof construction.

The original external walls of the house in Växjö are insulated with 45/50+120+70 mm rock wool. In alternative 1, the 70-mm thermal insulation is removed. This increases the U value from 0.20 to 0.26 W/(m²·° C).

In alternative 2, the thermal insulation is increased from 45/50 to 180 mm. This gives a thermal insulation thickness of 180+120+70 mm. As a consequence, the U value is reduced from 0.20 to 0.13 W/(m²·° C). Table 5 shows the energy use during the life cycle for the original house as well as for the two alternatives.

The energy used in the manufacture phase increases slightly with the thermal insulation thickness, see Table 5. On the other hand, the energy use during the phase of occupancy decreases more. In conclusion, the energy use during the life cycle is reduced when the thermal insulation thickness in the external walls is increased.

TABLE. 5 - The energy use during the life cycle for the house in Växjö, when the thermal insulation thickness in the external walls is altered.

	120+70 mm thermal insulation in the external walls, U = 0.26 W/(m ² ·° C)		original solution: 45/50+120+70 mm thermal insulation in the external walls, U = 0.20 W/(m ² ·° C)		180+120+70 mm thermal insulation in the external walls, U = 0.13 W/(m ² ·° C)	
Phases	kWh/m ²	%	kWh/m ²	%	kWh/m ²	%
Manufacture	1,140	13	1,180	14	1,200	14
Transport	30	0	30	0	30	0
Erection	50	1	50	1	50	1
Occupation, 50 years	7,670	88	7,500	88	7,310	88
Renovation: manufacture	460	5	460	5	460	6
Renovation: transport	<10	0	<10	0	<10	0
Renovation: recovery	-80	-1	-80	-1	-90	-1
Demolition	<10	0	<10	0	<10	0
Removal	<10	0	<10	0	<10	0
Recovery	-600	-7	-620	-7	-620	-7
Total kWh/(m ² ·50 years):	8,700	100	8,500	100	8,300	100
Total kWh/(m ² ·year):	174		171		167	

4.2.3 Thermal performance of windows

In the house in Växjö, the thermal performance of the windows has also been altered. The original windows are single-paned with a sealed unit that has a U value of 1.90 W/(m²·°C).

In alternative 1, the windows are exchanged for a single pane and a sealed argon-filled unit with low-emission coatings. This reduces the U value to 1.15 W/(m²·°C). The solar factor, i.e. the amount of solar radiation falling on the window for further transmittance into the room, is about 92 % relative a standard window with triple glazing and no low-emission coating. The window resembles a Swedish window called "Överum window".

In alternative 2, the original windows are exchanged for two sealed argon-filled units with low-emission coatings, four panes in total (Hidemark B. et al, 1993). This reduces the U value to 1.00 W/(m²·°C). The solar factor is approx. 84 %. This window was produced for a Swedish housing exhibition in 1992. Table 6 shows the energy use during the life cycle for the original house and the two alternatives.

As shown in Table 6, the energy use during the life cycle decreases with the U value of the windows. If all windows in the house in Växjö were exchanged for energy-efficient windows with a U value of 1.00 W/(m²·°C), the energy use would decrease from 171 to 159 kWh/(m²·year), or 6 per cent. During a life span of 50 years, the house in Växjö would save 600 kWh/m² or 720,000 kWh energy. This energy would heat 40 single-unit houses using 17,000 kWh each, during one year.

TABLE. 6 - The energy use during the life cycle for the house in Växjö with different kinds of windows.

phases	original solution: 1+2 window panes, U = 1.90 W/(m ² ·°C)		1+2 window panes with low-emission coatings and gas, U = 1.15 W/(m ² ·°C)		2+2 window panes with low-emission coatings and gas, U = 1 W/(m ² ·°C)	
	kWh/m ²	%	kWh/m ²	%	kWh/m ²	%
Manufacture	1,180	14	1,200*	15	1,200*	15
Transport	30	0	30	0	30	0
Erection	50	1	50	1	50	1
Occupation, 50 years	7,500	88	6,960	87	6,890	87
Renovation: manufacture	460	5	480	6	460	6
Renovation: transport	<10	0	<10	0	<10	0
Renovation: recovery	-80	-1	-80	-1	-80	-1
Demolition	<10	0	<10	0	<10	0
Removal	<10	0	<10	0	<10	0
Recovery	-620	-7	-620	-8	-620	-8
Total kWh/(m ² ·50 years):	8,500	100	8,000	100	7,900	100
Total kWh/(m ² ·year):	171		161		159	

* The windows with a single pane and a sealed, argon-filled unit with low-emission coatings, and a U value of 1.15 W/(m²·°C), use more energy during production than the other windows with two sealed, argon-filled units with low-emission coatings, U value of 1.00. This is due to aluminium profiles on the outside of the window with a single pane and a sealed unit. The window with two sealed units has no aluminium profiles on the outside.

4.2.4 Heat recovery in the exhaust air

The fourth feature to be altered is the degree of heat recovery in the exhaust air. The original house uses a mechanical ventilation system, “exhaust air *without* heat recovery”. This solution is exchanged for a mechanical ventilation system, “supply and exhaust air *with* heat recovery”. It is assumed that the plate heat exchanger in the alternative solution has a temperature efficiency degree of 0.68 and a fan effect of 2.5 W/(m³/s).

Table 7 presents the energy use during the life cycle, when the degree of heat recovery in the exhaust air is varied (the increased use of electricity is considered). As shown, the energy use during the life cycle decreases when the mechanical exhaust air system with no heat recovery is replaced by a mechanical ventilation system with a plate heat exchanger. The energy use decreases from 171 to 148 kWh/(m²·year), or 13 per cent – a significant difference.

During its 50-year life span, the house in Växjö would save 1,140 kWh/m² or 1,360,000 kWh energy if heat recovery in the exhaust air were installed. This energy would provide 80 single-unit houses with heating, 17,000 kWh each, during one year.

TABLE. 7 - The energy use for the house in Växjö with different degrees of heat recovery in the exhaust air.

Phases	original solution: mechanical exhaust system <i>without</i> heat exchanger		mechanical supply and exhaust system <i>with</i> plate heat exchanger	
	kWh/m ²	%	kWh/m ²	%
Manufacture	1,180	14	1,190	16
Transport	30	0	30	0
Erection	50	1	50	1
Occupation, 50 years	7,500	88	6,360	86
Renovation: manufacture	460	5	470	6
Renovation: transport	<10	0	<10	0
Renovation: recovery	-80	-1	-80	-1
Demolition	<10	0	<10	0
Removal	<10	0	<10	0
Recovery	-620	-7	-620	-9
Total kWh/(m ² ·50 years):	8,500	100	7,400	100
Total kWh/(m ² ·year):	171		148	

4.2.5 Changes in energy use with different constructions

Table 8 summarises how the total energy use of the Våxjö house is influenced when different construction alternatives are used.

The table shows that there are various ways of decreasing the energy use. If the house had a single pane and a sealed, argon-filled unit with low-emission coatings, $U = 1.15 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$, the energy use would decrease by 6 per cent during the life cycle or approx. $10 \text{ kWh}/(\text{m}^2 \cdot \text{year})$.

Table 8 also shows that one major parameter, which would decrease the energy use in the Våxjö house, is the degree of heat recovery of the exhaust air. If the degree of heat recovery was increased from 0 % to 68 % (i.e. the temperature efficiency degree), the energy use during the life cycle would decrease from 171 to 148 $\text{kWh}/(\text{m}^2 \cdot \text{year})$, or by 13 per cent. This kind of installation technology or change is not unique in any way. The solution has been available on the Sweden market for approx. 25 years.

Finally, a combination of heat recovery of the exhaust air *and* a single pane and a sealed argon-filled unit with low-emission coatings would decrease the energy use during the life cycle from 171 to 140 $\text{kWh}/(\text{m}^2 \cdot \text{year})$, or by 18 per cent. The energy use would be reduced by 31 $\text{kWh}/(\text{m}^2 \cdot \text{year})$ or 1,920,000 kWh – enough to supply 110 single-unit houses, which use 17,000 kWh each, with energy for one year.

TABLE. 8 - The energy use during the life cycle for the Våxjö house. The building technology of the house has been altered in order to determine how it influences the energy use during the life cycle.

Parameters	Energy use during the life cycle $\text{kWh}/(\text{m}^2 \cdot \text{year})$	Difference compared to original solution
Mechanical supply and exhaust system with plate heat exchanger <i>and</i> 1+2 window panes with low-emission coatings and gas, $U = 1.15 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$	140	-18 %
Mechanical supply and exhaust system with plate heat exchanger	148	-13 %
2+2 window panes with low-emission coatings and gas, $U = 1.00 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$	159	-7 %
1+2 window panes with low-emission coatings and gas, $U = 1.15 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$	161	-6 %
370 mm thermal insulation in the external walls, $U = 0.13 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$	167	-2 %
<i>Original solution:</i>		
235–240 mm thermal insulation in external walls, $U = 0.20 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$ 1+2 window panes, $U = 1.90 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$ Ventilation system including mechanical exhaust system and a wooden framework	171	–
Concrete framework	172	+1 %
165–170 mm thermal insulation in external walls, $U = 0.26 \text{ W}/(\text{m}^2 \cdot ^\circ \text{C})$	174	+2 %

4.3 Will a deviation between the estimated and the charged energy use during the occupation phase affect the results?

In the following paragraphs, the estimated energy use and the energy use actually charged during the occupation phase are presented. It is sometimes observed that there is a deviation between the estimated and the actual energy use (Sandberg E., 1998) and (Adalberth K., 1995). The idea is to evaluate how a change in the occupation phase influences the total energy use during the life cycle.

The charged energy use has been determined by contacting the property manager and establishing the use of heating and electricity outside the apartments, e.g. in common laundry facilities. Furthermore, the energy supplier has been contacted in order to obtain information about the electricity inside apartments.

Fig. 4 presents the estimated energy use and the actual energy use for the four multi-family houses during their occupation phase.

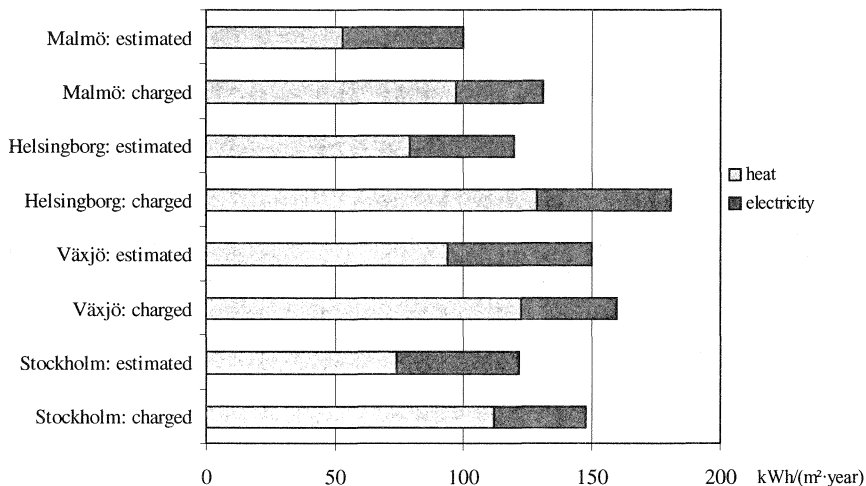


FIG. 4 - Estimated and charged energy use, both electricity and heat, for the four multi-family houses.

Fig. 4 shows that the charged energy use is higher than the estimated use for the four houses. The Malmö house is estimated to use 100 kWh/m², but is charged 131 kWh/m²; the Helsingborg house is estimated to use 121 kWh/m², but is charged 181 kWh/m²; the Växjö house is estimated to use 150 kWh/m², but is charged 160 kWh/m²; and finally the Stockholm house is estimated to use 121 kWh/m², but is charged 148 kWh/m². In conclusion, the charged energy use is between 0 and 50 per cent higher than the estimated use.

Fig. 4 also shows that the charged use of heating consistently lies above the estimated use. The actual use of heating is about 30–50 kWh/m² usable floor area (i.e. 30–90 per cent) than the estimated use. Note that no “normalisation” of the charged heat, based on a “reference year” and using the outdoor temperature, has been done. Nevertheless, the outdoor temperature has been above the reference year by more than 1° C during the heating season. This means that the charged energy use would increase if data were standardised.

One reason why the actual heating figures are higher than estimates could be that the actual indoor temperature is higher than the estimated 20° C. An increase of the indoor temperature from 20° C to 22° C would increase the estimated heating by 4 kWh/(m²-year) for the Malmö house, 7 kWh/(m²-year) for the Helsingborg and Stockholm house respectively, and 10 kWh/(m²-year) for the Växjö house. In addition, the air exchange rate may also be higher than the estimated 0.50. Furthermore, there may be deviations from the project documents compared to the actual performance of the building constructions, due to a lack of quality assessment at the building site. This kind of deviation has been observed during contract work dealing with 26 single-unit dwellings built in 1992 (Adalberth K., 1995). The deviation consisted of: Poor air tightening, inappropriate selection of windows compared to what was prescribed in the design documents, poor adjustment of ventilation flow rates and poor thermal insulation in some crawl spaces.

When it comes to the use of *electricity*, the estimated electricity use is higher than the charged use – between 10 and 20 kWh/m², see Fig. 4. This may be explained by the use of energy-efficient white goods. When the household electricity was predicted, a “standard estimate” was used, see paragraph 3.

In a study made by (Sandberg E., 1998), the estimated and the charged energy use in 16 multi-family houses were studied. Results showed that the *estimated heat requirements* for space heating, heating for ventilation and domestic hot water was on average 97 kWh/m² usable floor area. The *charged* energy use was on average 155 kWh/m². This means that the charged energy use was 50 per cent higher than the estimated use. The difference was e.g. explained by:

- a higher indoor air temperature
- a frequent use of window airing
- higher ventilation flows
- a higher degree of solar shading due to surrounding buildings and trees, and,
- a higher degree of thermal bridges.

The study suggests a way to deal with the difference between estimated and charged energy use. Building regulations should *focus on the charged energy use* instead of the estimated value (as it is now, authorities give permission to commissioners of building projects to start building based on the estimated energy use). In this way, a more “realistic” approach would be used to estimate the energy use.

To conclude the discussion about the difference between estimated and charged energy use: The dominant occupation phase, which constitutes 85 per cent, is even more dominant than initially believed since the actual use is higher than the estimated use. Furthermore, it would make sense to design dwellings more energy-efficient during the planning phase, since the charged energy may be higher than what was estimated for the building.

5. Conclusions

The paper deals with four authentic multi-family houses built in Sweden in 1996. They were evaluated based on total energy use during their life cycle. The life cycle is divided into the following time phases: manufacture of building materials, transport, erection to a building, occupancy, renovation, and finally demolition, removal, and recovery of heat from debris. Questions to be answered in this study were as follow in paragraphs 5.1-5.3.

5.1 Which phase of the buildings' life cycle has the highest use of energy?

During the life cycle, the Malmö, Helsingborg, Växjö and Stockholm houses use approx. 123, 144, 171, 143 kWh/(m²·year) respectively. A majority of this energy is used during the phase of occupancy for space heating, ventilation, domestic hot water, pumps, fans and household electricity. This energy demand is between 100 and 150 kWh/(m²·year), which constitutes approx. 85 % of the total energy use for the four houses.

The second and third dominant phases are the manufacture and renovation phases. These constitute approx. 15 % of the total energy use during the life cycle. The impact of manufacturing materials is therefore low and its importance in a life cycle modest.

The energy demand for transports and processes during the erection and demolition of the houses constitutes approx. 1 per cent of the total energy requirements. Compared to the entire life cycle, very little energy is hence used for such purposes.

In short: Design a house to attain a low energy demand during the occupation phase.

5.2 How do different features of the building affect the total energy use during the life cycle?

Four different features of the Växjö house have been altered, namely framework, thickness of thermal insulation in external walls, thermal character of windows, and finally, degree of heat recovery in the exhaust air.

By increasing the thermal insulation thickness in the external walls from approx. 240 to 370 mm (U value decreases from 0.20 to 0.13 W/(m²·° C)), the energy use during the life cycle is decreased from 171 to 167 kWh/(m²·year).

By exchanging the windows from a single pane and a sealed unit, U = 1.90 W/(m²·° C), to windows with a single pane and a sealed argon-filled unit with low-emission coatings, U = 1.15 W/(m²·° C), the energy use is decreased from 171 to 161 kWh/(m²·year).

By improving the degree of heat recovery of the exhaust air, from 0 % to a temperature efficiency degree of 68 %, the energy use during the life cycle is decreased from 171 to 148 kWh/(m²·year).

In conclusion: Design a house with good performance in the thermal envelope and install a heat exchanger with high temperature efficiency in order to recover heat from the exhaust air. When the thermal thickness is increased, so is the energy demand during the manufacture phase. However, the energy requirement during the occupation phase will decrease more. This means that the total energy use during the life cycle is reduced.

5.3 Will a deviation between the estimated and the charged energy use during the occupation phase affect the energy use during the life cycle?

The estimated energy use during the occupation phase of the four multi-family houses has been compared to the actually charged energy use. This has been done since a deviation between estimated and actual energy use is sometimes observed.

The charged energy use was higher than the estimated use – on average between 0 and 50 per cent. This means that the energy use during the whole life cycle increased from approx. 123, 144, 171, 143 to 154, 204, 180 and 169 kWh/(m²-year) for the Malmö, Helsingborg, Växjö and Stockholm house respectively.

One reason why the use of energy was higher than the estimate could be that the actual indoor temperature is higher than the estimated 20° C. Also, the air exchange rate may be higher than the estimated 0.50 acr. In addition, there may be deviations from the project documents compared to the actual performance of the building constructions due to a lack of quality assessment at the building site.

In short, the dominant occupation phase is even more dominant than initially believed, since the actual use is higher than the estimated use. When the actual energy use is considered, the occupation phase increases from 85 to 88 % of the total use of energy during the life cycle.

5.4 Recommendations

In order to get an energy-efficient house during the entire life cycle,

- design a house with low energy demands during the occupation phase
- design a house with good performance of the thermal envelope, by e.g. adding another pane to windows, even if the energy demand during the manufacture phase will increase, and finally
- estimate the energy use during the design phase with “realistic” input and enforce high quality during constructions, so that the deviation between estimated and charged energy use will be low.

6. Acknowledgement

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**Life Cycle Assessment of four
Multi-Family Buildings**

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Life Cycle Assessment of four Multi-Family Buildings

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KEYWORDS: *LCA, Life Cycle Assessment, life cycle, multi-family building, residential building, environmental load, environmental impact, energy use, energy demand*

SUMMARY: *This study covers an LCA dealing with the environmental impact of four multi-family dwellings in Sweden built in 1996. The buildings are authentic and have e.g. different frameworks and foundations, different numbers of apartments, a different thermal degree of the envelope, different ventilation systems etc. The aim is to establish which phase in the life cycle that has the highest environmental impact; whether there are parallels between environmental impact and energy use; and whether differences in environmental impact subsist due to a choice of building construction. The environmental impact refers to the effects: global warming potential, acidification, eutrophication, photochemical ozone creation potentials, and human toxicity. The occupation phase is assumed to be 50 years. Results show that the occupation phase has the highest environmental impact during the life cycle, approx. 70–90 % of the environmental impact during the dwelling's life cycle. Parallels can be drawn to the energy use during the life cycle, for which the occupation phase constitutes 85 % of the total. Since the manufacture phase has such a small impact on the total impact during the life cycle, approx. 10–20 % of the total, the selection of framework has little effect. It is better to choose constructions and installations, which cause a small environmental impact during the occupation phase. Finally, since the occupation phase is very dominant and since there is conformity between energy use and environmental impact during the life cycle, it is wise to both design buildings that are energy-efficient during their occupation phase, and to produce energy with low emissions in order to obtain an environmentally adapted dwelling.*

1. Background

Throughout its existence a building has a certain environmental impact on the surrounding ecosystem. The effects can be noticed on a local, regional and global level. All different processes and phases included in the construction and use of a building add to the total environmental impact. A common method for determining this impact is LCA, the Life Cycle Assessment method. LCA is a method for analysing and assessing the environmental impact of a material, product or service throughout an entire life cycle.

This study covers an LCA dealing with the environmental impact of four multi-family dwellings in Sweden, all with different frameworks and foundations. A report of the total energy use during the life cycle of the same buildings has already been published (Adalberth K, 1999).

1.1 Objectives

The aim of the study is to investigate the environmental impact of four multi-family buildings erected in 1996. Questions to be answered are:

- Which phase of the buildings' life cycle has the highest environmental impact?
- Are there similarities between environmental impact and energy use during the life cycle?
- Are there differences in environmental impact due to the choice of building construction and framework?

1.2 Other studies

There are a few other studies dealing with energy use and environmental impact during the life cycle of a *complete* building.

Two different essays, (Cole R et al, 1996) and (Németh B W, 1998), describe the energy use in an office and five single-unit dwellings respectively. Another study made by (Adilstam T, 1997) examines the environmental impact of two multi-family buildings with a lightweight steel framework.

The contribution of this study is to compare the energy use during the life cycle with the corresponding environmental impact. In addition, this study deals with four authentic Swedish multi-family buildings with e.g. different frameworks and foundations, different numbers of floors and apartments, different thermal degree of the envelope, different ventilation systems etc.

2. Method

In this study, environmental impact refers to the following effect categories: global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity. The global warming potential includes the following emissions: carbon dioxide, carbon monoxide, nitrous oxide and methane. Acidification includes: ammonia, hydrogen fluoride, nitrogen monoxides and sulphur dioxide. Eutrophication includes: ammonia, nitrous oxide and nitrogen oxides. Photochemical ozone creation potential includes: formaldehyde, carbon monoxide, methane, and volatile organic compounds. The effect category human toxicity includes emissions such as arsenic, lead, cadmium, carbon monoxide, mercury, nitrous oxide, nickel, nitrogen monoxide and sulphur dioxide.

The total global warming potentials have been estimated by translating the different emissions presented above into CO₂ equivalents – the emissions were multiplied with different *weighting factors* and then added up. The size of the weighting factors depends on the emission's contribution to radiative forcing, taking into consideration the atmospheric lifetimes and absorption properties of the gases (IPCC, 1994).

In the same way, other emissions affecting acidification, eutrophication, photochemical ozone creation and human toxicity are connected to certain weighting factors. The factors are collected from (Lindfors L-G et al, 1995).

The reason for choosing these effect categories is that they are considered especially important in literature and from an environmental and political point of view. For example, in April 1999 the Swedish Parliament adopted 15 national goals dealing with environmental targets. Global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity were five of them (Swedish Parliament, 1999).

The environmental impact of the four multi-family buildings has been investigated during their life cycle. The expression 'life cycle' refers to the following phases: manufacture of building materials, transport, erection of the building, occupancy, renovation, and finally demolition and removal, see Figure 1.

Residual products have been handled in different ways. Gypsum wallboards and white goods are assumed to go to a landfill. This environmental impact is included in the life cycle. The disposal of other materials is not included in the life cycle, since this profit or loss will supposedly be included in the life cycle of the next product using these materials. Wooden and plastic products are assumed to be combusted in order to recover heat. All other materials, e.g. concrete, mineral wool and metals, are assumed to be recycled into new products.

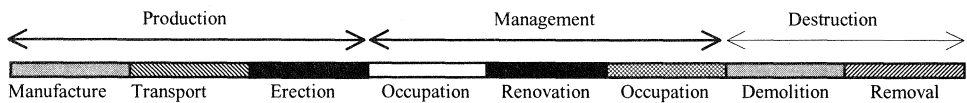


FIG. 1: A building's various temporal phases during its life cycle.

2.1 Functional unit

In order to evaluate and present the environmental impact of the four buildings, a functional unit has to be chosen. There are several functional units to choose from e.g. resident, apartment, and m² usable floor area.

The functional unit 'resident' would reflect the effectiveness of the layout; i.e. the number of rooms and consequently the number of residents, and a comparison between the buildings would be complex. On the other hand, the unit would indeed show the environmental impact of a building, since it is more environmentally adopted to live four people in an apartment with four rooms and a kitchen than just two.

The functional unit 'apartment' would favour as many apartments as possible in a building, i.e. the environmental impact would decrease if all inhabitants had an apartment of their own, and this would not be correct.

The third alternative, m² usable floor area, would make a comparison between the buildings easier. 'Layout' efficiency and number of apartments would not influence this functional unit, see paragraphs above. This unit is also widely used in other studies.

Unfortunately, it has not been possible to carry out a complete analysis of this topic within this study. Nevertheless, the functional unit 'm² usable floor area' is chosen. The usable floor area is defined as the floor area in the apartments, staircases, cellar and attic.

2.2 The LCA tool

The environmental impact of the four multi-family buildings has been evaluated with an LCA tool developed at the Danish Building Research Institute (Petersen E H, 1997b). The tool is designed with the relation-database program Microsoft Access, and consists of a database and an inventory tool. In the database, all quantifiable input (raw material, energy sources and products) and output (emissions to air, liquid effluents and solid waste) can be stored for every process, i.e. means of transport, energy source, building material and building element used during a building's lifetime. Since it is both difficult and time-consuming to obtain data for these processes, a number of processes commonly used for Danish buildings and the Danish building industry have been predefined in the database. The database therefore contains data for:

- Means of transport, e.g. truck, coaster, train.
- Energy sources, e.g. electricity, natural gas, oil and coal. The typical emissions, which occur when these fuels are used in large stationary industrial installations, can be automatically included.
- Building materials, e.g. cement, concrete, light concrete, gypsum boards, bricks, wood, metals, glass, plastic, insulation materials.
- Building elements, e.g. exterior walls, interior walls, foundations, roof constructions, windows.

By using these predefined processes, an LCA for a building can be performed in a fraction of the time that would otherwise be required. The database and inventory tool can also be used to perform LCAs for building elements or building materials. It is hence possible to analyse individual parts of a building in more detail. If e.g. an LCA for a building establishes the exterior walls as a significant contributor to environmental effects, they can be further analysed in order to identify the specific wall or material in the wall which is responsible for the environmental impact.

When an LCA is performed, the inventory tool calculates the total input/output, i.e. the consumption of raw materials (including fuels) and energy, which occur over the entire lifetime of the building. It also calculates the total airborne emissions, liquid effluents and solid waste, which will be deposited. Afterwards, the input/output can be displayed on a screen or printed in the form of input/output tables. The printout includes tables containing:

- Primary raw materials and fuels used, i.e. raw materials extracted from nature (e.g. sand, stone, clay, coal, oil and natural gas)
- Secondary raw materials and fuels used, i.e. industrial waste products (e.g. fly ash, micro silica, wood and saw dust)
- Energy use and how it is divided into individual energy sources
- Airborne emissions
- Liquid effluents
- Solid waste for deposition.

For building elements and buildings, the amounts displayed in the tables are shown as both total input/output during the entire lifetime and input/output per year. For products (with no expected lifetime, since it depends on what they will be used for), only total input/output is displayed. The inventory tool can also calculate the potential environmental impacts from the input/output and present them on a screen or printed in the form of tables or graphically as normalised and weighted environmental profiles.

2.3 Pertinent conditions and restrictions

2.3.1 Manufacture of materials during production and renovation

In order to estimate the environmental impact of the four buildings, certain simplifications have to be made. In cases when manufacturer-specific information was not available, typical generic data or data for equivalent products has been used instead. Table 1 shows the references, from which data was collected.

TABLE 1: In order to estimate the environmental impact of buildings, background information on materials is required. The table shows the references, from which data has been collected.

Building product	Reference
Concrete, mortar, brick, gypsum etc	
Aerated concrete	(Yxhult, 1998)
Concrete	(Björklund T et al, 1997)
Lightweight-aggregate concrete blocks	(Leca, 1997)
Macadam	(DTI, 1995a)*
Mortar	(Björklund T et al, 1996)
Brick, red and yellow	(DTI, 1995b)*
Gypsum wallboard	(Gyproc, 1996)*
Thermal insulation	
Glass wool	(Ceutrick D, 1993)*
Rock wool	(Ceutrick D, 1993)*
Metal products	
Aluminium	(European Aluminium Associate, 1996)*
Copper	(Sunér M, 1996)
Steel from scrap	(The Danish Steel Works Ltd, 1996)*
Zinc	(Künniger T and Richter K, 1995)*
Plastic products	
Expanded polystyrene	(Neste Ltd, 1995)*
Polyethylene and polypropylene	(Boustead I, 1993)
Polyvinyl chloride	(Boustead I, 1998)
Wooden products	
Chipboard	(Novopan Træindustri, 1995)*
Glulam wood	(Erlandsson M, 1996)
Planed timber	(BPS, 1997)*
Plywood	(Vänerply, 1999)
Veneered laminboard beam, product KERTO	(Plyfa, 1999)
Others	
Linoleum as floor covering	(Jönsson Å, 1995)
Underlay felt, product YAP 2500,	(Mataki Ltd, 1999)
White goods: refrigerator, freezer, stove, washing machine, drying cupboard	(Electrolux, 1998)
Windows, product ELIT window EFH12*12 M	(Erlandsson M, 1997)

* Already defined in the LCA tool developed by the Danish Building Research Institute (Petersen E H, 1997b).

The investigated dwellings can hence be described as models based on existing buildings. This is not a great limitation since the scope of this study is to compare building systems and ways to estimate environmental impact, not producer-specific products.

The amount of building and installation materials has been obtained from drawings and interviews with designers and contractors. Vertical and horizontal framework sections (including all kinds of fixing devices), not load-bearing partitions, surface finishes, electrical installations, and installation materials for building services are all estimated.

When materials are handled during construction, waste is produced. The amount depends on the skills of the craftsmen involved and the geometry and complexity of the building. The amount has been calculated using typical waste factors from a study by (Lindhe N, 1996).

2.3.2 Transports during production, renovation and destruction

The environmental impact due to transports has been considered. The calculations are based on the estimated transport distance between manufacturers and building sites during construction and renovation. There are also transports from the building site to a waste-disposal site during renovation and demolition. This study assumes that there is a waste-disposal plant in the municipality where the buildings are located. The transport distance is assumed to be 20 kilometres.

Furthermore, the environmental load relating to different transports has been derived from (Tillman A-M et al, 1991), which provides data on energy demands and produced emissions.

2.3.3 The erection and demolition of the building

The environmental impact of the erection and demolition phases has also been included in the study. Due to the lack of information on emissions from these processes they have only been evaluated by means of their energy use. Other emissions, e.g. air-borne emissions when performing the welding and painting, are omitted due to a lack of information. The environmental impact has then been calculated using data collected by the Danish Building Research Institute (Andersen S et al, 1993).

2.3.4 Occupation

In this study, the occupation phase is assumed to be 50 years, since the economic life span of a building in Sweden is about 40–50 years. The estimated environmental impact during the occupation phase is based on the assumption that no extensions, re-constructions, or significant changes are made during the relevant 50-year phase. Only sequential maintenance, e.g. repainting and white goods exchange, has been taken into account (SABO, 1998).

The environmental impact of this phase has been evaluated by means of its energy use. The energy requirements during the occupation phase were calculated using the Swedish software Enorm (Munther K, 1996). It calculates energy and average power requirements for space heating and ventilation during a period of twelve months, based on average outdoor temperatures and solar radiation on a 24-hour basis. Factors taken into account are: U values of the building constructions, thermal bridges, air leakage, window orientation, heating system, and ventilation including heat exchange. Computations do not include the accumulation of heat in the framework and furnishings of the building, since this would call for hourly climate data.

The energy requirements for domestic hot water and household electricity in Enorm is calculated using empirical equations and acquired by experience (Boverket, 1994).

2.3.5 Energy sources

In order to estimate the environmental impact, the emissions from energy production must be known. During a 50-year life cycle, the energy source or the energy supply system will supposedly change several times. In the calculations, however, it is assumed that the energy supply system will be constant during the entire life cycle.

The average Swedish district heating and the European OECD countries' average electricity mix have been used to determine the environmental impact due to energy use. The purpose of using the Swedish district heating and the European OECD countries' electricity mix, e.g. during the occupation phase (and not the local net for each of the buildings) is primarily to compare the environmental impact of the buildings and not the impact of the energy supply systems. Hence, the average Swedish district heating has been used for all the buildings in order to get same 'emission set' from the heat source. Figure 2 shows the mix in the Swedish district heating net.

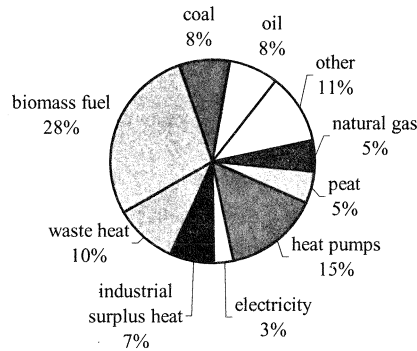


FIG. 2: The mix in the Swedish district heating net, 41.2 TWh during 1997 (Swedish District Heating Association, 1999). This mix has been used to determine the environmental impact due to heat usage in the four studied multi-family houses.

By choosing the electricity mix of the European OECD countries, it was possible to compare the buildings. In addition, the electricity system is turning into one large network for all countries. Sweden is already a part of this system to some extent, and will be so even more in the near future. Furthermore, 80–85 % of the total energy use during the life cycle is used during the phase of occupancy (Adalberth K, 1999), a period which is mainly in the future. This is yet another reason to choose the OECD European mix. Figure 3 shows this electricity mix. The following countries are included in the OECD: Austria, Belgium, the Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Luxembourg, the Netherlands, Norway, Portugal, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

The electricity mix of the European Union has not been considered as an alternative mix, since Sweden imports Norwegian electricity and Norway is not in the Union.

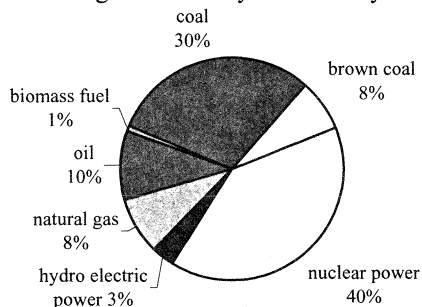


FIG. 3: The electricity mix of the OECD countries within Europe (IEA, 1998). This mix has been used to determine the environmental impact due to electricity usage in the four studied multi-family houses.

3. Description of the buildings

The four buildings, which were analysed based on environmental impact, are located in different parts of Sweden: in Malmö, Helsingborg, Växjö and Stockholm. They were built in 1996 and selected for their different types of foundation and framework, different locations in Sweden, and different number of floors and apartments. Table 2 sums up their major characteristics.

TABLE. 2: Some characteristics of the four multi-family buildings. The buildings have been analysed based on environmental impact.

	Unit	Malmö	Helsingborg	Växjö	Stockholm
Number of apartments		6	8	16	15
Number of floors		3* ¹	3.5	4	5* ¹
Usable floor area	m ²	700	1 160	1 190	1 520
Room height	m	2.4	2.6	2.55	2.4
Surface of heated volume	m ²	920	1 380	1 610	1 600
Mean U value* ²	W/(m ² ·K)	0.26	0.44	0.32	0.30
Kind of framework		Lightweight concrete and concrete	Concrete	Wood	Steel columns and concrete
Energy use during occupation* ²	kWh/m ²	100	121	150	121
Amount of building materials* ²	ton/m ²	1.0	1.5	0.8	1.3
- dominant building material	% of the weight	74 % concrete 14 % macadam	85 % concrete 10 % macadam	33 % concrete 35% macadam	71 % concrete 20 % macadam
Amount of building materials* ²	m ³ /m ²	0.8	1.0	1.2	1.2
- dominant building material	% of the volume	43 % concrete 29 % min.wool	64 % concrete 20 % min.wool	51 % min.wool 16 % wood	45 % min.wool 33 % concrete
Supply air flow	m ³ /h	870	1 430	1 540	1 670
Air change rate	h ⁻¹	0.5	0.5	0.5	0.5
Ventilation system		Mechanical supply and exhaust air	Mechanical exhaust air	Mechanical exhaust air	Mechanical exhaust air
Heat recovery of the exhaust air		Yes	No	No	No
Heat source		District heating	District heating	District heating	District heating
Space heating system		Underfloor heating	Radiators	Radiators	Radiators

*¹ The top level is an attic

*² Indicates estimated values

3.1 Constructions in the Malmö building

The foundation of the building is a concrete slab on ground with 70-mm mineral wool below the slab.

The external walls consist of two different types. One has a facade made of masonry veneer, 150-mm mineral wool and a finish on the inside made of 200-mm lightweight-aggregate concrete. The other wall has a facade made of masonry veneer, gypsum plasterboard, 170-mm mineral wool between wooden studs, polyethylene foil, and a gypsum plasterboard on the inside of the wall.

The roof structure is made of fibre-cement tiles, asphalt-impregnated polyester felt, grooved timber, 265-mm mineral wool between wooden timber roof trusses, 70-mm mineral wool between a polyethylene foil and a gypsum plasterboard on the inside.

The windows are single-paned and a sealed argon-filled unit.



FIG. 4: An outline of the Malmö building. The framework is made of lightweight concrete and concrete.

3.2 Constructions in the Helsingborg building

The foundation of the building is a cellar made of concrete, with 65-mm polystyrene on the outside of the external foundation walls. No thermal insulation has been used below the basement floor.

The external walls are of two different types. One has a framework made of concrete and 150-mm mineral wool and rendered lightweight concrete blocks as facade material. The other type has a wooden framework with 165-mm mineral wool between the studs, polyethylene foil, and gypsum plasterboard on the inside. The facade material consists of rendered lightweight concrete blocks.

There are two different types of roof structure. One contains concrete roofing tiles, asphalt-impregnated polyester felt, fibre building board, 220-mm mineral wool between timber roof trusses, polyethylene foil, and gypsum plasterboard. The other has concrete roofing tiles, asphalt-impregnated polyester felt, a fibre building board, 400-mm mineral wool, and a concrete attic floor structure.

The windows are single-paned and a sealed unit.



FIG. 5: An outline of the Helsingborg building. The framework is made of concrete.

3.3 Constructions in the Växjö building

The building foundation is a concrete slab on ground with 70-mm expanded polystyrene below the slab.

The external walls have 240-mm (some 235-mm) mineral wool between wooden studs, polyethylene foil, and a gypsum plasterboard on the inside of the wall. The facade is made of wooden panel or rendering over 50-mm mineral wool.

The roof structure consists of two layers of asphalt-impregnated felt, wood panels, 400-mm loose-fill mineral wool between wooden roof trusses, polyethylene foil, and two layers of gypsum plasterboard on the inside. The windows are single-paned and a sealed unit.



FIG. 6: An outline of the Växjö building. The framework is made of wood – quite an unusual solution for a four-storey multi-family building in Sweden.

3.4 Constructions in the Stockholm building

The building foundation is a concrete slab on ground. Below the slab is 100-mm expanded polystyrene along the outer zone (0–1 metre) of the building, and 50-mm expanded polystyrene in the building's inner zone (1–6 metres).

The external walls contain steel pillars with a wooden framework and with 210-mm (or 170-mm) mineral wool in between. The inside of the walls is made of polyethylene foil and gypsum plasterboard. The facade consists of rendering on top of 50-mm mineral wool.

The roof structure is made of concrete roofing tiles, asphalt-impregnated polyester felt, wood panels, 265-mm (or 170-mm) mineral wool between wooden roof trusses. The inside of the roof consists of polyethylene foil and grooved timber.

There are different types of windows in the building. About 1/3 of the windows are single-paned and one sealed gas unit with two low-emission coatings. The other windows are triple-paned with sealed gas units.



FIG. 7: An outline of the Stockholm building. The framework is made of steel columns and concrete.

4. Results

An LCA of the four multi-family buildings during their 50-year life cycle has been made. Figure 8 presents the environmental impact based on the five effect categories: global warming potentials, acidification, eutrophication, photochemical ozone creation potentials and human toxicity. In addition, the energy use during the life cycle is presented.

The graphs in Figure 8 show that the time phase with the highest environmental impact is the occupation phase, approx. 70–90 % of the life cycle's total. The impact from the buildings is almost the same within each category. The total global warming potential is approx. 1.5 ton CO₂ equivalent/(m²·50 years) for all the buildings. The total acidification is approx. 8–10 kg SO₂ equivalent/(m²·50 years).

In a study by (Adilstam T, 1997), the environmental impact of two multi-family buildings with a lightweight steel framework was analysed. Results showed that approx. 80–85 % of the total SO₂ emissions were produced during the building's occupation phase. This supports the results presented in Figure 8. Adilstam also showed that the amount of CO₂, without translation of other emissions into CO₂ equivalents, was about 0.14 ton/(m²·50 years). These low CO₂ emissions were explained by the fact that the heat production during the occupation phase used biomass, and therefore no CO₂ emission was obtained during this phase. Also no consideration was made for electricity use during the occupation phase.

If the four multi-family houses in this study were compared to Adilstam's findings, i.e. 100 % biomass as input in the district heating net and no electricity use during occupation, the total CO₂ emission would be between 0.20 and 0.30 ton/(m²·50 years), which is almost the same as Adilstam's results.

Figure 8c also shows that the eutrophication is approx. 4–5 kg NO₃ equivalent/(m²·50 years) for each building. The photochemical ozone creation is approx. 0.3 kg C₂H₄ equivalent/(m²·50 years), see Figure 8d. The difference between the buildings may be explained by differences in energy use, primary the use of heat during the occupation phase. The energy use in the Växjö building is the highest of the four multi-family buildings, followed by the Helsingborg and Stockholm, and finally Malmö, see Figure 8f. The occupation phase constitutes approx. 75–80 % of the total photochemical ozone creation, of which 70 % arises from heat usage.

One reason why the Växjö building has a large energy demand during the occupation phase is because of the lack of heat recovery from the exhaust air. In addition, the dwelling uses much more household electricity than the other buildings. This is due to a large proportion of small apartments. Eight out of sixteen apartments are fairly small (1½ rooms with a kitchen and 42 m² usable floor area). This means that the building e.g. has more white goods than the other buildings. The building is actually exempted from the Swedish building code and permitted to use this much energy, since approx. 95 % of the energy source for the local district heating net is biomass.

The graph in Figure 8e shows the environmental impact expressed in human toxicity. Compared to other effect categories, this result has large uncertainties concerning the input data in the different phases and how emissions are handled. Nevertheless, the time phase with the highest impact is the occupation phase, approx. 65–70% of the life cycle total.

The graph in Figure 8f shows that approx. 85 % of the energy demand is used during the occupation phase. Parallels can obviously be drawn between energy use and environmental impact – the occupation phase is very dominant!

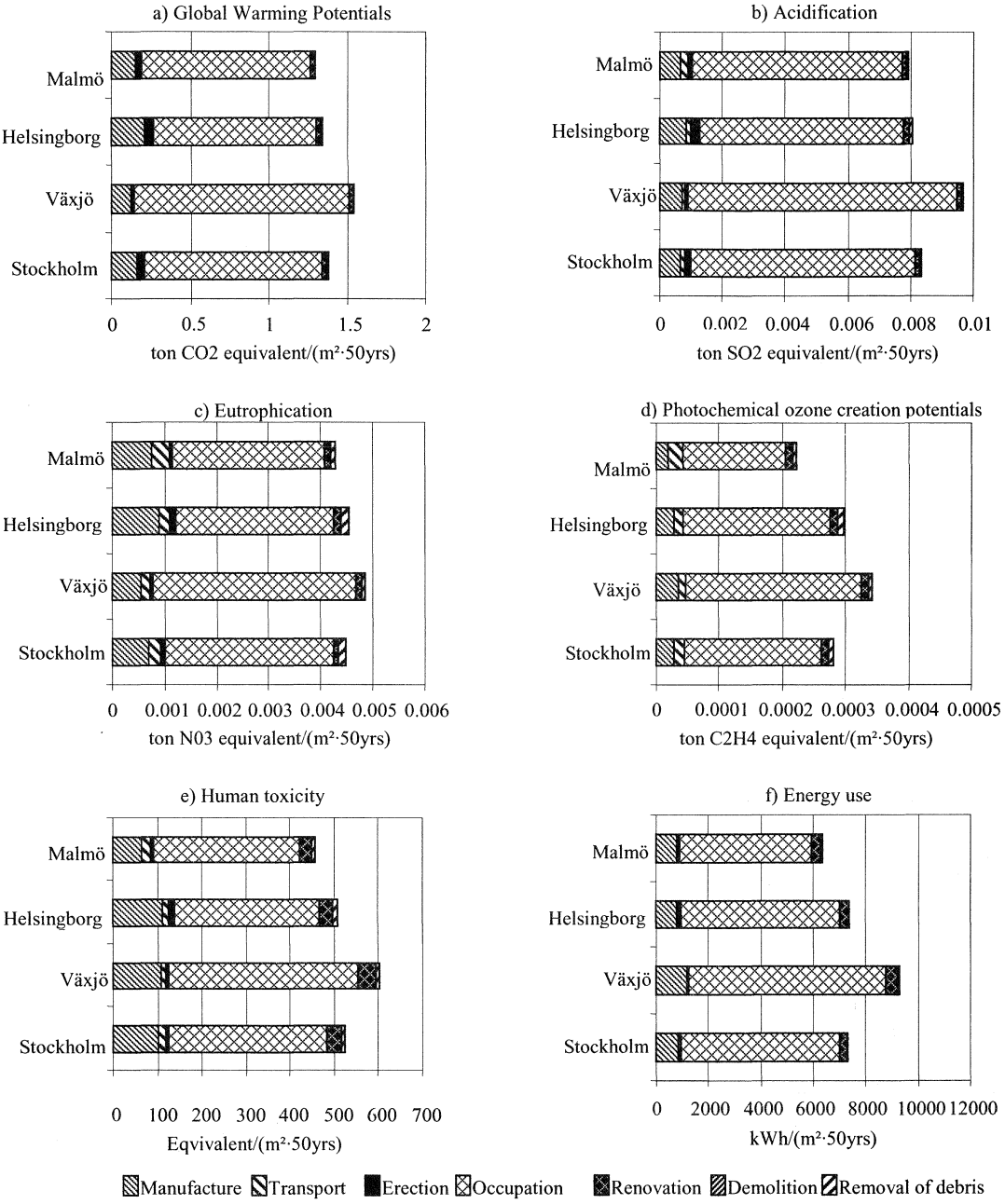


FIG. 8: Environmental impact in the effect categories, i.e. global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity, as well as energy use in four multi-family buildings during their life cycle.

4.1 Sensitivity analysis

There are certain restrictions and limitations to this study. In addition, there are uncertainties in the input data used to estimate e.g. the environmental impact during different phases. In order to determine the impact of some uncertainties and limitations and establish the reliability of the results, a sensitivity analysis has been made. The Växjö house has been selected for this purpose.

Three parameters have been selected for the sensitivity analysis. The first parameter to vary was *the electricity mix*. The original mix was the average of the OECD countries within Europe. Nevertheless, the electricity mix in Sweden today (1999) consists of hydroelectric and nuclear power, approx. 45 % each. The electricity mix in the different phases of the life cycle has been exchanged for the Swedish mix to the greatest possible extent. Some data in the manufacture phase could not be changed, since the electricity usage was not always explicitly described. The result is all shown in Figure 9.

The second parameter to vary regards the *building material data* used to determine the environmental impact during the manufacture phase. Some of the chosen data were uncertain due to a lack of manufacturer-specific information. In these cases, typical generic data or data for equivalent products have been used. Furthermore, there may be a difference in how the material data have been determined from one product to another. Hence, in order to ascertain the extent to which material data have influenced the environmental impact during the life cycle, the impact from the manufacture phase has been varied. There is no internationally accepted standard on how to handle these uncertainties and what methods to use (Petersen E H, 1997a). In order to simulate uncertainties in the building material data, the general load was set to vary by 50 %. Changes in environmental impact due to a change in load from the manufacturer phase are shown in Figure 9.

Finally, the third parameter to vary was *the energy use* during the occupation phase. In this study, the energy demand during the occupation phase was based on computed estimates. In reality, the charged energy demand was different. The total charged energy demand for space heating, ventilation, domestic hot water, household electricity and lighting is up to 50 % higher than the estimated use (Adalberth K, 1999). The change in environmental impact due to a shift to charged energy use during the occupation period for the Växjö building is shown in Figure 9.

Results from the sensitivity analysis clearly show that the uncertainties in the building material data and the differences between charged and calculated energy use, only have a minor influence on the environmental impact in the effect categories – global warming potential, acidification, eutrophication and human toxicity – see Figures 9a–9c and 9e. The maximum difference in impact between the cases in the sensitivity analysis is about 15 %, except for the human toxicity category where the difference is almost 30 %.

Contrary to the other parameters in the sensitivity analysis, the choice of electricity mix considerably influences the environmental impact in the effect categories, see Figures 9a–9c and Figure 9e. The impact with the Swedish mix, which is dominated by hydroelectric and nuclear power, is as low as 25–45 % of the impact from the original OECD electricity mix.

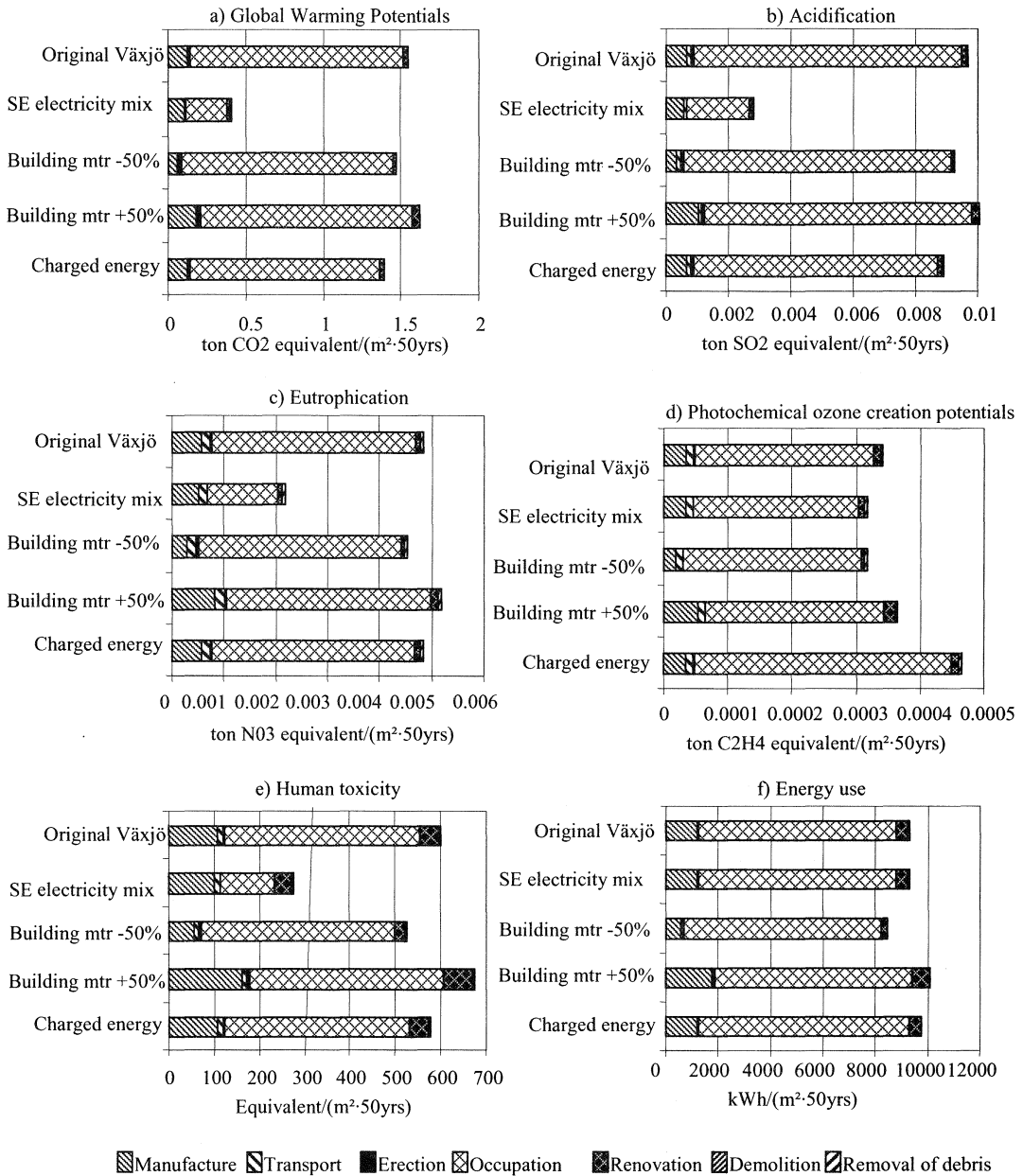


FIG. 9: In order to determine the impacts of limitations and uncertainties in input data, a sensitivity analysis has been carried out. The figure shows how some parameters influence the environmental impact and the energy use during the life cycle of one of the multi-family buildings; the Växjö building.

Figure 9d shows that the uncertainties in the building material data and electricity mix have no major impact on the photochemical ozone creation potential. This category is primarily effected by the shift to charged energy in the occupation phase. In the Växjö case, it can be explained by a higher heat usage than calculated (emissions from the district heating during the occupation phase has a major effect on this effect category). This building was estimated to use 94 kWh heat/m² and 56 kWh electricity/m², which is a total of 150 kWh/m². Instead, the building was using 123 kWh heat/m² and 37 kWh electricity/m², 160 kWh/m² altogether.

Another important result is that although the studied parameters in the sensitivity analysis were significantly varied, the impact of the occupation phase is still dominant in the building's life cycle. The graphs in Figures 9a–9e show that the time phase with the highest environmental impact is the occupation phase, approx. 70–90 % of the life cycle, except for the eutrophication regarding the change in electricity mix. In this case, the occupation phase only forms 60 %.

5. Conclusions

The aim of this study was to investigate the environmental impact of four multi-family buildings. The following questions were put:

5.1 Which phase of the life cycle has the highest environmental impact?

Results show that the occupation phase has the highest environmental impact. This phase is assumed to last for 50 years and consequently this phase is important. Approx. 70–90 % of the environmental impact during the dwelling's life cycle, expressed as global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity arises during the occupation phase.

The second dominant time phase is the manufacturing of building and installation materials. This phase constitutes approx. 10–20 % of the life cycle.

The environmental impact from the phases transport, erection and demolition has a marginal effect on the impact.

It is important to remember that the choice of energy source during the life cycle has a large effect on the environmental impact. The sensitivity analysis shows that the influence of the occupation phase decreases from 80–90 % to 60–70 % for all effect categories (except human toxicity) if the electricity mix is changed from OECD European mix to a Swedish mix. The Swedish electricity mix is 'cleaner' from an LCA perspective (45 % nuclear and 45 % hydroelectric power), and hence produces a lower environmental impact.

The sensitivity analysis also shows that the district heating source during the occupation phase has a major impact on the photochemical ozone creation potentials. If the district heating source was based on biomass, the environmental impact would be low. On the other hand, if the energy source was based on fossil fuels, the environmental impact would be very high.

Finally, the sensitivity analysis shows that the impact from the occupation phase is still very dominant, despite uncertainties in input data for energy mix, energy use and manufacture.

5.2 Are there similarities between environmental impact and energy use during the life cycle?

In previous studies, (Adalberth K, 1995) and (Adalberth K, 1999), the energy use during the life cycle of three single-unit dwellings and four multi-family buildings has been studied. These results show that approx. 85 % and 15 % of the energy use during the life cycle occurs during the occupation and manufacture phases respectively.

This study shows that the energy use *and* the environmental impact in the five studied effect categories (global warming potential, acidification, eutrophication, photochemical ozone creation potentials and human toxicity) have a similar distribution over the life cycle.

Although the distribution over the life cycle is similar, the total level – e.g. the ton CO₂ – greatly depends on the energy mix. If e.g. the electricity mix in Växjö is changed from the OECD European mix to a Swedish mix, the global warming potential decreases from 1.3 to 0.4 tons CO₂ equivalent/(m²·50 years).

5.3 Are there differences in environmental impact due to the choice of building construction and framework?

The environmental impact from the five studied effect categories is almost the same for all dwellings. The study also shows that the impact from different frameworks is very similar and hence has little influence on the total environmental impact during the life cycle. On the other hand, it is important to choose constructions and installations which produce low environmental impact during the occupation phase, e.g. a well insulated thermal envelope, windows with high energy performance, and energy-efficient white goods and building services installations.

In this study, different buildings with different weight (the amount of building materials) have been analysed, see Table 1. There is no strict correlation between the mass, energy use and environmental impact during the manufacture of the buildings. The results confirm an other study concerning different constructions (Cole R and Rousseau D, 1992). Four external walls were examined. It was established that there is no correlation between the mass of the construction and its energy use and the emissions generated when the constructions are manufactured.

5.4 Recommendation

Since the occupation phase is very dominant and since there is conformity between the energy use and the environmental impact during the life cycle, it is wise to both design buildings that are energy-efficient during their occupation phase, and to produce energy with low emissions. This will lead to an environmentally adapted dwelling.

6. Acknowledgements

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**Predicting Energy Use for Multi-Family
Buildings in an early Design Phase**

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Predicting Energy Use for Multi-Family Buildings in an early Design Phase

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KEYWORDS: *energy, energy use, energy demand, multi-family building, residential building, design tool, energy prediction*

SUMMARY: *The objective of this paper is to provide a simple and user-friendly tool for predicting the energy use for a multi-family building in an early design phase before any constructional or installation drawings are made. The tool is developed by calculating the energy use for buildings with different size, building technologies and installation techniques. The results from the energy simulations are analysed with a statistical evaluation method. The simplified tool includes ten parameters influencing the energy demand: number of thermal bridges, average outdoor temperature during the year, ventilation system, indoor air temperature, length, width, and number of levels in the building, thermal transmittance of windows, average thermal transmittance of floor, external walls and roof, and finally air change rate. The developed tool constitutes an example of how the energy use may be predicted. Nevertheless, it is important to remember that this simple tool should only be used during an early design phase. A more thorough estimate of the energy use for the future building should be performed when the constructional and installation drawings are at hand.*

1. Background

Before a building is built, the energy use of the future building is usually estimated. This is often done at the end of the design phase when constructional and installation drawings are at hand, in order to predict the energy use for space heating, ventilation, domestic hot water, and household electricity.

The design work could be made more rational. If there is a way to predict the energy use before any constructional or installation drawings are made, the designer and the client could elaborate with different building constructions and installation techniques. In this way, they could decide the best way to construct an energy-efficient house. By doing so both the cost and the effort put into the project would be used more effectively. In other words, since the building is better planned in the early design phase, the number of changes in constructional and installation drawings will decrease.

1.1 Objectives

The objective of this paper is to provide a simple and user-friendly tool for predicting the energy use for a multi-family building in an early design phase before any constructional or installation drawing are made. The tool should be available to clients and designers when they are planning a new multi-family building.

1.2 Limitations

Even if the energy use may be predicted with the tool, a thorough estimate of the energy use for the future building should be performed when the constructional and installation drawings are at hand. The tool can only make a rough estimate of the energy use, not a precise calculation.

Furthermore, the tool is only developed for new slab and balcony access blocks. The presumptions for other buildings, e.g. tower blocks, single-unit dwellings and offices, are different. This restriction is necessary to create a tool, which can provide a good estimate. The slab and balcony access blocks represent approx. 50 % of the total multi-family houses built between 1990 and 1996 (SCB, 1997).

2. Method

The method used in this paper is divided into four steps. These are described in the text below.

2.1 Selected parameters

The first step was to search through different building constructions and installation techniques that may influence the energy use for space heating and ventilation. Twenty-two parameters were chosen and then merged into seventeen in order to achieve an acceptable workload. The thermal transmittance of the floor, external walls and roof are combined into one parameter, as well as the thermal bridges of different intersections. These seventeen parameters are presented in Table. 1.

TABLE. 1: The table shows different building constructions and installation techniques, which may influence the energy use for space heating and ventilation. This study investigates how the building constructions and installation techniques influence the energy use within the intervals stated below.

No	Altered parameters influencing on the space heating and ventilation	Unit	Intervals
1	The length of the building, L	m	$25 < L < 35$
2	The width of the building, W	m	$10 < W < 15$
3	Number of levels, H	#	$2 < H < 4$
4	Average size of apartments, apt	m ² /apartment	$65 < apt < 85$
5	Indoor air temperature, T_i	°C	$19 < T_i < 25$
6	Area of windows, A_w	% of usable floor area	$10 < A_w < 20$
7	Thermal transmittances of floor, walls and roof, U_{fwr}	W/(m ² ·°C)	$0.15 < U_{fwr} < 0.30$
8	Thermal transmittance of windows, U_{wind}	W/(m ² ·°C)	$1.00 < U_{wind} < 2.00$
9	Orientation of windows, W_{orient}	% facing south and north, respectively	$10 < W_{orient} < 40$
10	Share of solar transmittance through windows, S	%	$90 < S < 105$
11	Screening factor, sf	%	$50 < sf < 100$
12	Air leakage, l	litre/(m ² ·s) at 50 Pa differential pressure	$0.4 < l < 1.6$
13	Thermal bridges: floor/external walls, intermediate floor/external walls, roof/external walls, balconies/external walls, q_{tb}	W/(m·°C)	$0.05 < q_{tb} < 1.20$
14	Average outdoor air temperature during the year, T_u	°C	$1.8 < T_u < 8.0$
15	Air change rate of the mechanical ventilation, acr	h ⁻¹	$0.3 < acr < 0.6$
16	Ventilation system, V	-	$-1 < V < 1$
	-1 = balanced ventilation with heat exchanger, temperature efficiency 80 %		
	-0.5 = balanced ventilation with heat exchanger, temperature efficiency 65 %		
	0 = balanced ventilation with heat exchanger, temperature efficiency 50 %		
	1 = exhaust air with no heat exchange		
17	Specific fan power, P_{fan}	kW/(m ³ /s)	$0.5 < P_{fan} < 2$

2.1.1 The intervals of the building features

The intervals presented in Table. 1 are supposed to represent the span of “normal” Swedish buildings built during the 1990s.

The *width* of the building is chosen after personal communication with (Edén M, 1999). Slab blocks or balcony access blocks are either narrow or deep. The narrow blocks have a depth of approx. 10 m, and the deep blocks have a width of approx. 12–15 m. Hence, the interval of the width is chosen to be 10–15 m.

The *length* depends on the area available for the building. It is assumed to vary between 25 m and 35 m.

The *height* of a multi-family building depends on the detailed development plan of the community. Approx. 40 % of multi-family houses built between 1990 and 1995 have 1 or 2 levels and approx. 15 % and 20 % of the buildings have 3 or 4 levels respectively (SCB, 1997). The interval in this study is set to vary between 2 and 4 levels.

The *size of apartments* is set according to statistics (SCB, 1999). The average size of an apartment in multi-family houses is approx. 75 m². The interval is set to 65–85 m²/apartment.

The interval of the *indoor air temperature* is based on a study by (Norlén U et al, 1993) carried out on 1100 Swedish houses. It shows that the indoor air temperature varies between 18° C and 26° C, with an average of 22.2° C. The interval in this study is set to 19–25° C.

During the 1980s, the Swedish building code (SBN, 1980) stated that the *window area* should be less than 15 % of the floor area. This rule was set in order to restrict the energy use in buildings. During the 1990s this rule has been abolished, causing an increase of the window area. The interval for the window area is estimated to vary between 10 % and 20 % of the floor area.

The interval for the *thermal transmittance* of floors, external walls and roof is based on studies by (Tolstoy N et al, 1993). The thermal transmittance for external walls in multi-family buildings built in 1976–1988 are approx. 0.27 W/(m²·°C). The thermal transmittance of roofs in multi-family buildings built in 1976–1988 is in average 0.17 W/(m²·°C). The mean thermal transmittance value for floors, external walls and roof is set to vary between 0.15 and 0.30 W/(m²·°C).

The target for windows is to use triple-glazed windows with or without coatings. The most energy-efficient triple-glazed window with low-emission coating has a thermal transmittance of approx. 1.00 W/(m²·°C) (Överums Fönsterfabrik, 1995). The highest thermal transmittance value for triple-glazed windows with wooden frames is approx. 1.80 W/(m²·°C). The thermal transmittance of windows in multi-family buildings built in 1976–1988 is approx. 2.00 W/(m²·°C), (Tolstoy N et al, 1993). The interval in this study is set to 1.00–2.00 W/(m²·°C).

The *orientation of the windows* depends on the layout of the building and of the building site. Nevertheless, the share of windows orientated to the south is set to vary between 10 % and 40 %. The percentage of windows orientated to the north is the same as to the south, while the remaining window area is equally distributed between the east and west facade.

The *solar transmittance* through a triple-glazed window is 100 %, if the definition in (Munther K, 1996) is used. According to the same definition, the transmittance through a triple-glazed window with one low emission coating is 92 %. The interval for solar transmittance is set to 90–105 % in this study.

The *screening factor* considers the amount of solar radiation coming in through a window. In some cases, there are e.g. trees and window reveals preventing the solar radiation from reaching the window. In (Munther K, 1996) the screening factor is recommended to be 75 %. In this study, the interval for this factor is set to 50–100 %.

It is sometimes difficult to set an interval for *air leakage*, which causes unintentional ventilation. A study by (Tornevall M et al, 1992) presents the air leakage of 26 single-unit dwellings built during 1991 and 1992. The air leakage is between 0.4 and 1.9 litre/(m²·s) at 50 Pa pressure difference. The average for the houses is 1.0 litre/(m²·s), which is actually above the limit, 0.8 litres/(m²·s), set in the Swedish building code. In multi-family houses the air leakage may be different, since the air leakage area per apartment is smaller than in single-unit dwellings due to a lower ratio of the building envelope. If the building envelope consists of concrete or internal brickwork, the air leakage is probably lower than the limit set in the building code. If the building envelope of a multi-family building consists of curtain walls, the air leakage is probably higher, and in some cases higher than the limit set in the code. In this study, the interval for air leakage is set to 0.4–1.6 litre/(m²·s) at 50 Pa differential pressure.

The *thermal bridges* affect the energy use for space heating. (Levin P et al, 1993) contains an estimate of the thermal bridges in three multi-family houses. The thermal bridges related to the intersection of floor/external wall, intermediate floor/external wall, external wall/roof with and without balcony cantilever are analysed. Results show that the total energy use (space heating, ventilation, domestic hot water and household electricity) is increased by 2–21 % with thermal bridges. In this study, the intervals for thermal bridges is set to be approx. the same as in (Levin P et al, 1993), namely 0.05–1.2 W/(m²·°C). A high value represents a design in which no efforts have been made to reduce the amount of thermal bridges. A low value represents a careful design, in which the intersections between different building constructions are thoroughly considered. The unit meter in the parameter refers to the circumference of the intersections between the floor/external wall, intermediate floor/external wall, external wall/roof and the length of balconies. In this study, the balconies are assumed to be 3 meters long per apartment.

Different outdoor climates are investigated: Luleå, Gävle and Malmö. The average annual *outdoor air temperature* in Luleå is 1.8° C, in Gävle 5.2° C and in Malmö 8.0° C. Hence, the interval for the outdoor temperature is set to vary between 1.8° C and 8.0° C.

The *air change rate*, i.e. mechanical ventilation, in Swedish dwellings should be at least 0.35 litre/(m²·s) according to the Swedish building code. This value corresponds to approx. 0.5 acr/h, when the height of the room is 2.4 m. In apartments equipped with demand-controlled ventilation systems, the air change rate may be decreased to 0.3 acr/h (Blomsterberg Å, 1998). The interval for the air change rate is limited to vary between 0.3 and 0.6 acr/h.

There are basically two *ventilation systems* to choose from: balanced ventilation and exhaust ventilation. The ventilation systems may be combined with a heat exchanger, which gains heat from the exhaust air. In this study, the following combinations of ventilation systems and heat exchanger are investigated: exhaust air with no heat exchange; balanced ventilation including heat exchanger with a temperature efficiency of 50 %, 65 % and 80 % respectively.

The intervals of the *specific fan power* are based on (Boverket, 1995). The exhaust air ventilation system is recommended to have a specific fan power of approx. 0.7 kW/(m³/s), a balanced ventilation of approx. 2.0 kW/(m³/s), and a balanced ventilation with a heat exchange of approx. 2.5 kW/(m³/s). The interval in this study is set to 0.5–2 kW/(m³/s).

In (Isakson P et al, 1984), it is established that a high heat capacity in residential buildings does not reduce the energy demand, since the internal load in such buildings is low. The load must be higher than 25 W/m² usable floor area in order to make use of the heat capacity. In residential buildings the internal load is seldom above this limit. A temperature control system, which allows decreased temperatures, should also be installed in such a building. In this study, the heat capacity is not considered.

2.2 Experimental design

The second step is to design an experimental plan on how the parameters can be varied. With an experimental design, the number of variations or combinations of the parameters can be decreased in order to attain a large amount of information with a small number of runs.

If no experimental design is made, the number of energy simulations would be very high in order to cover all combinations: $2^{17} + 1$. In this study, the number of simulations is decreased to $2^{17-12} + 1 = 33$. Theoretically, a model can be designed with only 17+1 runs, but by adding more simulations the model is confirmed and its error estimated.

The experimental design is designed with a linear model in mind, i.e. the parameters are presumed independent. Some interactions between parameters can still be added later in the study.

The experimental design is performed with a software called MODDE (Umetri, 1997). MODDE stands for *modelling* and *design* and is used to generate and evaluate statistical experimental designs.

2.3 The energy simulation program

The third step is to make energy simulations, i.e. calculations of the energy use for space heating and ventilation. This is performed with the Swedish computer program Enorm (Munther K, 1996). It computes the energy and average power demand during a period of twelve months. The following factors are considered: area of the building envelope, window orientation, thermal transmittance of building constructions, thermal bridges, indoor air temperature, outdoor air temperature, air leakage, air change rate by the mechanical ventilation, and ventilation including the temperature efficiency of the heat exchanger.

The software has some limitations. It does e.g. not include the accumulation of heat in the framework and furnishings of the building. If the window area is large and the sun is shining, this could cause an overestimate of the energy demand for space heating, since the software does not consider the heat storage effect. This is not a great limitation, since the heat capacity has a small effect on the energy use in residential buildings (Isakson P et al, 1984), see also paragraph 3.1.1.

The software was chosen since it is commonly used by consultants, contractors and authorities in Sweden. Approx. 400 licenses of the software (version 1000) had been sold by December 1999 (Swedish Building Centre, 1999).

The experimental design is a kind of evaluation of the software, since the outcome of the statistical evaluation (i.e. factors showing the importance of different building constructions and installation techniques) actually represents the magnitude of the factors in the software's computer code. Nevertheless, the number of equation systems is large and the structure is complex. Therefore, the statistical evaluation method leads to a simplification of the equation systems.

2.4 Statistical evaluation method

The fourth and final step is the evaluation of the 33 energy simulations. This is performed using a statistical evaluation method. In this study, a multiple linear regression method is used. The method is described in (Draper N R et al, 1998). The output is a mathematical model described as:

$$E_{SHV} = b_0 + b_1 \cdot x_1 + b_2 \cdot x_2 + \dots + \text{residual} \quad (EQU. 1)$$

where

- E_{SHV} = the energy use for space heating and ventilation [kWh/year]
- b_k = is an unknown coefficient whose value is determined by the analysis
- x_k = is the k:th parameters

The statistical evaluation was performed using the software MODDE (Umetri, 1997).

3. Results

3.1 Model for predicting the energy use in a future multi-family building

The 33 runs in the experimental plan are carried out and evaluated with the statistical evaluation method. Results show that the computed energy use is close to the predicted energy use, using the model $R^2 > 90\%$, as well as random computations compared to the predicted energy use, $Q^2 > 0.85\%$. However, there are certain cases when the predicted energy use does not comply with the computed energy use.

There may be several reasons for this deviation. Some extreme values for certain parameters may cause a poor adjustment to the model. There may also be too many parameters in the model (17).

Therefore, the next step is to make a new experimental plan excluding some parameters that have marginal influence on the energy use (space heating and ventilation). The following four parameters are excluded: screening factor, percentage of solar transmittance through windows, air leakage, and fan effect. A more accurate model is achieved by excluding these parameters.

Hence, the second experimental plan includes 13 parameters. The four excluded parameters are fixed: the screening factor is set to 95 %, the share of solar transmittance through windows is set to 75 %, the air leakage is set to 0.8 litre/(m²·s) at 50 Pa differential pressure, and finally the fan effect is set to 1 kW/(m³/s).

In the second experimental plan, 33 new runs are made and evaluated. The results show a high accuracy of the predictions using the model $Q^2 > 0.90$. Fig. 1 presents the relation between the calculated and the predicted energy need.

In one of the 33 runs there is a major difference between the calculated and the predicted energy use. This is run number 1. Two additional experiments are computed around this run to receive a better model, but no improvement is noted. Hence, the model's use must be restricted: It should only be used to predict energy needs above 10 000 kWh/year for space heating and ventilation.

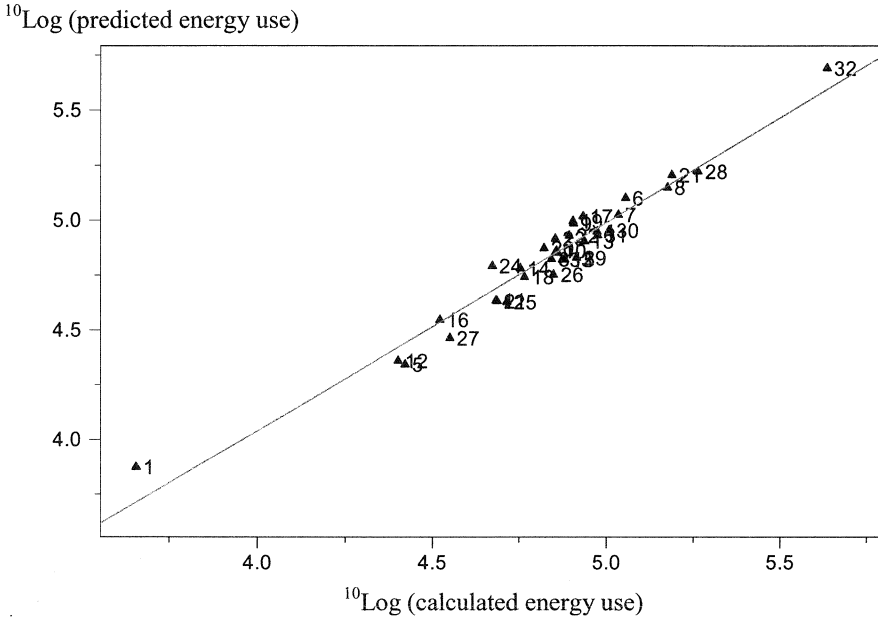


FIG. 1: The relation between calculated and predicted energy need. The energy needs are logarithmic.

In this second experimental plan, the evaluation of the 33 runs show a relation between the parameters and the energy use for space heating and ventilation, E_{SHV} [kWh/year]. The general mathematical model of Equ. 1 can then be expressed as:

$$\begin{aligned}
 {}^{10}\text{Log } E_{SHV} = & 3.175 + 0.013 \cdot L + 0.023 \cdot W + 0.102 \cdot H + 0.004 \cdot T_i + 0.962 \cdot U_{fwr} \\
 & + 0.151 \cdot U_w + 0.286 \cdot q_{ib} + 0.182 \cdot T_u + 0.435 \cdot acr + 0.103 \cdot V + 0.006 \cdot T_i \cdot T_u
 \end{aligned} \quad (\text{EQU. 2})$$

By making the equation logarithmic, a better model is achieved and the possibility of a negative energy use is avoided. The model is also tested by making the individual parameters logarithmic, but this does not improve the model.

A reason for having the logarithmic model, may also be that the intervals of the chosen parameters are spread over a large interval and therefore have a different impact on the energy use for space heating and ventilation. By using a logarithmic expression for E_{SHV} the parameters become normally distributed and better adapted to a linear model.

The use of interaction between the parameters indoor air temperature T_i and average outdoor air temperature T_u improves the accuracy of the model. This improvement indicates that there may be an interaction between these parameters, which can not be explained by the single parameters T_i and T_u . It may also be explained by too few runs, i.e. the errors in the model are compensated by making an interaction of the two parameters.

Equ. 2 may be used as a simple tool to predict the energy use in a future multi-family house and before any constructional or installation drawings are made. It is important to remember that the equation is only valid within the intervals of the parameters shown in Table. 1.

In order to attain the total energy use during the occupation phase, the energy use for domestic hot water and household electricity has to be added. These two demands greatly depend on the habits of the inhabitants and therefore have been excluded in the experimental design and statistical evaluation method above.

Information about the household electricity demand has been collected from two references. The first reference claims that household electricity (stove, refrigerator, freezer, washing machine, dishwasher, tumble-dryer, television, microwave oven and "small appliances") in 14 single-unit dwellings is 2 800–6 600 kWh/(year·apartment), with an average of 4 700 kWh/(year·apartment) (Pettersen T D, 1997).

The second reference states the household electricity in 44 town houses and two multi-family buildings is on average approx. 4 400 and 2 600 kWh/(year·apartment) respectively (Lyberg M, 1989). The number of appliances in residential buildings has probably increased since then, and thus also the household electricity demand. On the other hand, current household appliances are more energy efficient, which results in decreased electricity use.

The first reference notes a higher household electricity use in single-unit dwellings than the other reference. On the other hand, the second reference gives a lower household electricity use in multi-family buildings than in single-unit dwellings. Hence, the household electricity, E_{HE} , for multi-family dwellings is estimated at 3 000 kWh/(year·apartment) in this study.

Information about the domestic hot water usage has been collected from (Briheim B, 1991) and (Haugen T, 1984). The domestic hot water is 1 600–2 700 and 500–1 500 kWh/resident respectively. In this study, the energy used to produce domestic hot water, E_{DHW} , is set to 1 500 kWh/resident.

In order to attain the total energy use during the occupation phase E_{TOTAL} [kWh/year], the following equation is made:

$$E_{TOTAL} = E_{SHV} + E_{HE} + E_{DHW} \quad (EQU. 3)$$

This gives:

$$E_{TOTAL} = 10^A + 3000 \cdot \text{number of apartments} + 1500 \cdot \text{number of inhabitants} \quad (EQU. 4)$$

where

$$A = 3.175 + 0.013 \cdot L + 0.023 \cdot W + 0.102 \cdot H + 0.004 \cdot T_i + 0.962 \cdot U_{fwr} + 0.151 \cdot U_w + 0.286 \cdot q_{ib} + 0.182 \cdot T_u + 0.435 \cdot acr + 0.103 \cdot V + 0.006 \cdot T_i \cdot T_u \quad (EQU. 5)$$

Since Equ. 4 is a continuous function and evaluated within the intervals of the parameters, any values within the intervals may be chosen, e.g. the length may be 26.5 m. Table. 2 shows an example of how Equ. 4 may be used.

TABLE. 2: A comparison between the energy use estimated with the simple tool and the energy use estimated with the software Enorm.

Parameters	Predicted total energy use with Equ. 4		Estimated total energy use with Enorm**	
	kWh/year	kWh/(m ² ·year)	kWh/year	kWh/(m ² ·year)
Basic case				
Length 30m Width 15m Height 4 levels				
Indoor air temperature 22° C				
U value of floor, walls and roof 0.25 W/(m ² ·°C)				
U value of windows 1.7 W/(m ² ·°C)				
Thermal bridges 1.2 W/(°C·m)				
Climate for Stockholm, T _u 6.6° C	269 000	149	261 700	145
Air change rate 0.5 h ⁻¹				
Balanced vent. with heat exch., temp. eff. 50 %				
Average size of apartments 75 m ² /apt				
Number of inhabitants 40				
Changes from the basic case above				
Indoor air temperature 20° C	244 000	136	242 100	135
U value of floor, walls and roof 0.20 W/(m ² ·°C)	254 700	141	253 600	141
U value of windows 1.15 W/(m ² ·°C)	245 200	136	245 400	136
Thermal bridges 0.8 W/(°C·m)	237 300	132	239 700	133
Thermal bridges 0.3 W/(°C·m)	207 800	115	213 200	118
Climate Mora, T _u 3.4° C	330 100*	183*	305 500*	170*
Air change rate 0.4 h ⁻¹	256 000	142	252 800	140
Air change rate 0.3 h ⁻¹	244 200	136	243 900	136
Balanced vent. with heat exch., temp. eff. 80 %	240 100	133	239 100	133
Balanced vent. with heat exch., temp. eff. 65 %	253 700	141	250 300	139
Mechanical exhaust air with no heat exchanger	305 700	170	298 100	166
Average size of apartments 85 m ² /apt	260 000	144	256 500	142
Number of inhabitants 30	254 000	141	252 900	141
Thermal bridges 0.8 W/(°C·m)				
Air change rate 0.4 h ⁻¹	213 300	118	222 300	124
Balanced vent. with heat exch., temp. eff. 65 %				
Indoor air temperature 20° C				
U value of floor, walls and roof 0.20 W/(m ² ·°C)				
U value of windows 1.15 W/(m ² ·°C)	168 100	93	164 900	92
Thermal bridges 0.3 W/(m ² ·°C)				
Balanced vent. with heat exch., temp. eff. 80 %				

* The amplitude of the average outdoor air temperature during the year has not been considered in the model. Thus, the model will diverge slightly compared to Enorm calculations when other cities are chosen than those tested, i.e. Luleå, Gävle and Malmö.

** The energy use for space heating and ventilation is estimated according to Enorm. The domestic hot water and household electricity is set to 1 500 kWh/resident and 3 000 kWh/apartment respectively according to Equ. 4.

3.2 The importance of each parameter

The coefficients in front of the parameters in Equ. 2 do not really represent the importance of the parameters. In order to show their internal relations, the coefficients are orthogonally scaled and centred, see Table. 3. In this way it is possible to rank the parameters according to importance. Note that the presented coefficients in Table. 3 are only true for the parameters when they are varied within the presented interval.

TABLE. 3: The importance of the parameters is orthogonally scaled and centred in order to evaluate their internal relation. In the table, they are sorted according to influence on the energy use (space heating and ventilation). The orthogonally scaled and centred coefficients, i.e. the degree of influence, are only true for the listed parameters when they are varied within the presented interval.

	Intervals	Orthogonal scaled and centred coefficients
Parameters with influence, included in the 2 nd experimental plan and from Equ. 2		
Thermal bridges, q_{tb}	$0.05 < q_{tb} < 1.20 \text{ W}/(\text{m}^2\cdot\text{C})$	0.33
Average outdoor air temperature, T_u	$1.8 < T_u < 8.0\text{C}$	0.30
Ventilation system, V	$-1 < V < 1$	0.21
-1 = balanced ventilation with heat exchanger, temperature efficiency 80 %		
-0.5 = balanced ventilation with heat exchanger, temperature efficiency 65 %		
0 = balanced ventilation with heat exchanger, temperature efficiency 50 %		
1 = exhaust air with no heat exchange		
Number of levels, H	$2 < H < 4$	0.20
Indoor air temperature, T_i	$19 < T_i < 25\text{C}$	0.20
U value of windows, U_w	$1.00 < U_w < 2.00 \text{ W}/(\text{m}^2\cdot\text{C})$	0.15
U value of floor, walls and roof U_{fwr}	$0.15 < U_{fwr} < 0.30 \text{ W}/(\text{m}^2\cdot\text{C})$	0.14
Air change rate, acr	$0.3 < acr < 0.6 \text{ h}^{-1}$	0.13
The length of the building, L	$25 < L < 35 \text{ m}$	0.13
The width of the building, W	$10 < W < 15 \text{ m}$	0.11
Parameters with low influence, included in the 2 nd experimental plan but excluded from Equ. 2		
Average size of apartments, apt	$65 < apt < 85 \text{ m}^2/\text{apartment}$	<0.10
Area of windows, A_w	$10 < A_w < 20\%$ of usable floor area	<0.10
Orientation of windows, W_{orient}	$10 < W_{orient} < 40 \%$ facing south and north, respectively	<0.10

As shown in Table. 3, the thermal bridges and the average outdoor air temperature have the largest influence on the energy use for space heating and ventilation. It must be stressed that the magnitude of the orthogonally scaled and centred coefficient of the thermal bridges depends on its large interval.

Three parameters have the second largest influence, namely the ventilation system, the indoor air temperature and the height of the building.

The thermal transmittance of the floor/walls/roof and the windows have about the same influence on the energy use, as well as the length, air change rate and width. The average size of the apartments, the window area and the orientation of the windows have only little influence.

The scaled and centred coefficients in Table. 3 may also be interpreted as follows:

- Approx. the same energy reduction is received if the amount of thermal bridges is decreased from 1.2 to 0.65 $W/(m^2 \cdot ^\circ C)$ as by choosing windows with a thermal transmittance of 1.00 instead of 2.00 $W/(m^2 \cdot ^\circ C)$.
- Approx. the same energy reduction is received if the amount of thermal bridges is decreased from 1.20 to 0.05 $W/(m^2 \cdot ^\circ C)$ as by choosing windows with a thermal transmittance of 1.00 instead of 2.00 $W/(m^2 \cdot ^\circ C)$ and floor, walls and roof with an thermal transmittance of 0.15 instead of 0.30 $W/(m^2 \cdot ^\circ C)$.

4. Conclusions

It is useful for designers and clients to be able to roughly predict the energy use for a future building before any constructional or installation drawings are made, in order to decide the best way to construct an energy efficient house.

The simple tool developed and presented in this paper constitutes an example on how the energy use may be predicted (the tool is presented in Equ. 4).

Nevertheless, it is important to remember that the simple tool should only be used during an early design phase. A thorough estimate of the energy use for the future building must be performed when the constructional and installation drawing are at hand. The tool can only roughly predict the energy use, not make a precise calculation.

5. Further work

The method used in this study could also be adopted to make a simple tool for predicting energy use in single-unit dwellings. In the near future, the residential buildings built in the 1960s and 1970s will be renovated or reconstructed. It would be useful with a simplified tool for predicting the energy use in an early phase for these houses.

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