



LUND UNIVERSITY

Therapeutic Hypothermia in Children and Adults with Severe Traumatic Brain Injury.

Sandestig, Anna; Romner, Bertil; Grände, Per-Olof

Published in:
Therapeutic hypothermia and temperature management

DOI:
[10.1089/ther.2013.0024](https://doi.org/10.1089/ther.2013.0024)

2014

[Link to publication](#)

Citation for published version (APA):
Sandestig, A., Romner, B., & Grände, P.-O. (2014). Therapeutic Hypothermia in Children and Adults with Severe Traumatic Brain Injury. *Therapeutic hypothermia and temperature management*, 4(1), 10-20.
<https://doi.org/10.1089/ther.2013.0024>

Total number of authors:
3

General rights

Unless other specific re-use rights are stated the following general rights apply:
Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal

Read more about Creative commons licenses: <https://creativecommons.org/licenses/>

Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

LUND UNIVERSITY

PO Box 117
221 00 Lund
+46 46-222 00 00

Therapeutic hypothermia in children and adults with severe traumatic brain injury. Review

Anna Sandestig¹ MD, Bertil Romner^{1,2} MD, PhD, Per-Olof Grände³ MD, PhD

- 1) Department of Neurosurgery, Rigshospitalet, University of Copenhagen, Denmark
- 2) Department of Neurosurgery, Institution of clinical science in Lund, University Hospital and University of Lund, Sweden
- 3) Department of Anesthesia and Intensive care, Institution of clinical science in Lund, University Hospital and University of Lund, Sweden

Correspondence: PO Grände, Department of Anesthesia and Intensive Care, Lund University Hospital, SE 221 85 Lund, Sweden

e-mail: per-olof.grande@med.lu.se

Short title: Therapeutic hypothermia in severe TBI

ABSTRACT

Great expectations have been raised about neuroprotection of therapeutic hypothermia in traumatic brain-injured (TBI) patients by analogy with its effects after heart arrest, neonatal asphyxia and drowning in cold water. The aim of the study was to review our present knowledge of the effect of therapeutic hypothermia on outcome in children and adults with severe TBI. A literature search for relevant articles in English published from year 2000 up to present found 19 studies. No signs of improvement in outcome from hypothermia were seen in the 5 pediatric studies. Varied results were reported in 14 studies on adult patients, 2 of which reported a tendency of higher mortality and worse neurological outcome, 4 reported lower mortality, and 9 reported favorable neurological outcome with hypothermia. The quality of several trials was low. The best-performed randomized studies showed no improvement in outcome by hypothermia – some even indicated worse outcome. TBI patients may suffer from hypothermia-induced pulmonary and coagulation side effects, from side effects of vasopressors when re-establishing the hypothermia-induced lowered blood pressure, and from a rebound increase in intracranial pressure (ICP) during and after rewarming. The difference between body temperature and temperature set by the biological thermostat may cause stress-induced worsening of the circulation and oxygenation in injured areas of the brain. These mechanisms may counteract neuroprotective effects of therapeutic hypothermia. We conclude that we still lack scientific support for the use of therapeutic hypothermia in TBI patients both for adults and children.

Keywords: therapeutic hypothermia, active hypothermia, traumatic brain injury, severe head injury, outcome, intracranial pressure, rebound

Abbreviations: TBI, traumatic brain injury; NMDA, N-methyl-D-aspartate; RCT, randomized controlled trial; GCS, Glasgow Coma Scale; DAI, diffuse axonal injury; HPC, hemorrhagic progression of a contusion; ICP, intracranial pressure; CBF, cerebral blood flow; BBB, blood-brain barrier; CPP, cerebral perfusion pressure; GOS, Glasgow Outcome Scale; PCPC, Pediatric Cerebral Performance Category; ASDH, acute subdural hematoma

Introduction

Traumatic brain injury (TBI) is a major cause of death and disability in industrialized countries. In the US, for example, an estimated 1.6 million people sustain TBI every year, with about 50,000 deaths and 80,000 permanent neurological disabilities (Ghajar, 2000). Several neuroprotective substances showing beneficial effects in animal studies, such as nimodipine, glutamate inhibitors, the competitive N-methyl-D-aspartate (NMDA) receptor antagonists, magnesium sulfate and scavenging agents have been analyzed in randomized trials in TBI patients, but none of these potential neuroprotective substances have been shown to be beneficial (Marshall 2000; Narayan *et al.*, 2002; Maas *et al.*, 2006; Temkin *et al.*, 2007; Lu *et al.*, 2012). Modern therapy of TBI has improved outcome over the last 20 years, but mortality and number of patients with severe disability have remained high (Patel *et al.*, 2005, Rosenfeld *et al.*, 2012, Gerber *et al.*, 2013).

Increased body temperature after a brain trauma is associated with increased cytokine release and worsening of outcome (Dietrich, 1992; Thompson *et al.*, 2003; Li and Jiang, 2012). Based on this and the neuroprotective effect of active hypothermia after global brain ischemia, such as after cardiac arrest (Bernard *et al.*, 2002; Hypothermia after Cardiac arrest Study group, 2002), after neonatal asphyxia (Shah *et al.*, 2007), and from case reports showing good recovery after drowning in cold water (Huckabee *et al.*, 1990; Husby *et al.*, 1990; Siebke *et al.*, 1975; Wanscher *et al.*, 2012), great expectations have been raised about active cooling as a breakthrough in TBI patients (Polderman, 2008). Hypothermia as a potential therapy after stroke is also under debate (Faridar *et al.*, 2011; Lakhan and Pamplona, 2012). Active cooling of patients with TBI was described first by Fay in 1945 and has become a major area of research during the last 2 decades. Spontaneous hypothermia, for example as a consequence of

progressive shock and inability to maintain normal temperature is, however, a poor prognostic factor (Finkelstein and Alam, 2010).

There are several studies from the 90's evaluating the effect of therapeutic hypothermia in severe TBI patients. Harris *et al.* reviewed 7 randomized controlled trials (RCT) from that period (Harris *et al.*, 2002) and found no beneficial effects of hypothermia on outcome. Another meta-analysis of 8 randomized studies from the 90's found no reduction in mortality from hypothermia (Henderson *et al.*, 2003). McIntyre *et al.* summarized the results of 12 studies from the 90's (McIntyre *et al.*, 2003), of which only 2 of the studies were graded high-quality studies. They concluded that the scientific support for therapeutic hypothermia so far is weak. In summary, the studies performed during the 90's give no clear support for therapeutic hypothermia in TBI patients.

Hypothermia may still be beneficial by better planning of the studies and by optimizing the protocols as aimed at in later studies (McIntyre *et al.*, 2003). The purpose of this review was therefore to present and evaluate the current literature on therapeutic hypothermia in TBI patients from the year 2000 up to present. We will also present possible side effects of active hypothermia based on the specific pathophysiology of these patients. The studies analyzed included patients who suffered a severe TBI (Glasgow coma scale (GCS) score ≤ 8), and only studies with a control group that was not exposed to active cooling.

Pathophysiology in TBI

The pathophysiology of brain injury after head trauma is complex and can be characterized by the initial primary injury and the subsequent secondary injury that develops over the days following the trauma. The primary injury occurs at the moment of impact and can be focal or

more diffuse (Reilly, 2001; Werner and Engelhard, 2007; Harris *et al.*, 2009). The focal damage is seen as contusions, contusional bleedings, lacerations, intracranial hemorrhages and local ischemia, and is an immediate effect of the trauma. The diffuse brain damage is caused by acceleration, deceleration, and/or rotational forces, and involves components such as neurons, neuronal processes, transmitter mechanisms, glial cells, and blood vessels (Reilly, 2001; Werner and Engelhard, 2007) and diffuse brain swelling (Werner and Engelhard, 2007). It can also include diffuse axonal injury (DAI), which is a predictor of poor recovery (Greve and Zink, 2009). Children suffer more severe edema after TBI than adults (Adelson, 2009).

The centre of the primary brain injury is often severely hypoxic and more or less insensitive to therapeutic interventions and most cells of these areas will die irrespective of therapy (Werner and Engelhard, 2007), while injured cells of the surrounding areas (penumbra zones) have the potential to survive.

Secondary brain injury is initiated at the moment of injury with progression over the ensuing minutes, hours, and days (Marshall, 2000; Li *et al.*, 2012), a phenomenon termed hemorrhagic progression of a contusion (HPC) (Kurland *et al.*, 2012). The development of secondary brain damage is a major factor determining the patient's clinical outcome (Reilly, 2001, Greve and Zink, 2009). A main target is therefore to reduce the development of secondary brain damage, by improving the survival of injured but not dead cells. The pathophysiological mechanisms behind the secondary damage are not fully understood, but overall effects of biomolecular and physiological changes in the brain, including inflammatory processes with release of cytokines, cerebral edema, increased intracranial pressure (ICP), and compromised cerebral blood flow (CBF) with cerebral ischemia and apoptosis may be involved (Marshall, 2000). A specific goal with the use of neuroprotective substances has been to reduce the

development of secondary injuries by reducing the direct toxic cell damage, and the cytotoxic brain edema. The pathophysiology, however, seems to be more complex, as neuroprotective substances tested so far in patients have failed to improve outcome. One can speculate that primary hypoxia, especially in and around contusions, may be an important additional triggering mechanism behind the pathophysiological alterations after a TBI. If so, one goal in the treatment of these patients should be to counteract the effect of hypoxia of the brain i.e by hypothermia.

TBI is supposed to increase the permeability of the tight cerebral capillaries (open the intact blood-brain barrier (BBB)). Failure of the BBB means that the normally impermeable capillaries become passively permeable to small solutes, which may cause leakage of fluid into brain tissue, a mechanism responsible for the so-called vasogenic brain edema (Grände, 2006; Chodobski *et al.*, 2011). Brain edema can also be an effect of swelling of brain cells, due to cell membrane damage from hypoxia or cytotoxic substances (Liang *et al.* 2007). Brain edema and intracranial hematomas will increase ICP and reduce cerebral perfusion pressure (CPP), defined as the difference between mean arterial pressure and ICP. A high ICP correlates to worse outcome in patients with TBI (Jiang *et al.*, 2002) and is a leading cause of death after severe TBI. Low CPP values, especially combined with hypovolemia, may cause brain ischemia in areas with compromised circulation (Greve and Zink, 2009).

Therapeutic hypothermia in TBI

As mentioned in the Introduction hypothermia has neuroprotective effects related to global hypoxia. This initiated the view that the neuroprotective effect of active hypothermia in combination with its ICP-reducing effect might be an important therapeutic option also in TBI patients (Biswas *et al.*, 2002; Polderman *et al.*, 2002; Tokutomi, 2009; Hutchison *et al.*, 2010).

Many posttraumatic adverse events at the cellular and molecular level are also highly temperature sensitive (Sahuquillo and Vilalta, 2007).

Brain metabolism is reduced by about 5%–7% per °C reduction in core temperature (Finkelstein and Alam, 2010). The ICP-reduction by active hypothermia can be explained by cerebral vasoconstriction with reduced intracranial blood volume due to reduced metabolic rate. Reduction in brain metabolic rate may also be essential for neuroprotection by hypothermia i.e. by causing a more favorable balance between oxygen supply and demand. Note, however, that the same decrease in metabolic rate from barbiturate treatment was not associated with improved outcome (Roberts and Sydenham, 2012). Other protective factors may be attenuation of proinflammatory cytokines, decrease in free radicals, toxic metabolites and excitatory neurotransmitters, prevention of reperfusion injury, prevention of apoptosis, preservation of high-energy phosphates, reduced mitochondrial dysfunction, and a reduction in oxidative stress proteins and oxidative DNA damage (Dietrich, 1992; Ji, 2007; Polderman, 2008; Li and Jiang, 2012).

Cooling technique and protocol

Cooling of the whole body (systemic cooling) has been used in all larger clinical TBI outcome studies so far. Local cooling of the brain has been discussed to reduce systemic complications such as pulmonary complications and coagulation disturbances (Finkelstein and Alam, 2010, Shlee and Lyden, 2012, Qiu *et al.*, 2006). Selective brain cooling can be obtained by a cooling cap or by cooling of the mucous membrane of the nose via a tubing system with circulating cold water inserted into the nose (Springborg *et al.*, 2013). Local cooling techniques have difficulty in reaching target temperatures within reasonable times (Springborg *et al.*, 2013; Harris *et al.*, 2012). Liu *et al.* (2006) and Qiu *et al.* (2006) both succeeded in reducing the brain

temperature to 33-35°C using a cooling cap in combination with an ice neck strap and they reported positive results on outcome, and a lower risk of pneumonia compared to systemic hypothermia (Liu *et al.* (2006). Additional technical developments are necessary before selective cooling of the brain can be used as a reliable technique.

Systemic cooling can be obtained by surface cooling, most often with a cooling blanket (Polderman, 2004) or cooling with endovascular catheters (Shlee and Lyden, 2012). These techniques have the capacity to cool the whole body to the desired temperature within reasonable times. Hypothermia is classified as light or mild ($>34^{\circ}\text{C}$), moderate ($32\text{-}34^{\circ}\text{C}$) or severe ($<32^{\circ}\text{C}$). The clinical studies reviewed in this study have used light to moderate hypothermia with a goal temperature of 33 – 35 °C.

The degree of hypothermia is normally determined by the core temperature measured rectally, in esophagus, or in the urinary bladder. Outcome in TBI when using active hypothermia may be related to how long after the accident the cooling was started, the goal temperature, time to reach the goal temperature, and time period of cooling and rewarming (Finkelstein and Alam, 2010). For example, the negative effects of rewarming— i.e. the rebound increase in ICP during the rewarming and postcooling phase—may overshadow the neuroprotective effects of cooling. Alternative protocols with a shorter time delay before the start of cooling after the accident, a more long-term cooling period, or an extended rewarming phase and better control of ICP and CPP etc., might strengthen the beneficial effects of hypothermia (McIntyre *et al.*, 2003).

Evaluation of outcome

Most studies used mortality and the five-category assessment Glasgow Outcome Scale (GOS) to evaluate outcome: 1, death; 2, vegetative state; 3, severe disability; 4, moderate disability and 5, good recovery. A GOS score of 4–5 is considered as a favorable/good neurological outcome, while a GOS score of 1–3 is unfavorable/poor outcome (Jennet *et al.*, 1981).

The Pediatric Cerebral Performance Category (PCPC) scale was used in 3 of the 5 pediatric trials. PCPC is a six-point scale: 1, normal performance; 2, mild disability; 3, moderate disability; 4, severe disability; 5, persistent vegetative state; and 6, death (Biswas *et al.*, 2002; Hutchison *et al.*, 2008).

Cooling duration and rewarming

Most studies used a cooling period in the 24- to 48-h range, while some studies have used a cooling period longer than 48 h. One reason for using more long-term cooling is that cerebral swelling and edema often are greatest 3–5 days after injury (Fox *et al.*, 2010). If hypothermia is discontinued at an earlier stage, the injury mechanisms may continue to progress with a greater risk of rebound increase in ICP (Schwab *et al.*, 2001). A study by Jiang *et al.*, who compared the effects of long-term cooling with short-term cooling in adults, indicated that longer duration was beneficial (Jiang *et al.*, 2006).

Cooling generally results in a decrease in ICP, both in adults and in children (Finkelstein and Alam, 2010; Adelson *et al.*, 2005). Only one study has shown an increase in ICP by cooling (Clifton *et al.*, 2011). As mentioned above, the recently started Eurotherm3235 Trial (Andrews *et al.*, 2013) is based on the hypothesis that the ICP-reducing effect of hypothermia is favorable. A rebound increase in ICP during the rewarming period has been more common in studies

using short-term cooling. A more slow and well-controlled rewarming (Povlishock and Wei, 2009) and better control of ICP, blood pressure and CPP may reduce the adverse rebound effect of the rewarming phase.

Adverse effects of hypothermia

Even though induced cooling is neuroprotective and improves outcome after a general brain hypoxia as described in the Introduction, the situation may be different after TBI. Cerebral circulation is relatively normal or may even be above normal following resuscitation after general hypoxia, while the brain suffers from compromised circulation with hypoxia in limited areas in and around contusions after a more focal injury, such as after a TBI.

Shivering, increased stress, and increased sympathetic discharge and catecholamine release, are well-known effects of hypothermia with the physiological aim of resetting body temperature towards the values set in the biological thermostat of the brain. The hypothermia-induced reduction in metabolic rate will therefore be counteracted by a simultaneous stress-induced increase in metabolism (Badjatia *et al.*, 2008). The latter may compromise brain microcirculation increase in release of catecholamines, which may aggravate hypoxia especially in areas in which the perfusion is already significantly reduced. Oddo *et al.* showed that cooling-induced shivering can cause a significant reduction in brain oxygenation with an increased risk of brain hypoxia (Oddo *et al.*, 2010). These authors warned against the use of active hypothermia as prophylactic neuroprotectant in the early phase of TBI (Urbano and Oddo, 2008; Oddo *et al.*, 2010). Shivering can be reduced pharmacologically, e.g. by neuromuscular blocking agents, but this therapy has well known side effects i.e. in terms of increased risk of pulmonary emboli, and the increased sympathetic discharge is maintained. Hypothermia is also associated with hypotension, pulmonary infections, thrombocytopenia,

hypokalemia, and increased risk of bleedings due to general coagulation disturbances (Finkelstein and Alam, 2010; Rundgren and Engström, 2008). Hypothermia may also trigger a reduction in plasma volume (Hammersborg *et al.*, 2005). It may also be clinically relevant that hypothermia reduces and rewarming increases the elimination rate of drugs (Empey *et al.*, 2013). Noradrenalin given to compensate for hypothermia-induced hypotension may be beneficial by preserving CPP, but it may also induce pulmonary complications (Contant *et al.*, 2001) and compromised cerebral circulation.

Trials included and outcome

We found 19 original articles that met the inclusion criteria, 14 of which included all ages or adult patients only, and 5 were pediatric. The characteristics of the trials are given in Table 1. Information about mortality and neurological outcome, complications and ICP is presented in Table 2.

The studies by Clifton *et al.* from 2001 and 2011 can be classified as high-quality studies involving 392 and 97 patients, respectively. There were no significant difference in mortality between the hypothermia group and the normothermia group in these studies. However, the study from 2001 showed more frequent episodes of hypotension and low CPP with hypothermia therapy and there was a longer hospital stay for patients in the hypothermia group in that study. In the study from 2011, noradrenalin was more commonly used to prevent hypotension. In spite of this and that the patients were younger in the hypothermia group, outcome was not better in the hypothermia group in that study. This study also showed a tendency of poorer outcome in patients with diffuse brain injury treated with hypothermia compared to the control group, but there was better outcome with hypothermia in the subgroup of patients who underwent surgical removal of intracranial hematomas.

The study by Harris *et al.* from 2009 included 12 and 13 patients in the hypothermia and normothermia group, respectively. These authors investigated the effect of local hypothermia with a cooling cap, but they had difficulty in reaching the target temperature of 33°C for all patients. They did not find any difference in GOS or in complications between the groups.

Four of the studies in adult patients (Polderman *et al.*, 2002; Liu *et al.*, 2006; Zhi *et al.*, 2003; Inamasu *et al.*, 2006) showed lower mortality and more patients with favorable outcome in the hypothermia groups than in the control groups. The study by Liu *et al.* from 2006 had 22 patients in each of the 3 groups: a hypothermia group with selective brain cooling, a hypothermia group with systemic cooling and a normothermia group. The 2 hypothermia groups did not differ regarding outcome, but had better outcome than the control group. The randomized trial by Zhi *et al.* from 2003 involved 2 groups with 198 patients per group and showed that hypothermia was beneficial for neurological outcome and mortality. In the trial from 2002 by Polderman *et al.*, the hypothermia group included 64 TBI patients with ICP higher than 20 mmHg in spite of standard treatment including barbiturate treatment. Hypothermia was continued until ICP remained at 20 mmHg or less for 24 h. The control group consisted of 72 patients given a standard treatment including barbiturate treatment. This means that the 2 groups were not fully comparable. The study suffered from the highest mortality reported: 63% and 72% in the hypothermia group and the control group, respectively. The beneficial effects of hypothermia on mortality and outcome in that study were limited to the subgroup of patients with GCS of 5 or 6 at admission. Inamasu *et al.* from 2006 evaluated the effect of hypothermia for severely TBI patients ($GCS \leq 6$) with acute subdural hematoma (ASDH). They evaluated 18 patients with acute surgery and found improved survival and favorable outcome compared to a historic control group of 15 patients.

The trials by Qui *et al.* from 2006, Lee *et al.* from 2010 and Zhao *et al.* from 2011 showed improved favorable neurological outcome with hypothermia, but no effect on mortality. The study by Zhao *et al.* had 40 patients in the hypothermia group and 41 patients in the normothermia group. Three months after treatment, more patients had favorable outcome in the hypothermia group ($p < 0.04$). The study by Qui *et al.* had 45 patients in each group. At 6 months after TBI, there was no difference in mortality between the groups, but there were more patients with favorable outcome in the hypothermia group. The study by Lee *et al.* was randomized, and involved 3 groups with patients with a GCS score of between 4 and 8. In group 1 ($n = 16$), the treatment was guided by ICP/CPP. In group 2 ($n = 15$), the treatment was also ICP/CPP-guided, but included moderate hypothermia (33-35 °C) as well. Group 3 ($n = 14$) was guided by measurement of brain tissue oxygen and included the same moderate hypothermia. Mortality was low in all groups, and did not differ between the groups. In another study by Qiu *et al.* (Qiu *et al.*, 2007) the effect of hypothermia was analyzed in patients after craniotomy, with a hypothermic group and a normothermic group with 40 patients in each. In this randomized study, mortality was lower and favorable neurological outcome was better in the hypothermia group. In a study by Yan *et al.* from 2010 the patients were divided in 3 groups according to GCS score (GCS 7-8, 5-6 and 3-4) and improved outcome was shown only in the group with GCS score 7-8. In a study by Gal *et al.* from 2002 with 15 patients per group, there was a tendency of better outcome in the hypothermia group. A recent large retrospective multicentre study from Japan based on data from the Japan Neurotrauma Data Bank including 401 patients showed a tendency of higher mortality, but better favorable neurological outcome in surviving patients in the hypothermia group. The study can be criticized, however, as the patients in the hypothermia group were significantly younger and inclusion criteria, such as age

and method of temperature management, differed between the institutions (Suehiro *et al.*, 2013).

Three of the 5 pediatric studies analyzed reported that patients treated with hypothermia were slightly more prone to dye (Biswas *et al.*, 2002; Hutchison *et al.*, 2008; Adelson *et al.*, 2013) and 2 showed no clear effect on mortality and neurological outcome by hypothermia (Li *et al.*, 2012; Adelson *et al.*, 2005). The study by Biswas *et al.* from 2002 included only 21 patients, and the authors stated that no conclusion could be drawn from their study regarding outcome.

Special attention should be paid to the higher mortality rate with hypothermia in the properly designed pediatric study by Hutchison *et al.* from 2008 and the lack of any positive effects in the also well-designed recent pediatric study by Adelson *et al.* from 2013. The latter showed no difference in neurological outcome between the hypothermia and the control group and there was a tendency of higher mortality rate ($p = 0.15$) in the hypothermia group. The study was terminated early after a futility analysis. This can be compared with the pediatric study from 2005 by Adelson *et al.*, which showed a tendency of reduced mortality with hypothermia treatment. The alternative protocol used in the Adelson study from 2013 in terms of an extended cooling period and slower rewarming did not improve outcome. In the study by Hutchinson *et al.* from 2008, there was higher incidence of hypotension and low CPP during rewarming in the hypothermia group, and higher risk of unfavorable outcome in a subgroup of patients over 7 years of age, with a mortality rate of 21% in the hypothermia group and 12% in the normothermia group ($p = 0.06$). In a post hoc analysis from 2010, Hutchison *et al.* suggested that hypotension and low CPP may explain the unfavorable outcome with hypothermia.

A recent review summarized that there is no support today for the use of hypothermia in the treatment of children with TBI (Bhalla *et al.*, 2012). This conclusion on therapeutic hypothermia agrees with that from a Cochrane analysis from 2009 for both adults and children (Sydenham *et al.*, 2009). They found 23 trials with acceptable entry criteria, but only 8 fulfilled the required level of quality and in these 8 studies the patients treated with hypothermia were slightly more prone to die.

GCS at admission

Some studies in this review found that severity of brain injury (GCS score) at admission influenced the therapeutic effect of hypothermia, while others did not. Subgroup analysis in four studies found that hypothermia had no benefit in patients with GCS 3-4 (Polderman *et al.*, 2002; Gal *et al.*, 2002; Inmasu *et al.*, 2006; Yan *et al.*, 2010). It may be that patients with GCS 3-4 are so severely injured that they are unable to benefit from hypothermia. If so, trials including a study population with a low mean GCS are more unlikely to show beneficial effects of hypothermia. However, Liu *et al.* (2006) and Qiu *et al.* (2007) both with a high percentage (> 50%) of patients with GCS 3-5 found beneficial effects of hypothermia. Neither Clifton *et al.* from 2011 nor Hutchison *et al.* from 2008 found an interaction between GCS at admission and outcome by hypothermia.

Intracranial lesion and neurosurgery

A subgroup analysis from the study by Clifton and colleagues (Clifton *et al.*, 2011) showed that patients who underwent surgical removal of intracranial hematomas showed beneficial effects by hypothermia. This hypothesis was supported by other studies included in this review (Polderman *et al.*, 2002; Liu *et al.*, 2006; Inamasu *et al.*, 2006; Qiu *et al.*, 2007; Lee *et al.*,

2010, Gal *et al.*, 2002). Neurosurgery and type of brain injury are closely linked as hematomas are surgically removed, whereas patients with diffuse brain injury are exposed to surgery to a less extent.

Intracranial pressure

All 14 studies on adults, except the one by Clifton *et al.* from 2011, found lower ICP values in the hypothermia group than in the control group. Clifton *et al.* showed that episodes of raised ICP were significantly more frequent in the hypothermia group than in the normothermia group. A goal-directed therapy on ICP by hypothermia was used in two adult studies, both indicating positive effects (Polderman *et al.*, 2002; Zhi *et al.*, 2003). In these studies, the management was tailored individually, with cooling up to ICP had normalized. The negative study by Clifton *et al.* from 2011 and the positive study by Zhi *et al.* from 2003 used equal rewarming rates, but had conflicting results regarding ICP levels and outcome. Note that no beneficial effect on outcome was observed with similar reduction in ICP following reduced metabolic rate by barbiturate treatment (Roberts and Sydenham, 2012). Thus, to what extent the lower ICP induced by hypothermia affects outcome is still unclear. The newly started Eurotherm3235 hypothermia trial specifically evaluating the effect of ICP on outcome (Andrews *et al.*; 2013), will be a welcome contribution.

Four of the pediatric studies reported ICP (Biswas *et al.*, 2002; Adelson *et al.*, 2005; Hutchison *et al.*, 2008; Li *et al.*, 2012). Li *et al.* reported that ICP was lower in the hypothermia group at all time points tested, while Biswas *et al.* noted just a trend of lower ICP levels in the hypothermia group. Hutchison *et al.* reported a significantly lower ICP during the cooling period and a significantly higher ICP during rewarming in the hypothermia group. Adelson *et al.* showed similar results, but ICP differed between the groups only within the first 24 hours.

It is difficult to draw any general conclusion from the studies analyzed in this review regarding correlation between ICP, rewarming rate, rebound increase in ICP, and outcome.

Complications

Ten of the 14 studies in adults had data on complications, which can be referred to hypothermia. The type of complications included coagulopathy, cardiovascular complications, and pneumonia (Qiu *et al.*, 2006; Liu *et al.*, 2006; Qiu *et al.*, 2007). Qui *et al.* from 2006 and 2007 and Liu *et al.* from 2006 reported an increase in thrombocytopenia in hypothermic patients. In addition, Qui *et al.* from 2006 and 2007 reported an increase in pulmonary infections with hypothermia. A Cochrane analysis also concluded that hypothermia can be associated with complications, especially pulmonary complications (Sydenham *et al.*, 2009).

No difference in complications between hypothermia and normothermia was reported in four of the five pediatric studies (Biswas *et al.*, 2002; Hutchison *et al.*, 2008; Adelson *et al.*, 2005 and 2013). One of the pediatric studies (Adelson *et al.*, 2005) found a trend of increased arrhythmias in the hypothermia group.

Limitations

Like most clinical studies, the hypothermia studies analyzed in this review had limitations and the generalizability of the data is limited. Several authors did not report if the difference in outcome between groups was significant or not, and the numbers of patients were small in several studies.

The management protocols differed with different inclusion criteria, patient characteristics, cooling and rewarming performance, and the risk of confounders was high. Penetrating trauma, multiple injuries, hypotension, and acute isolated epidural hematomas are examples of inclusion criteria used in some studies but not in others. The follow-up time after the accident varied between the studies

Several of the studies reviewed could not be assessed as high quality because of unclear randomization, unclear allocation concealment, and/or insufficient blinding of outcome assessment.

Summary

The studies included showed conflicting results regarding mortality and neurological outcome and varied in quality. Several trials showed improved neurological outcome with hypothermia and a trend of lower mortality rates, but the best-performed studies showed no difference in outcome or even a tendency of worse outcome, especially in the pediatric population. Adverse effects of hypothermia in TBI patients, such as pneumonia, coagulation disturbances, rebound increase in ICP, and stress-induced compromised circulation of hypoxic areas, may counteract its neuroprotective effects. We conclude that we still lack scientific support for the use of therapeutic hypothermia in TBI patients both for adults and children.

Acknowledgement

The study was supported by the Swedish Research Council (#11581), Stockholm, Sweden, Medical Faculty of Lund, Sweden and Region Skåne (ALF), Sweden and Department of Neurosurgery, Rigshospitalet Copenhagen, Denmark

Author Disclosure Statement

There are no financial or other relations that might pose conflict of interests.

REFERENCES.

Adelson PD, Ragheb J, Kanev P, Brockmeyer D, Beers SR, Brown SD, Cassidy LD, Chang Y, Levin H. Phase II clinical trial of moderate hypothermia after severe traumatic brain injury in children. *Neurosurg* 2005;56:740-754.

Adelson D. Hypothermia following pediatric traumatic brain injury. *J Neurotrauma* 2009;26:429-436

Adelson PD, Wisniewski SR, Beca J, Brown SD, Bell M, Muizelaar JP, Okada P, Beers SR, Balasubramani GK, Hirtz D; Paediatric Traumatic Brain Injury Consortium. Comparison of hypothermia and normothermia after severe traumatic brain injury in children (Cool Kids): a phase 3 randomized controlled trial. *Lancet Neurol* 2013;12:546-553.

Andrews PJ, Sinclair LH, Harris B, Baldwin MJ, Battison CG, Rhodes JK, Murray G, De Backer D. Study of therapeutic hypothermia (32 to 35°C) for intracranial pressure reduction after traumatic brain injury (the Eurotherm3235Trial): outcome of the pilot phase of the trial. *Trials* 2013;14:277.

Badjatia, N. Strongilis E, Gordon E, Prescutti M, Fernandez L, Fernandez A, Buitrago M, Schmidt JM, Ostapkovich ND, Mayer SA. Metabolic impact of shivering during therapeutic temperature modulation: the Bedside Shivering Assessment Scale. *Stroke* 2008;39:3242-3247.

Bernard S, Gray TW, Buist MD, Jones BM, Silvester W, Gutteridge G, Smith K. Treatment of comatose survivors of out-of-hospital cardiac arrest with induced hypothermia. *N Eng. J Med* 2002;346:557-613.

Biswas AK, Bruce DA, Sklar FH, Bokovoy JL, Sommerauer JF. Treatment of acute traumatic brain injury in children with moderate hypothermia improves intracranial hypertension. *Crit. Care Med* 2002;30:2742-2751.

Bhalla T, Dewhurst E, Sawardekar A, Dairo, O, Tobias JD. Perioperative management of the pediatric patient with traumatic brain injury. *Paediatr Anaesth* 2012;22,:627-640.

Chodobski A, Zink BJ, Szmydynger-Chodobska J. Blood-brain barrier pathophysiology in traumatic brain injury. *Transl Stroke Res* 2011; 2:492-516.

Clifton GL, Miller ER, Choi SC, Levin HS, McCauley S, Smith KR Jr, Muizelaar JP, Wagner FC Jr, Marion DW, Luerssen TG, Chesnut RM, Schwartz M. Lack of effect of induction of hypothermia after acute brain injury. *N Engl J Med* 2001;344:556-563.

Clifton GL, Valadka A, Zygun D, Coffey CS, Drever P, Fourwinds S, Janis LS, Wilde E, Taylor P, Harshman K, Conley A, Puccio A, Levin HS, McCauley SR, Bucholz RD, Smith KR, Schmidt JH, Scott JN, Yonas H, Okonkwo DO. Very early hypothermia induction in patients with severe brain injury (the National Acute Brain Injury Study: Hypothermia II). *Lancet Neurol* 2011;10:131-139.

Contant CF, Valadka AB, Gopinath SP, Hannay HJ, Robertson CS. Adult respiratory distress syndrome: a complication of induced hypertension after severe head injury. *J Neurosurg* 2001;95:560-568.

Dietrich WD. The importance of brain temperature in cerebral injury. *J of Neurotrauma* 1992; 9, suppl 2:475-485.

Empey PE, de Mendizabal NV, Bell MJ, Bies RR, Anderson KB, Kochanek PM, Adelson PD, Poloyac SM; Pediatric TBI Consortium: Hypothermia Investigators. Therapeutic hypothermia decreases phenytoin elimination in children with traumatic brain injury. *Crit Care Med*. 2013; 41:2379-2387.

Faridar A, Bershad EM, Emiru T, Iaizzo PA, Suarez JI, Divani AA. Therapeutic Hypothermia in Stroke and Traumatic Brain Injury. *Front Neurol* 2011;2:80.

Fay T. Observations on generalized refrigeration in cases of severe cerebral trauma. *Assoc Res Nerv Ment Dis Proc* 1945;24:611-619

Finkelstein RA, Alam HB. Induced hypothermia for trauma: Current research and practice. *J Intensive Care Med* 2010;25:205-226.

Fox JL, Vu EN, Doyle-Waters M, Brubacher JR, Abu-Laban R, Hu Z. Prophylactic hypothermia for traumatic brain injury: a quantitative systematic review. *CJEM* 2010;12:355-364.

Gal R, Cundrle I, Zimova I, Smrcka M. Mild hypothermia therapy for patients with severe brain injury. *Clin Neurol Neurosurg* 2002;104:318-321.

Gerber LM, Chiu YL, Carney N, Härtl R, Ghajar J. Marked reduction in mortality in patients with severe traumatic brain injury. *J Neurosurg* 2013;119:1583-1590.

Ghajar J. Traumatic brain injury. *Lancet* 2000;356,923-929.

Grände PO. The “Lund concept” for the treatment of severe head trauma – physiological principles and clinical application. *Intensive Care Med* 2006;32:1475-1484.

Greve MW, Zink BJ. Pathophysiology of traumatic brain injury. *Mt Sinai J Med* 2009;76:97-104.

Hammersborg SM, Farstad M, Haugen O, Kvalheim V, Onarheim H, Husby P. Time course variations of haemodynamics, plasma volume and microvascular fluid exchange following surface cooling: an experimental approach to accidental hypothermia. *Resuscitation* 2005;65:211-219.

Harris OA, Colford JM Jr, Good MC, Matz PG. The role of hypothermia in the management of severe brain injury: a meta-analysis. *Arch. Neurol* 2002;59,1077-1083.

Harris OA, Muh CR, Surles MC, Pan Y, Rozycki G, Macleod J, Easley K. Discrete cerebral hypothermia in the management of traumatic brain injury. *J Neurosurg* 2009;110:1256-1264.

Harris B, Andrews P, Murray G, Forbes J, Moseley O. Systematic review of head cooling in adults after traumatic brain injury and stroke. *Health Technol. Assess* 2012;16:1-175.

Henderson WR, Dhingra VK, Chittock DR, Fenwick JC, Ronco JJ. Hypothermia in the management of traumatic brain injury. *Intensive Care Med* 2003;29:1637-1644.

Huckabee HC, Craig PL, Williams JM. Near drowning in frigid water: a case study of a 31-year-old woman. *J Int. Neuropsychol Soc* 1990;2:256-260.

Husby P, Andersen KS, Owen-Falkenberg A, Steien E, Solheim J. Accidental hypothermia with cardiac arrest: complete recovery after prolonged resuscitation and rewarming by extracorporeal circulation. *Intensive Care Med* 1990;16:69-72.

Hutchison JS, Ward RE, Lacroix J, Hébert PC, Barnes MA, Bohn DJ, Dirks PB, Doucette S, Fergusson D, Gottesman R, Joffe AR, Kirpalani HM, Meyer PG, Morris KP, Moher D, Singh RN, Skippen PW; Hypothermia Pediatric Head Injury Trial Investigators and the Canadian Critical Care Trials Group. Hypothermia therapy after traumatic brain injury in children. *N Engl J Med* 2008;358:2447-2456.

Hutchison JS, Frndova H, Lo TM, Guerguerian A. Impact of hypotension and low cerebral perfusion pressure on outcomes in children treated with hypothermia therapy following severe traumatic brain injury. *Dev Neurosci* 2010;2:406-412.

Hypothermia after Cardiac arrest Study Group. Mild therapeutic hypothermia to improve the neurologic outcome after cardiac arrest. *N Engl J Med*;2002;246:549-556.

Inamasu J, Saito R, Nakamura Y, Horiguchi T, Kuroshima Y, Ichikizaki K. Therapeutic hypothermia for severely head-injured patients with acute subdural haematoma. *J of Clin Neuroscience* 2006;13:733-737.

Jennett B, Snoek J, Bond MR, Brooks N. Disability after severe head injury: observations on the use of the Glasgow Outcome Scale. *J Neurol Neurosurg Psychiat* 1981;44:285-293.

Ji X. Mild hypothermia diminishes oxidative DNA damage and prodeath signaling events after cerebral ischemia: a mechanism for neuroprotection. *Front Biosci* 2007;12:1737-1747.

Jiang J, Gao G, Li W, Yu M, Zhu C. Early indicators of prognosis in 846 cases of severe traumatic brain injury. *J Neurotrauma* 2002;19:869-874.

Jiang JY, Xu W, Li WP, Gao GY, Bao YH, Liang YM, Luo QZ. Effect of long-term mild hypothermia or short-term mild hypothermia on outcome of patients with severe traumatic brain injury. *J Cereb Blood Flow Metab* 2006;26:771-776.

Kurland D, Hong C, Aarabi B, Gerzanisch V, Simard, JM. Hemorrhagic Progression of a Contusion after Traumatic Brain Injury: A Review. *J Neurotrauma* 2012;29:19–31.

Lakhan SE, Pamplona F. Application of mild therapeutic hypothermia on stroke: a systematic review and meta-analysis. *Stroke Res Treat* 2012;29:5906. Epub.

Lee H, Lee HC, Chuang HC, Cho DY, Cheng KF, Lin PH, Chen CC. Applying cerebral hypothermia and brain oxygen monitoring in treating severe traumatic brain injury. *World Neurosurg* 2010;74:654-660.

Li J, Jiang JY. Chinese Head Trauma Data Bank: effect of hyperthermia on the outcome of acute head trauma patients. *J Neurotrauma* 2012;9:96-100.

Li H, Lu G, Shi W, Zheng S. Protective effect of moderate hypothermia on severe traumatic brain injury in children. *J Neurotrauma* 2012;26:1905-1909.

Liang D, Bhatta S, Volodymyr G, Simard M. Cytotoxic edema: mechanisms of pathological cell swelling. *Neurosurg. Focus.* 2007;22:E.

Liu WG, Qiu WS, Zhang Y, Wang WM, Lu F, Yang XF. Effects of selective brain cooling in patients with severe traumatic brain injury. *J Int Med Res* 2006;34:58-64.

Lu J, Gary KW, Neimeier JP, Ward, J, Lapane KL. Randomized controlled trials in adult traumatic brain injury. *Brain Inj* 2012;26:1523-1548.

Maas AI, Murray G, Henney H 3rd, Kassem N, Legrand V, Mangelus M, Muizelaar JP, Stocchetti N, Knoller N; Pharms TBI investigator. Efficacy and safety of dexamethasone in severe traumatic brain injury: results of a phase III randomised, placebo-controlled, clinical trial. *Lancet Neurol* 2006;5:38-45.

Marshall L. Head injury: Recent past, present, and future. *Neurosurg* 2000;47:546-561.

McIntyre LA, Fergusson DA, Hébert PC, Moher D, Hutchison, JS. Prolonged therapeutic hypothermia after traumatic brain injury in adults: a systematic review. *JAMA* 2003;289:2992-2999. Review.

Narayan RK, Michel ME, Ansell B, Baethmann A, Biegon A, Bracken MB, Bullock MR, Choi SC, Clifton GL, Contant CF, Coplin WM, Dietrich WD, Ghajar J, Grady SM, Grossman RG, Hall ED, Heetderks W, Hovda DA, Jallo J, Katz RL, Knoller N, Kochanek PM, Maas AI, Majde J, Marion DW, Marmarou A, Marshall LF, McIntosh TK, Miller E, Mohberg N, Muizelaar JP, Pitts LH, Quinn P, Riesenfeld G, Robertson CS, Strauss KI, Teasdale G, Temkin N, Tuma R, Wade C, Walker MD, Weinrich M, Whyte J, Wilberger J, Young AB, Yurkewicz L. Clinical trials in head injury. *J Neurotrauma* 2002;19:503-57.

Oddo M, Frangos S, Maloney-Wilensky E, Andrew Kofke W, Le Roux PD, Levine JM. Effect of shivering on brain tissue oxygenation during induced normothermia in patients with severe brain injury. *Neurocrit. Care* 2010;12:10-16.

Patel HC, Bouamra O, Woodford M, King AT, Yates DW, Lecky FE; Trauma Audit and Research Network. Trends in head injury outcome from 1989 to 2003 and the effect of neurosurgical care: an observational study. *Lancet* 2005;366:1538-1544.

Polderman KH, Tjong Thin Joe R, Peerderman SM, Vandertop WP, Girbes ARJ. Effects of therapeutic hypothermia on intracranial pressure and outcome in patients with severe traumatic brain injury. *Intensive Care Med* 2002; 28:1563-1573.

Polderman KH. Application of therapeutic hypothermia in the intensive care unit; opportunities and pitfalls of a promising treatment modality – part 2: practical aspects and side effects. *Critical Care Med* 2004;30:757-769

Polderman KH. Induced hypothermia and fever control for prevention and treatment of neurological injuries. *Lancet* 2008;371:1955-1969.

Povlishock JT, Wei EP. Posthypothermic rewarming considerations following traumatic brain injury. *J Neurotrauma* 2009;26:333-340. Review.

Qiu W, Shen H, Zhang Y, Wang W, Liu W, Jiang Q, Luo M, Manou M. Noninvasive selective brain cooling by head and neck cooling is protective in severe traumatic brain injury. *J Clin. Neurosci* 2006;13:995-1000.

Qiu W, Zhang Y, Sheng H, Zhang J, Wang W, Liu W, Chen K, Zhou J, Xu Z. Effects of therapeutic mild hypothermia on patients with severe traumatic brain injury after craniotomy. *J Crit Care* 2007;22:229-235.

Reilly PL. Brain injury: the pathophysiology of the first hours. ‘Talk and Die revisited’. *J Clin. Neurosci* 2001;8:398–403.

Roberts I, Sydenham E. Barbiturate for acute traumatic brain injury. Cochrane Database Syst Rev 2012;12:CD000033.

Rosenfeld JV, Maas AI, Bragge P, Morganti-Kossmann MC, Manley GT, Gruen RL.

Early management of severe traumatic brain injury. Lancet. 2012; 22;380:1088-1098.

Rundgren M, Engström M. A thromboelastic evaluation of the effects of hypothermia on the coagulation system. Anesth Analg 2008;107:1465-1468.

Sahuquillo J, Vilalta A. Cooling the injured brain: How does moderate hypothermia influence the pathophysiology of traumatic brain injury. Curr. Pharm. Des 2007;13:2310-2322.

Schwab S, Georgiadis D, Berrouschot J, Schellinger PD, Graffagnino C, Mayer SA. Feasibility and safety of moderate hypothermia after massive hemispheric infarction. Stroke 2001;32:2033-2035.

Siebke H, Rod T, Breivik H, Link B. Survival after 40 min; submersion without cerebral sequelae. Lancet 1975;1:1275-1277.

Shlee SS, Lyden PD. Overview of therapeutic hypothermia. Curr. Treat Options Neurol 2012;14:541-548.

Shah PS, Ohlsson A, Perlman M. Hypothermia to treat neonatal hypoxic ischemic encephalopathy: systematic review. Arch Pediatr Adolesc Med 2007;161:951-958

Springborg JB, Springborg KK, Romner B. First clinical experience with intranasal cooling for hyperthermia in brain-injured patients. *Neurocrit. Care* 2013;18:400-405

Suehiro E M D, Koizumi H, Kunitsugu I, Fujisawa H, Suzuki M. Survey of brain temperature management in patients with traumatic brain injury in the Japan Neurotrauma Data Bank.

J Neurotrauma. 2013; Sep 18. [Epub ahead of print]

Sydenham E, Roberts I, Alderson P. Hypothermia for traumatic head injury (review). 2009;Cochrane Libr (1):CD001048. Available at <http://www.thecochranelibrary.com>.

Temkin NR, Anderson GD, Winn HR, Ellenbogen RG, Britz GW, Schuster J, Lucas T, Newell DW, Mansfield PN, Machamer JE, Barber J, Dikmen SS. Magnesium sulfate for neuroprotection after traumatic brain injury: a randomised controlled trial. *Lancet Neurol*. 2007;6:29-38.

Thompson HJ, Tkacs NC, Saatman KE, Raghupathi R, McIntosh TK. Hyperthermia following traumatic brain injury: a critical evaluation. Review. *Neurobiol. Dis* 2003;12:163-73.

Tokutomi T. Effect of 35°C hypothermia on intracranial pressure and clinical outcome in patients with severe traumatic brain injury. *J Trauma* 2009;66:166-173.

Urbano LA, Oddo M. Therapeutic hypothermia for traumatic brain injury. *Curr Neurol Neurosci Rep* 2008;12:580-591.

Wanscher M, Agersnap L, Ravn J, Yndgaard S, Nielsen JF, Danielsen ER, Hassager C, Romner B, Thomsen C, Barnung S, Lorentzen AG, Høgenhaven H, Davis M, Møller JE. Outcome of accidental hypothermia with or without circulatory arrest: experience from the Danish Præstø Fjord boating accident. *Resuscitation* 2012;83:1078-84.

Werner C, Engelhard K. Pathophysiology of traumatic brain injury. *Br. J Anaesth* 2007;99:4-9.

Yan Y, Tang W, Deng Z, Zhong D, Yang G. Cerebral oxygen metabolism and neuroelectrophysiology in a clinical study of severe brain injury and mild hypothermia. *J Clin Neuroscience* 2010;17:196-200.

Zhi D, Zhang S, Lin X. Study on therapeutic mechanism and clinical effect of mild hypothermia in patients with severe head injury. *Surg Neuro* 2003;59:381-385.

Zhao Q, Zhang X, Wang L. Mild hypothermia therapy reduces blood glucose and lactate and improves neurologic outcomes in patients with severe traumatic brain injury. *J Crit Care* 2011;26:311-315.

Acknowledgement

The study was supported by grants from the Swedish Medical Research Council (#11581), Region Skåne, Sweden and the Faculty of Medicine, Lund University, Lund Sweden.